

03-07-2000

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10541 U.S. PTO
09/511702

02/23/00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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Document ID#
- ☐ Correction of PTO Error
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- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Richard R. Dziekan

Execution Date
Month Day Year
02-21-00

Name (line 2)

Second Party

Name (line 1) Nicholas D. Lee

Execution Date
Month Day Year
02-14-00

Name (line 2)

09/511702

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) General Instrument Corporation

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 101 Tournament Drive

Address (line 2)

03/03/2000 09:05:58 00000001 09511702
02 FC:581
City Horsham State/Country PA USA Zip Code 19044

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

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PATENT
REEL: 010583 FRAME: 0809

Correspondent Name and Address

Area Code and Telephone Number 610-346-7112

Name Wendy W. Koba, Esq.

Address (line 1) PO Box 556

Address (line 2) Springtown PA 18081

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
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has not been assigned.

PCT PCT PCT
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Wendy W. Koba, Esq.
Name of Person Signing

Wendy W. Koba
Signature

2/23/00
Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Jonathan A. Weinstock

Execution Date
Month Day Year

02-14-00

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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09/511702

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Address (line 3) City State/Country Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT AND AGREEMENT

For value received, we, Richard R. Dziekan of North Wales in the County of Montgomery and State of Pennsylvania, Nicholas D. Lee of King of Prussia in the County of Montgomery and State of Pennsylvania, and Jonathan A. Weinstock of Doylestown in the County of Bucks and State of Pennsylvania, hereby sell, assign and transfer to General Instrument Corp., a corporation of the State of Delaware, having an office at 101 Tournament Drive, Horsham, Pennsylvania 19044, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Arrangement For Providing Mediated Access In An HFC Access Network** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to General Instrument Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize General Instrument Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of General Instrument Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to General Instrument Corp. in the United States and in all countries foreign to the United States, or to such nominees as General Instrument Corp. they may designate.

We agree that, when requested, we shall, without charge to General Instrument Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Richard R. Dziekan

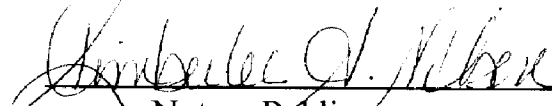
Date: 2/21/2000

United States of America)

State of Pennsylvania) ss.:

County of Montgomery)

On this 21st day of February, 2000, before me personally came Richard R. Dziekan, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

NOTARIAL SEAL
KIMBERLEE A. NILSEN, Notary Public
City of Philadelphia, Phila. County
My Commission Expires May 5, 2001



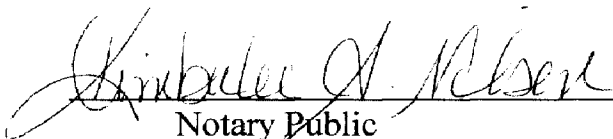
Nicholas D. Lee

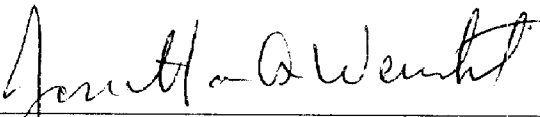
Date: 2/14/00

United States of America)

State of Pennsylvania) ss.:County of Montgomery)

On this 14th day of February, 2000, before me personally came Nicholas D. Lee, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public


Jonathan A. Weinstock

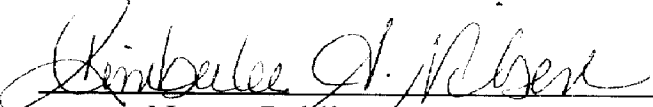
Date: 2/14/00

United States of America)

State of Pennsylvania) ss.:

County of Montgomery)

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Notary Public

