

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

**03-02-2000**

HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231



**101279984**

SIR:

PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENT(S) OR COPY(IES) THEREOF.

**1. NAME OF CONVEYING PARTY(IES)**

Eugene A. Fitzgerald

Additional name(s) of conveying party(ies) attached? No

**2. NAME(S) AND ADDRESS(ES) OF RECEIVING PARTY(IES)**

Massachusetts Institute of Technology  
77 Massachusetts Avenue  
Cambridge, Massachusetts 02139

Additional name(s) of receiving party(ies) attached? No

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**3. NATURE OF CONVEYANCE**

- ☒ Assignment  
☐ Security Agreement  
☐ Merger  
☐ Change of Name  
☐ Other

Execution Date:

January 3, 2000

**4. APPLICATION NUMBER(S) OR PATENT NUMBER(S)**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 09/103,672

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? No

**5. NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE CONCERNING DOCUMENT  
SHOULD BE DIRECTED:**

Matthew E. Connors  
Samuels, Gauthier, Stevens, LLP  
225 Franklin Street  
Boston, Massachusetts 02110  
(617) 426-9180, Extension 112

**6. TOTAL NUMBER OF APPLICATIONS  
AND PATENTS INVOLVED: 1****7. TOTAL FEE DUE: \$40.00 (Enclosed)**

If any additional fee(s) are due, the Commissioner is hereby  
authorized to charge the Deposit Order Account noted in item 8.

**8. DEPOSIT ACCOUNT NUMBER: 19-0079****9. STATEMENT AND SIGNATURE**

03/02/2000 DC0ATES 00000015 09103672

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.

Matthew E. Connors  
Name of Person Signing

*Matthew E. Connors* 1/19/00  
Signature Date

Total number of pages including cover sheet, attachments, and document: 3

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being  
deposited with the United States Postal Service on the date shown below with sufficient postage as first  
class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C.  
20231.

Date: 1/19/00

*Deborah M. Costello*  
Deborah M. Costello

**PATENT  
REEL: 010574 FRAME: 0174**

**ASSIGNMENT**

Know all men by these presents

THAT WHEREAS I, **Eugene A. Fitzgerald**

of Windham, New Hampshire, have made an invention for

**CONTROLLING THREADING DISLOCATION DENSITIES IN Ge ON Si USING  
GRADED GeSi LAYERS AND PLANARAZATION**

described in the application filed with the United States Patent and Trademark Office on June 23, 1998, as Serial No. 09/103,672, which claims priority under 35 USC §119(e) from United States Provisional Patent Application Serial No. 60/050,602, filed June 24, 1997 and United States Provisional Patent Application Serial No. 60/059,765, filed September 16, 1997; and

WHEREAS Massachusetts Institute of Technology, a corporation duly organized and existing under the laws of Massachusetts and having a place of business at 77 Massachusetts Avenue, Cambridge, Massachusetts 02139, for the benefit of itself, its successors and assigns, all inclusively hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to the said invention, the said application, all inventions disclosed in said application, and any and all Letters Patent of the United States and of all other countries which may be granted for the said invention or inventions, or any of them;

NOW, THEREFORE, for good and valuable consideration provided by said Assignee, the receipt whereof is hereby acknowledged, I do hereby sell, assign and transfer to the said Assignee the entire right, title and interest in and to the said invention, inventions and application, including all priority rights arising therefrom, all inventions disclosed in said application, and any and all Letters Patent of the United States, and of all other countries, together with the right to apply for such Letters Patent, which may be granted for the said invention, inventions or any of them.

TO HAVE, HOLD AND ENJOY the said invention, the said application, and the said Letters Patent, to said

**MASSACHUSETTS INSTITUTE OF TECHNOLOGY**

its successors and assigns, to its and their own use and behoof to the full end of the term or terms for which the said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

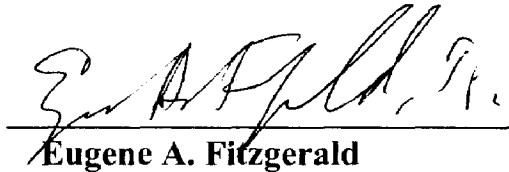
AND I hereby authorize and request the Commissioner of Patents of the United States and the appropriate officers of all foreign patent offices to issue any and all Letters Patent which may be granted on the said application or applications above referred to, or for the said invention, or any of them, to the said Assignee in accordance with the terms or this instrument.

AND I hereby agree to execute and sign without further consideration any other legal document and any other assignments and any divisional, continuing, renewal, reissue or other application in and for all patents that may be appropriate and may be deemed necessary by the Assignee fully to secure to said Assignee its interests as aforesaid in and to the said invention or any part thereof and in and to the said patents or any of them.

AND I further covenant and agree that I will at any time upon request communicate to the said Assignee, its successors, assigns or other legal representatives, any facts known to me relating to the said invention and any patent that may be granted thereon, and will testify as to the same in any interference or litigation when requested to do so.

AND I do hereby covenant for myself and my legal representatives that I have not hitherto assigned or granted any license to make, use or sell said invention, and that I will not henceforth purport to assign, license or execute any instrument to that effect in conflict with this assignment.

IN WITNESS WHEREOF I have hereunto set my hand and seal this day of  
~~December~~ 3, ~~1999~~  
Jan. 2000

  
Eugene A. Fitzgerald