

101290078

Box Assignments Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231



RECORDATION FORM COVER SHEET -- PATENT (Form PTO-1595)

Sir

Please record the attached original documents or copy thereof:

- 1. The name of the conveying party is HO-SHANG LEE.
- 2. The name of the party receiving the interest is DICON FIBEROPTICS, INC., a corporation of the State of California, having a principal place of business at 1331 Eighth Street, Berkeley, California 94710.
- 3. The nature of the attached document is an Assignment, which was executed on March 3, 2000.
- 4. In connection with:

The patent application being filed herewith, by inventor HO-SHANGLEE, with a Declaration attached thereto executed by said inventor on March 3, 2000.

5. The name and address to whom all correspondence concerning this matter should be mailed is:

James S. Hsue
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
4 Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
Telephone: (415) 248-5500
Telefacsimile: (415) 362-5418

6. A total of one application is involved in this request.

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- 7. The fee of \$40.00 is enclosed to cover the costs of recordal.
- 8. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 13-1030.

PATENT REEL: 010604 FRAME: 0053 9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.

Dated: March 3, 2000.

Atty. Docket: HSLD.032US0

James S. Hsue, Reg. No. 29,545

The total number of pages including cover sheet, attachments, and document is four (4) pages.

PATENT REEL: 010604 FRAME: 0054

ASSIGNMENT

WHEREAS, HO-SHANG LEE, a resident of El Sobrante California, hereinafter referred to as "Assignor," has invented certain new and useful improvements as described and set forth in an application for Letters Patent of the United States entitled "MULTI-FUNCTIONAL OPTICAL PROCESSOR USEFUL FOR FIBEROPTIC APPLICATIONS" with a Declaration attached thereto that was executed by Assignor on the 3 day of March, 2000;

WHEREAS, DICON FIBEROPTICS, INC., a Corporation of the State of California, having a place of business at 1331 Eighth Street, Berkeley, California 94710, hereinafter referred to as "Assignee," desires to acquire the entire right, title and interest in and to said application, said invention, said improvements, and all Letters Patent which may be granted thereon in the United States or any foreign country;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged by Assignor,

- Assignor hereby sells, assigns, transfers and conveys to Assignee the entire worldwide right, title and interest in and to said application, said invention and said improvements, and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign country, including any divisions, substitutions, continuations in whole or in part, conversions, reissues, additions or extensions thereof, said interest to be held and enjoyed by Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made.
- 2. Assignor hereby warrants, covenants and represents that he has not heretofore granted any license, right or privilege with respect to said application, invention or improvements, or in any other way encumbered the same, and that he has the full right to make this Assignment.
- 3. Assignor further agrees that at the request and expense of Assignee, but without charge to said Assignee, he will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent, in said Assignee, and will execute all oaths and other papers, within the truth, that are necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed

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necessary or desirable by Assignee, for reissuance or reexamination of said Letters Patent, or for the filing in foreign countries of applications for Letters Patent covering said invention or improvements.

The terms, covenants and provisions of this Assignment shall mure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, his heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, I have executed and delivered to Assignee this instrument this 2rd day of March, 2000.

STATE OF CALIFORNIA

) 33 COUNTY OF ALAMED A

On MARCH 3 2000 before me, Tullis Cariela personally appeared personally known to me/proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he she executed the same in his her authorized capacity, and that by his her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Correl.

(SEAL)

PHYLLIS CARIELA Commission # 1169093 Notary Public - California Alameda County ly Comm. Expires Jan 11, 2002

RECORDED: 03/03/2000

PATENT REEL: 010604 FRAME: 0056