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Attorney Docket No.: OPF 31.20



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To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original document(s) or copy(ies) thereof.

SUBMISSION TYPE: <u>New</u>	
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CONVEYANCE TYPE: <u>Assignment</u>	
If "Other," specify: <u>N/A</u>	
CONVEYING PARTY(IES): <input type="checkbox"/> Mark if additional names of conveying parties attached	
First Party: Name (line 1): <u>Deborah L. FULLER</u>	Execution Date (MMDDYYYY): <u>01/13/2000</u>
Name (line 2): _____	
Second Party: Name (line 1): <u>James T. FULLER</u>	Execution Date (MMDDYYYY): <u>01/13/2000</u>
Name (line 2): _____	
Third Party: Name (line 1): _____	Execution Date (MMDDYYYY): _____
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Fourth Party: Name (line 1): _____	Execution Date (MMDDYYYY): _____
Name (line 2): _____	
Fifth Party: Name (line 1): _____	Execution Date (MMDDYYYY): _____
Name (line 2): _____	
RECEIVING PARTY(IES): <input type="checkbox"/> Mark if additional names of receiving parties attached	
Name (line 1): <u>PowderJect Vaccines, Inc.</u>	Address: <u>585 Science Drive</u>
Name (line 2): <u>a company of Delaware</u>	<u>Madison, WI 53711</u>

<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (<i>Designation must be a separate document from Assignment.</i>)	
DOMESTIC REPRESENTATIVE NAME AND ADDRESS:	
Name: _____	Area Code and Telephone Number: _____
Address: _____	

CORRESPONDENT NAME AND ADDRESS:	
Name: <u>Thomas P. McCracken</u>	Area Code and Telephone Number: <u>510/742-7700 ext. 209</u>
Address: <u>PowderJect Technologies Inc.</u>	
<u>6511 Dumbarton Circle</u>	
<u>Fremont, CA 94555</u>	

PAGES:	
Total number of pages of the attached conveyance document, including any attachments: <u>4</u>	

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PATENT
REEL: 010591 FRAME: 0808

APPLICATION NUMBER(S) OR PATENT NUMBER(S):

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number. DO NOT ENTER BOTH NUMBERS FOR THE SAME PROPERTY.

Application No(s). 09/434,830 Patent No(s). _____

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor (MMDDYYYY): _____

PATENT COOPERATION TREATY (PCT) NUMBERS:

☐ Mark if additional numbers attached

Enter PCT Application Number only if a U.S. Application Number has not been assigned.

NUMBER OF PROPERTIES:

Enter the total number of properties involved: 1

FEE AMOUNT:

Fee amount for properties listed (37 CFR 3.41): \$ 40.00

Method of payment: ☐ Enclosed ☒ Deposit account

Deposit account number: 50-0828 (Enter for payment by deposit account or if additional fees can be charged to the account.)

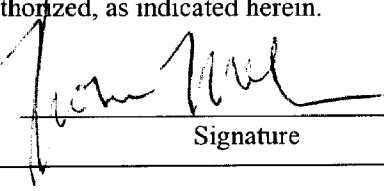
Authorization to charge additional fees: ☒ Yes ☐ No

STATEMENT AND SIGNATURE:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas P. McCracken, Reg. No. 38,548

Name of Person Signing


Signature

27/01/2000
Date

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Honorable Commissioner of Patents and Trademarks, Washington, DC 20231, on the date indicated below:

Date of deposit: Jan 27, 2000

Name (printed): Tami O'Bryant

Signature: 



ASSIGNMENT

Atty Dkt No. APF 31.20

THIS ASSIGNMENT, by Deborah L. Fuller and James T. Fuller (hereinafter referred to as the assignors), residing at Oregon, Wisconsin, U.S.A., witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in NUCLEIC ACID CONSTRUCTS set forth in an application for Letters Patent of the United States, bearing Serial No. 09 434,830, and filed on November 5, 1999; and

WHEREAS, PowderJect Vaccines, Inc., a company organized and existing under the laws of Delaware, of 585 Science Drive, Madison, Wisconsin 53711, U.S.A. (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with

Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date 13 Jan 00 Name of Inventor
Deborah L. Fuller

Date 1/13/00 Name of Inventor
James T. Fuller

County of Dane

Commission Expires: 10/08/2000
Notary Signature