

03-13-2000



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512425-2039



Applicant : Busch et al.
For : **POLYSILOXANES HAVING POLYETHER QUAT FUNCTIONS**
Filed : November 11, 1999

Assistant Commissioner for Patents

1. Name of Conveying Party(ies): 1) Stefan Busch, 2) Manfred Krakenberg, 3) Dr. Peter Lersch, and 4) Dr. Stefan Stadtmüller.
2. Name and address of receiving party(ies): Th. Goldschmidt AG, Goldschmidstrasse 100, D-45127 Essen, Germany.
3. Nature of Conveyance: Assignment (1)
4. ☒ Application No. or Patent No.: 09/439,409
5. ☐ ~~This document is being filed together with a new application.~~ The execution dates of this Assignment for inventor 1) is November 11, 1999, inventors 2) & 3) is November 8, 1999, and inventor 4) is December 1, 1999.
5. Name and address of party to whom the recorded assignment and any correspondence concerning document should be mailed:
William F. Lawrence, Registration No. 28,029
Frommer Lawrence & Haug LLP
745 Fifth Avenue
New York, New York 10151
6. Total number of applications and patents involved: 1
7. Total Fee (37 CFR 3.41): \$ 40.00
☒ Fee enclosed, Check No. 00928
8. ☐ Charge Fee Deposit Account No.: 50-0320 (Attach duplicate copy of this page if paying by deposit account).
☒ If any additional fee is required, authorization is hereby given to charge Deposit Account No. 50-0320.
9. Statement and Signature.
The assignment documents were reviewed and to the best of the assignee's knowledge and belief, there is in the assignee seeking this action.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing:

Barbara Z. Morrissey for William F. Lawrence
Barbara Z. Morrissey, Reg. No. 41,205 for
William F. Lawrence, Reg. No. 28,029

Date

March 6, 2000

03/09/2000 NSHIFERA 00000089 09439409

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NM2260

PATENT
REEL: 010594 FRAME: 0204

ASSIGNMENT

We,

- 1) Stephan Busch, Leipziger Straße 24, D-45145 Essen, Germany
- 2) Manfred Krakenberg, Schönscheidtstraße 57, D-45307 Essen, Germany
- 3) Dr. Peter Lersch, Schleifmühlenstraße 2, D-46119 Oberhausen, Germany
- 4) Dr. Stephan Stadtmüller, Dornbuschhegge 7, D-45309 Essen, Germany

respectively, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled: **POLYSILOXANES HAVING POLYETHER QUAT FUNCTIONS**, which application was executed by me and **Th. Goldschmidt AG**, whose address is **Goldschmidtstraße 100, D-45127 Essen, Germany**, and which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions discoveries, applications or patents or any license to use the same or to make, use or sell

anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs and legal representatives, as well as myself to do, upon Assignee's request and at its expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me or my heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs or legal representatives and which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have affixed my signature.

1) Stephan Busch 11/11/99
Stephan Busch Date

2) Manfred Krakenberg 11/18/99
Manfred Krakenberg Date

3) Dr. Peter Lersch 11/18/99
Dr. Peter Lersch Date

4) Dr. Stephan Stadtmüller 12/1/99
Dr. Stephan Stadtmüller Date
Siegel