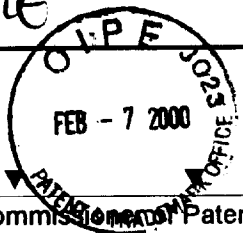


MWD
2/7/00 RE



03-07-2000



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Docket No.: 960-183

107

FORM PTO-1595 (Modified)
(Rev. 6-83)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

TAYLOR, Edward C.
SHIH, Chuan
LEE, Koo
GOSSETT, Lynn S.

2. Name and address of receiving party(ies):

Name: The Trustees of Princeton University

Internal Address: 5th Floor

New South Building

Street Address: P.O. Box 36

City: Princeton State: NJ ZIP: 08542

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other Corrected Assignment to add additional Assignee
previously recorded at Reel 9752 & Frame 0543

Execution Date: Sept. 4 & 9, 1996 and October 2, 1996

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/171,772

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Diane Dunn McKay

Internal Address: Mathews, Collins, Shepherd & Gould,
P.A.

Street Address: 100 Thanet Circle

Suite 306

City: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2165

03/06/2000 DC0ATES 00000092 09171772

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Diane Dunn McKay

Name of Person Signing

Signature

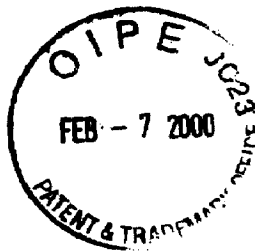
February 4, 2000

Date

Total number of pages including cover sheet, attachments, and document: 6

PATENT

REEL: 010583 FRAME: 0961



Recordation Form Cover Sheet

2. Name and address of receiving party(ies) continued:

Name: Eli Lilly and Company

Address: Lilly Corporate Center
Indianapolis, IN 46285

10-23-98

AID 581-HO

300 Rec'd PCT
Docket No. 960-183

23 OCT 1998

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp 4/94)
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02-12-1999



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Patent and Trademark Office

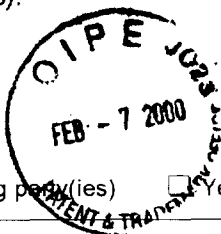
09/171772

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To the Honorable Commissioner of Patents and Trademarks, the attached original documents or copy thereof.

1. Name of conveying party(ies):

TAYLOR, Edward C.
SHIH, Chuan
LEE, Koo
GOSSETT, Lynn S.

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: Sept. 4 & 9, 1996 and October 2, 1996

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Name: The Trustees of Princeton University

Internal Address: 5th Floor

New South Building

Street Address: P.O. Box 36

City: Princeton State: NJ ZIP: 08542

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 23, 1998

A. Patent Application No.(s)

B. Patent No.(s)

10/20/1998 MELANB 00000051 09171772

03 FC:581

40.00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Diane Dunn McKay

Internal Address: Mathews, Collins, Shepherd & Gould

Street Address: 100 Thanet Circle

Suite 306

City: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2165

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Diane Dunn McKay

Name of Person Signing

Signature

October 23, 1998

Date

Total number of pages including cover sheet, attachments, and document:

4

REEL: 010583 FRAME: 0963

ASSIGNMENT

WHEREAS, each of EDWARD C. TAYLOR, CHUAN SHIH, KOO LEE, and LYNN S. GOSSETT (hereinafter jointly referred to as ASSIGNORS), have invented and own a certain invention entitled "**5,6,7,8-TETRAHYDROPYRIDO[2,3-*d*]PYRIMIDINES**" for which application for Letters Patent of the United States was filed on May 1, 1996 as Serial No. 08/640,669 and converted to Provisional Application Serial No. 60/021,174 on July 25, 1996;

WHEREAS, THE TRUSTEES OF PRINCETON UNIVERSITY, a non-profit private educational institution existing under the laws of the State of New Jersey and having a mailing address of 5th Floor, New South Building, P.O. Box 36, Princeton, New Jersey 08542-0036, United States of America,

and

ELI LILLY AND COMPANY, a corporation existing under the laws of the State of Indiana and having a mailing address of Lilly Corporate Center, Indianapolis, Indiana 46285, United States of America (hereinafter referred to as ASSIGNEES), are desirous of acquiring an undivided one-half interest in, to and under the invention described and claimed in said application, in all countries of the world, including said United States Provisional Patent Application and all United States Patent Applications and Letters Patent obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEES to each of the ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign, and transfer to ASSIGNEES the exclusive right, title and interest to the said invention and all Letters Patent of the United States to be obtained therefor on said application, and any continuation, division, renewal, substitute, or reissue thereof, for the full term or terms for which the same may be granted, and all applications and patents corresponding thereto in all countries of the world, including all rights of priority.

ASSIGNORS further covenant that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and

will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEES or their legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto signed my name on the 2nd
day of October, 1996.

Linda Peoples
Witness

Edward C. Taylor
EDWARD C. TAYLOR

IN WITNESS WHEREOF, I have hereunto signed my name on the 4th
day of September, 1996.

Walter Smith
Witness

Chuan Shih
CHUAN SHIH

IN WITNESS WHEREOF, I have hereunto signed my name on the 9th
day of September, 1996.

Walter Smith
Witness

Koo Lee
KOO LEE

IN WITNESS WHEREOF, I have hereunto signed my name on the 4th
day of September, 1996.

Walter Smith
Witness

Lynn S. Gossett
LYNN S. GOSSETT