

417  
3/2/00

03-07-2000

Attorney Docket No.: EH-10273

Form PTO 1595

R



101283552

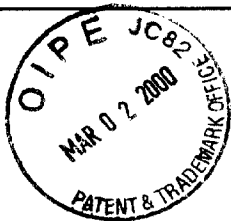
EET

U.S. Department of Commerce

Patent & Trademark Office

1. Name of Conveying Party(ies):

John W. Putnam  
Charlie R. Watson



2. Name and Address of Receiving Party(ies):

United Technologies Corporation  
1 Financial Plaza  
Hartford, CT 06101

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date(s):

Additional names of conveying parties attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date(s) of the application is (are):

- A. Patent Application No(s): 09/471,758  
B. Patent No(s):

5. Name & Address of Party to Whom Correspondence

Concerning Document Should be Mailed:

Charles E. Sohl  
Pratt & Whitney  
400 Main Street, Legal Department-Patent Section  
Mail Stop 132-13  
East Hartford, CT 06108

6. Total Number of Applications & Patents Involved: 1

7. Total Fee (37 CFR 3.41): \$40.00

- ☐ Enclosed  
☒ Authorized to be Charged to Deposit Account  
☐ Paid - See Original Transmittal

8. Deposit Account Number: 21-0279

Order Number: EH-10273

Attach a duplicate copy of this page if paying by deposit account.

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Sohl

Name of person signing paper

*Charles E. Sohl*  
Signature

Feb 28, 2000

Date

Page 1 of 3

03/03/2000 HPARNOL 00000099 210279 09471758

02 FC:581

40.00 CH

PATENT  
REEL: 010582 FRAME: 0562

# ASSIGNMENT

Serial No. 09/471,758

Filing Date December 23, 1999

WHEREAS, We John W. Putnam and Charles R. Watson both of Connecticut have invented certain improvements in COMPOSITE ABRADABLE MATERIAL identified as Attorney Docket No. EH-10273, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

PATENT

REEL: 010582 FRAME: 0563

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) John W. Putnam Feb. 18, 2000

John W. Putnam

(L.S.) Charles R. Watson Feb. 18, 2000

Charles R. Watson

(L.S.) \_\_\_\_\_, 2000

State of Conn.)

County of Hartford)

ss: EAST Hartford

On this 18<sup>th</sup> day of February, 2000, before me personally appeared John W. Putnam to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Ronald J. Ostafin

Notary Public, State of Connecticut

{Seal}

**RONALD J. OSTAFIN**  
MY COMMISSION EXPIRES **NOTARY PUBLIC**  
MY COMMISSION EXPIRES NOV. 30, 2003

State of Conn.)

County of Hartford)

ss: EAST Hartford

On this 18<sup>th</sup> day of February, 2000, before me personally appeared Charles R. Watson to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Ronald J. Ostafin

Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES:  
**RONALD J. OSTAFIN**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES NOV. 30, 2003