

03-08-2000

APPLICATION FORM COVER SHEET
Patent and Trademark Office



PAT

To the Honorable Commissioner of Patents and Trademarks: Please rec

of. MKD

101284662

party(ies): 2-7.00

1. Name of conveying party(ies):
Thomas Boston Clarkson, Jr. (date executed 2/18/98)
Mary Susan Anthony (date executed - 2/18/98)
Yuanlong Pan (date executed - 2/18/98)
Michael R. Adams (date executed - 2/18/98)
Doyle H. Waggle (date executed - 3/3/98)
RECEIVED
20 FEB -7 AM 10:40
OPR/FINANCE

Name: Richard B. Taylor
Internal Address: Protein Technologies International, Inc.,
Patent Department - 4C
Street Address: P. O. Box 88940
City: St. Louis State: Missouri ZIP: 63188
Additional name(s) of receiving party(ies) attached? Yes No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: February 28, 1998 and March 3, 1998

4. Application number(s) or patent number(s): 5,952,374
If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s):

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Pat Winston Kennedy, Esq.
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, North Carolina 27101

Attorney Docket No. 08077/184627

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
 Enclosed
 Authorized any deficiencies to be charged to deposit account

8. Deposit account number: 16-1435

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Pat Winston Kennedy
Name of Person Signing

Pat Winston Kennedy
Signature

February 7, 2000 (date)

Registration No. 36,970

Total number of pages including cover sheet:

03/08/2000 DNGUYEN 00000086 5952374

Do not detach this portion

1 FC-501 documents to be recorded with cover sheet information to:
Assistant Commissioner of Patents
Box Assignments
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

2. Name and address of receiving party(ies):

Name: Wake Forest University

Internal Address: _____

Street Address: Medical Center Boulevard

City: Winston-Salem State: North Carolina ZIP: 27157

WHEREAS, I, Doyle H. Waggle residing at 348 Rieth Terrace, St. Louis, MO 63122 have invented certain new and useful improvements in Method For Inhibiting The Development of Alzheimer's Disease and Related Dementias, and For Preserving Cognitive Function for which an application for United States Letters Patent was signed by me on even date herewith; and

WHEREAS, Protein Technologies Int'l a corporation of the State of Delaware, having a place of business at P.O. Box 88940, St. Louis, MO 63188 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, the receipt whereof is hereby acknowledged, and other valuable considerations, I, the said Doyle H. Waggle, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Protein Technologies Int'l the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

I hereby authorize and request the Patent Office Officials in the United States and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Protein Technologies Int'l as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of the said Protein Technologies International its successors and assigns.

FURTHER, I agree that I will communicate to said Protein Technologies Int'l its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Protein Technologies Int'l its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 3^d day of ~~February~~ March, 19 98.

Doyle H. Waggle
Doyle H. Waggle

Signed in the presence of:

STATE OF Missouri)
CITY OF St. Louis) ss

On this _____ day of February, 19 98 personally appeared

before me the above named Doyle H. Waggle, to me known and known to me to be the person described in and who executed the foregoing instrument and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

Notary Public

WHEREAS, THOMAS BOSTON CLARKSON, JR., MARY SUSAN ANTHONY, YUANLONG PAN, and MICHAEL R. ADAMS, hereinafter referred to as ASSIGNOR, is a named inventor of an invention entitled: METHOD FOR INHIBITING THE DEVELOPMENT OF ALZHEIMER'S DISEASE AND RELATED DEMENTIAS, AND FOR PRESERVING COGNITIVE FUNCTION described and claimed in an application for Letters Patent of the United States, said invention disclosed in United States Serial No. 08/939,691, filed September 29, 1997; and

WHEREAS, WAKE FOREST UNIVERSITY, (hereinafter referred to as ASSIGNEE), an educational institution organized and existing under and by virtue of the laws of the State of North Carolina, and engaged in business at Medical Center Boulevard, Winston-Salem, North Carolina 27157-1023, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW THEREFORE THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNOR by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR has sold, assigned and set over and hereby does sell, assign and set over, unto ASSIGNEE, its successors and assigns, his or her entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention, and in and to any and all Letters Patent which may be issued therefor in the United States and in any and all foreign countries.

And ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNOR will execute any further papers and do such other acts and things as may be necessary and proper to permit ASSIGNEE, its successors and assigns, to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this Assignment has been executed on the day and month indicated below.

Date

Signature

2/18/98

Thomas Boston Clarkson Jr.
THOMAS BOSTON CLARKSON, JR.

2/15/98

Mary Susan Anthony
MARY SUSAN ANTHONY

2/18/98

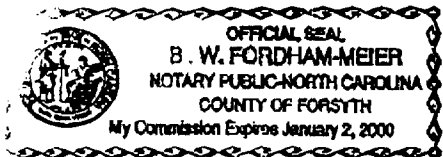
Yuanlong Pan
YUANLONG PAN

2/18/98

Michael R Adams
MICHAEL R. ADAMS

COUNTY OF Forsyth

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 18th day of February, 1998, personally appeared THOMAS BOSTON CLARKSON, JR., to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.



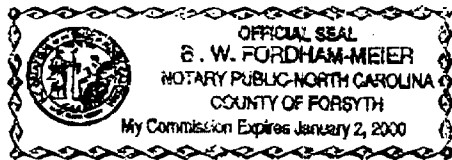
[Handwritten Signature]

Notary Public

STATE OF NORTH CAROLINA

COUNTY OF Forsyth

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 18th day of February, 1998, personally appeared MARY SUSAN ANTHONY, to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.



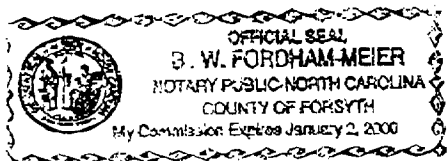
[Handwritten Signature]

Notary Public

STATE OF NORTH CAROLINA

COUNTY OF Forsyth

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 18th day of February, 1998, personally appeared YUANLONG PAN, to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.

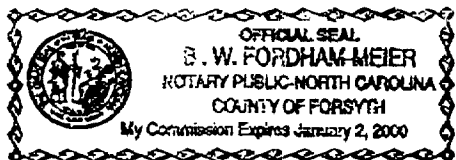


[Handwritten Signature]

Notary Public

COUNTY OF Forsyth

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 18th day of February, 1998, personally appeared MICHAEL R. ADAMS, to me known to be the person described in and who executed the foregoing instrument and who acknowledged that he executed the same as his free act and deed and desired the same to be recorded as such.



B. W. Fordham-Meier

Notary Public

Application for United States Letters Patent Serial Number 08/939,691,
filed September 29, 1997.

PROTEIN TECHNOLOGIES INT'L INC.

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number : EL 146 846 571 US


Date of Deposit : February 7, 2000

Type of Document(s) : Transmittal Sheet for Correction of Error in
Assignment Recordation Cover Sheet
Corrected Assignment Recordation Form
Cover Sheet
Original Assignment from D. Waggle to
Protein Technologies International, Inc.
Original Assignment from T. Clarkson,
M. Anthony, Y. Pan and M. Adams to
Wake Forest University
Check No. .22848 for \$40.00
Return Postcard

U.S. Patent No. : 5,952,374

Issue Date : September 14, 1999

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231.

 (signature)
Colleen Rowan

08077-184627
WINLIB01:719901.01

PATENT
REEL: 010589 FRAME: 0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Thomas B. Clarkson, Jr. et al.
U.S. Patent No. : 5,952,374
Issue Date : September, 14, 1999
For : **METHOD FOR INHIBITING THE DEVELOPMENT
OF ALZHEIMER'S DISEASE AND RELATED
DEMENTIAS, AND FOR PRESERVING COGNITIVE
FUNCTION**

ASSISTANT COMMISSIONER FOR PATENTS
Box: Assignments
Washington, D.C. 20231

**TRANSMITTAL LETTER FOR
CORRECTION OF ERROR IN RECORDED ASSIGNMENT COVER SHEET**

Sir:

Pursuant to 37 C.F.R. § 3.34, Applicants respectfully request that the Patent Office correct an error contained in the recorded cover sheet for the above-identified issued U.S. Patent. The error occurred in the inadvertent omission of one of the two receiving parties. On the original cover sheet, only Protein Technologies International, Inc. was listed as the receiving party with respect to the two assignment documents to which the original cover sheet pertained. The original cover sheet failed to list Wake Forest University as one of the two receiving parties and, thus, the recorded cover sheet at Reel/Frame: 9024/0828 (recording date 03/05/98) incorrectly identifies Protein Technologies International, Inc. as the only assignee of the subject patent.

As will be apparent from a comparison of the original recorded cover sheet with the two recorded assignment documents to which it pertains, Wake Forest University should have been listed as a receiving party for the interests conveyed by Wake Forest University employees, Thomas Boston Clarkson, Jr., Mary Susan Anthony, Yuanlong Pan, and Michael R. Adams. The error in the recordation did not come to Applicants' attention until after the subject U.S. patent issued, which list Protein Technologies International, Inc. as the only assignee.

Copies of the two originally recorded assignment documents are enclosed as well as a check in the amount of \$40.00, the requisite fee for the corrected cover sheet.

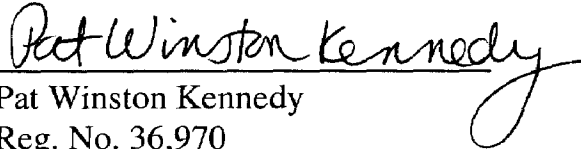
In view of the foregoing, Applicants respectfully request that the recordation cover sheet be corrected and recorded to reflect that Wake Forest University is an assignee of the above-identified U.S. Patent. Applicants also request that a revised Notice of Recordation be issued to reflect the correction.

Respectfully submitted,

Date: February 7, 2000

Attorney Docket No. 08077/184627

Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7300
(336) 607-7336 (direct dial)


Pat Winston Kennedy
Reg. No. 36,970

08077-184627
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