02-28-2000



Docket No. JCLA5657

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PATENTS ONLY  To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.  1. Name of conveying party(ies):  Jyh-Jyi Sze  2. Name/address of receiving Party(ies):  Jyh-Jyi Sze  (1) United Microelectronics Corp.  No 3, Li-Hsin Rd. II, Science-Based Industrial Park, Hsinchu. Taiwan, R O C  Merger Security Agreement Other Change of Name Reassignment  Date(s) of execution: January 14, 2000;  If this documents is being filed together with a new application, the execution date of the application is January 14, 2000  A. Patent Application No. (s)  B. Patent No. (s)  O9494521  Additional numbers attached? Yes No  6. Name and address of party to whom correspondence concerning document should be mailed:  ONE(1)						
original documents or copy thereof.  1. Name of conveying party(ies):  Jyh-Jyi Sze  2. Name/address of receiving Party(ies):  (1) United Microelectronics Corp.  No 3, Li-Hsin Rd. II. Science-Based Industrial Park, Hsinchu. Taiwan, R O.C  Add't names of receiving parties  4. Date(s) of execution: January 14, 2000;  Application number(s) or patent number(s):  If this documents is being filed together with a new application, the execution date of the application is January 14, 2000  A. Patent Application No. (s)  O9494521  Additional numbers attached  Party(ies):  (1) United Microelectronics Corp.  No 3, Li-Hsin Rd. II. Science-Based Industrial Park, Hsinchu. Taiwan, R O.C  Add't names of receiving parties  Attached? ☐ Yes ☒ No  B. Patent No. (s)  O9494521  Additional numbers attached  Total No. of applications and patents involved:						
Party(ies):    Jyh-Jyi Sze						
No 3, Li-Hsin Rd. II, Science-Based Industrial Park, Hsinchu. Taiwan, R O.C    Merger						
Change of Name ☐ Reassignment  4. Date(s) of execution: January 14, 2000;  Attached? ☐ Yes ☒ No  5. Application number(s) or patent number(s):  If this documents is being filed together with a new application, the execution date of the application is January 14, 2000  A. Patent Application No. (s)  O949452 /  Additional numbers attached ? ☐ Yes ☒ No  6. Name and address of party to whom correspondence concerning document  7. Total No. of applications and patents involved:						
5. Application number(s) or patent number(s):  If this documents is being filed together with a new application, the execution date of the application is January 14, 2000  A. Patent Application No. (s)  B. Patent No. (s)  Additional numbers attached  ? □ Yes ☒ No  7. Total No. of applications and patents involved:						
If this documents is being filed together with a new application, the execution date of the application is January 14, 2000  A. Patent Application No. (s)  B. Patent No. (s)  Additional numbers attached? I Yes INO  Name and address of party to whom correspondence concerning document  7. Total No. of applications and patents involved:						
A. Patent Application No. (s)  Of 494521  Additional numbers attached  Name and address of party to whom correspondence concerning document  B. Patent No. (s)  7. Total No. of applications and patents involved:						
Additional numbers attached ?						
6. Name and address of party to whom correspondence concerning document  7. Total No. of applications and patents involved:						
correspondence concerning document involved:						
should be mailed: ONE(1)						
9 Total for (27 CEP \$2.41), \$40.00						
<pre>3.C. Patents, Inc. 1340 Reynolds Ave., Suite 114</pre> 8. Total fee (37 CFR §3.41): \$40.00  Enclosed						
Irvine, CA 92614						
9. Total number of pages, including cover sheet, attachments and document 3.						
DO NOT USE THIS SPACE						
10. Statement and Signature:						
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.						
Jiawei Huang						

Registration No. 43, 330

02/25/2000 DHGUYEN 00000203 09494521 (40.00 OP) 01 FC:581

> **PATENT REEL: 010564 FRAME: 0591**

## ASSIGNMENT

## WHEREAS.

[ ] Filed:

1. Jhy-Jyi Sze

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: METHOD OF FABRICATING GATE STRUCTURE TO REDUCE STRESS PRODUCTION

Serial No.

[x] Executed concurrently with the execution of this instrument

WHEREAS, United Microelectronics Corp.

of No. 3, Li-Hsin Rd. II, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

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## **ASSIGNMENT CONTINUED**

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Signature: 1 - Type Syc

Sole or First Joint Inventor: Jhy-Jyi Sze

**RECORDED: 01/31/2000** 

Date:

2000/1/14

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PATENT REEL: 010564 FRAME: 0593