

03-09-2000

ocket No.: P-UW 2896

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(Rev. 6-93)
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RE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



MAD 2-8-00

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark A. Kay
Andre Lieber

2. Name and address of receiving party(ies):

Name: The University of Washington

Internal Address: _____

Street Address: 1107 NE 45th Street, Suite 200

City: Seattle

State: WA ZIP: 98105

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: January 12, 2000 and January 20, 2000

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/972,657

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CAMPBELL & FLORES LLP

Internal Address: _____

03/09/2000 JSMBRAZZ 0000063 08972657

01 FC:581

40.00 UP

Street Address: 4370 La Jolla Village Drive, Suite 700

City: San Diego

State: CA ZIP: 92122

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

03-0370

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Deborah L. Cadena, Reg. No. 44,048

February 1, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

PATENT
REEL: 010591 FRAME: 0070

ASSIGNMENT

This Assignment is made by Assignors, **Mark A. Kay** of 565 Casita Way, Los Altos, California 94022, and **Andre Lieber** of 7251 29th Avenue NE, Seattle, Washington 98115, to Assignee, **University of Washington**, having a place of business at 1107 NE 45th Street, Suite 200, Seattle, Washington 98105.

WHEREAS, Assignors have invented a new and useful **METHODS AND COMPOSITIONS FOR ENHANCED STABILITY OF NON-ADENOVIRAL DNA** for which an application for United States Letters Patent was filed on **November 18, 1997**, in the United States Patent and Trademark Office, bearing Serial No. **08/972,657**, and identified as Attorney Docket No: **P-UW 2896** (University of Washington Technology ID# 2271-2807-2777PT).

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said

Inventors: **Kay and Lieber**
Serial No.: **08/972,657**
Filed: **November 18, 1997**
Page 2

invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date(s) provided below.

Assignor: **Mark A. Kay**

Mark A. Kay
Signature

STATE OF California)
COUNTY OF Santa Clara)

On Jan. 12, 2000, before me, Kathleen Tirado-Chew, Notary public personally appeared Mark A. Kay,
_____ personally known to me - OR - ~~_____ proved to me on the basis of satisfactory evidence~~ to be the person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

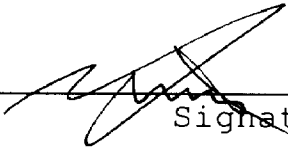
WITNESS by hand and official seal.

Kathleen Tirado-Chew
(Signature of Notary)



Inventors: **Kay and Lieber**
Serial No.: **08/972,657**
Filed: **November 18, 1997**
Page 4

Assignor: **Andre Lieber**



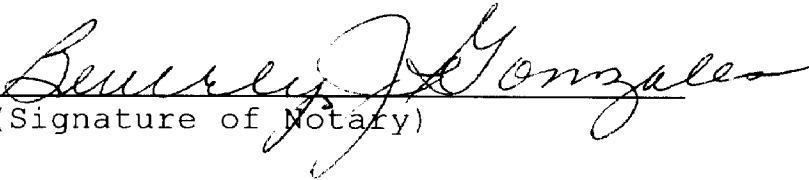
Signature

STATE OF Washington)
COUNTY OF King)

On June 30, 2000, before me, Andre Lieber
personally appeared _____,

✓ personally known to me - OR - ___ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.


(Signature of Notary)

