

03-01-2000

ASSI



ER SHEET

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To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.

1. Name of conveying parties:

a) ONTRAK SYSTEMS, Inc.

2. Name and address of receiving parties:

a) Name: Lam Research Corporation

b) Address: 4650 Cushing Parkway, Fremont, CA 94538-6516

3. Nature of conveyance

☐
☐
☐

Assignment

Security Agreement

Other _____

☒
☐
☐

Merger

Change of Name

License Agreement

Execution Date: November 25, 1992

4. Application Number or Patent Number: 5,529,638 issued June 25, 1996.

The title of the application is: METHOD FOR WAFER SCRUBBING

5. Please send all correspondence concerning these documents to:

Anthony Josephson

MARTINE PENILLA & KIM, LLP

710 Lakeway Drive, Suite 170

Sunnyvale, CA 94086

Tel. No. (408) 749-6900

Fax No.: (408) 749-6901

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒
☒

Enclosed

Authorized to be charged to Deposit Account No. 50-0805
(Order No. LAM2P144A)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: February 1, 2000

Anthony Josephson, Esq.

Registration No. P 45,742

02/29/2000 DNGUYEN 00000069 5529638

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40.00 OP

Attorney Docket No. LAM2P144A

(Revised 01/96)

PATENT
REEL: 010567 FRAME: 0903

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OPR/FINANCE

2-7-00

A S S I G N M E N T

I, RICK A. LUTZ, a citizen of the United States, for One Dollar (\$1.00) in hand paid to me, receipt of which is hereby acknowledged, and other good and valuable consideration, have assigned and do hereby assign to ONTRAK SYSTEMS, INC., a California corporation, of 1753 South Main Street, Milpitas, California 95035 (hereinafter referred to as Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in the application for Letters Patent of the United States entitled:

DOUBLE-SIDED WAFER SCRUBBER WITH A WET SUBMERSING SILICON WAFER INDEXER signed by me on this date; and in all divisions, reissues, continuations and extensions thereof; and in and to all Letters Patent and all Convention and Treaty Rights of all kinds, in all countries throughout the world, for all such subject matter.

I hereby authorize and request that the Patent Office Officials in the United States and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Assignee, as the Assignee of my entire right, title and interest in and to the same, for the sole use and enjoyment of said Assignee, its successors and assigns.

Further, I agree that I will communicate to said Assignee, or its representatives, any facts known to me respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuations, substitution, renewal and reissue applications, execute all necessary Assignment papers to cause any and all of said Letters Patent to be issued, make all rightful oaths and generally do everything necessary or desirable to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

In Testimony Whereof, I have hereunto set my hand this 25th day of NOV, 1992.

Inventor: *Rick A. Lutz*
RICK A. LUTZ

Residence: 1511 Oyama Place
San Jose, California 95131

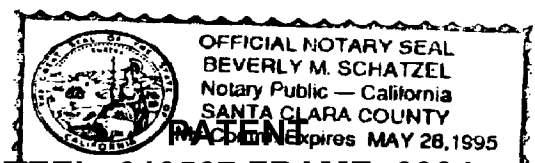
STATE OF CALIFORNIA)
)ss.
COUNTY OF SANTA CLARA)

On November 25, 1992 before me, Beverly M. Schatzel, personally appeared RICK A. LUTZ, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Beverly M. Schatzel*

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REEL: 010567 FRAME: 0904

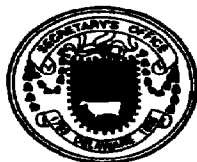
State of Delaware
Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"ONTRAK SYSTEMS, INC.", A DELAWARE CORPORATION,

WITH AND INTO "LAM RESEARCH CORPORATION" UNDER THE NAME OF "LAM RESEARCH CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTIETH DAY OF JUNE, A.D. 1999, AT 10 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.




Edward J. Freel, Secretary of State

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AUTHENTICATION: 9839169

DATE: JUNE 29 1999

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REEL: 010567 FRAME: 0905

CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

ONTRAK SYSTEMS, INC.

INTO

LAM RESEARCH CORPORATION

(PURSUANT TO SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE)

Lam Research Corporation, a Delaware corporation, (the "Parent"), does hereby certify:

FIRST: That Parent is incorporated pursuant to the General Corporation Law of the State of Delaware;

SECOND: That the Parent owns all of the outstanding shares of each class of the capital stock of OnTrak Systems, Inc., a Delaware corporation ("Sub"); and

THIRD: That Parent, by the following resolutions of its Board of Directors, duly adopted on June 25, 1999 determined to merge into itself OnTrak Systems, Inc., on the conditions set forth in such resolutions:

RESOLVED, that Parent merge into itself its wholly-owned subsidiary, OnTrak Systems, Inc., a Delaware corporation ("Sub"), and assume all of Sub's liabilities and obligations;

RESOLVED FURTHER that Parent shall be the surviving corporation upon effectiveness of the merger;

RESOLVED FURTHER that the directors and officers of Parent be, and each of them hereby is, authorized and directed to make, execute and acknowledge a certificate of ownership and merger setting forth a copy of the resolution to merge Sub into Parent and to assume Sub's liabilities and obligations and the date of adoption thereof and to file the same in the office of the Secretary of State of Delaware and a certified copy thereof in the Office of the Recorder of Deeds of Newcastle County."

IN WITNESS WHEREOF, said Lam Research Corporation has caused its corporate seal to be affixed and this certificate to be signed by Richard H. Lovgren, its authorized Secretary and corporate officer, this 25th day of June, 1999.

LAM RESEARCH CORPORATION

By: 

Richard H. Lovgren

Its: Vice President, General Counsel and Secretary

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STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 10:00 AM 06/30/1999
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