

03-17-2000



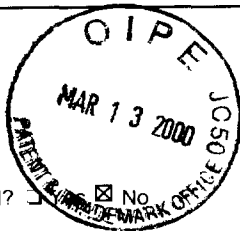
101292123

To the Honorable Commissioner of Patents and

original documents or copy thereof.

1. Name of conveying party(ies):
Joseph O. Norris
James J. Croft, III
Alan Robert Selfridge and
Pierre Khuri-Yakub

Additional name(s) of conveying parties attached? ☐ Yes ☒ No



2. Name and address of receiving party(ies)

Name: AMERICAN TECHNOLOGY CORPORATION

Internal Address: _____

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: 13114 Evening Creek Road

San Diego, California 92128

Execution Date: 12/7/99, 12/22/99 and 1/20/2000

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 12/7/99, 12/22/99 & 1/20/2000

A. Patent Application No(s).

09/478,114

B. Patent No(s).

03/15/2000 17264177 01000016 1547511-

01 001581

41.00 UP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steve M. Perry

Mailing Address: THORPE, NORTH & WESTERN LLP

P.O. Box 1219

Sandy, UT 84091-1219

Street Address: _____

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: 1

7. Total fee (27 CFR 3.41) **\$40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

20-0100 (Deficiencies Only)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steve M. Perry

Name of Person Signing

Steve M. Perry
Signature

March 7, 2000

Date

Total number of pages including this cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments

PATENT
REEL: 010607 FRAME: 0145

Steve M. Perry
THORPE, NORTH & WESTERN, L.L.P.
P.O. BOX 1219
SANDY, UTAH 84091-1219

A S S I G N M E N T

WHEREAS, we, Joseph O. Norris, James J. Croft, III, Alan Robert Selfridge, and Pierre Khuri-Yakub, residents of the state of California, have invented a certain new and useful improvement in a PIEZOELECTRIC FILM SONIC EMITTER, for which an application for United States Letters Patent is being filed concurrently herewith; and

WHEREAS, AMERICAN TECHNOLOGY CORPORATION, a Delaware corporation, having a business address of 13114 Evening Creek Drive South, San Diego, California 92128, is desirous of acquiring the entire right, title, and interest in the same;

NOW, THEREFORE, in consideration of one dollar (\$1.00), the receipt and sufficiency whereof is hereby acknowledged, and other good and valuable consideration, we, the said Joseph O. Norris, James J. Croft, III, Alan Robert Selfridge, and Pierre Khuri-Yakub, by these presents, do sell, assign, and transfer unto said AMERICAN TECHNOLOGY CORPORATION, the full and exclusive right to the said invention and in and to said patent application, and any and all applications for patent, divisions and continuations, substitutes and reissues of said applications and the entire right, title, and interest in, to and under any and all Letters Patent of the United States and foreign countries that may issue or be granted on said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent to said AMERICAN TECHNOLOGY CORPORATION as the assignee of the entire right, title, and interest in and to the same, for its sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and

entirely as the same would have been held by us had this assignment and sale not been made.

Executed this 7th day of December, 1999, at San Diego, California.

Joseph O. Norris

Joseph O. Norris

STATE OF CALIFORNIA)

: ss

County of San Diego)

Before me personally appeared Joseph O. Norris, and acknowledged the foregoing instrument to be his free act and deed this 7th day of December, 1999.

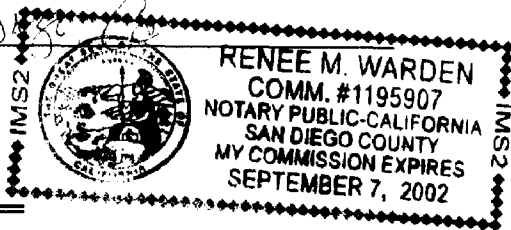
Renee M. Warden

Notary Public

Residing at: San Diego

My commission expires:

Sept 7, 2002



Executed this 7th day of December, 1999, at San Diego, California

James J. Croft, III

James J. Croft, III

STATE OF CALIFORNIA)

: ss

County of San Diego)

Before me personally appeared James J. Croft, -III, and acknowledged the foregoing instrument to be his free act and deed this 7th day of December, 1999.

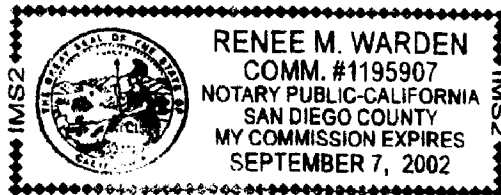
Renee M. Warden

Notary Public

Residing at: San Diego Ca

My commission expires:

Sept 7, 2002



Executed this 22 day of December, 1999, at Sequel, CA.

Alan Robert Selfridge
Alan Robert Selfridge

STATE OF CALIFORNIA)
: ss
County of _____)

Before me personally appeared Alan Robert Selfridge, and acknowledged the foregoing instrument to be his free act and deed this _____ day of _____, 1999.

My commission expires: 5/99

Notary Public
Residing at: _____

Executed this 20th day of January, 2000, at STANFORD, CA.

Pierre Khuri-Yakub
Pierre Khuri-Yakub

STATE OF CALIFORNIA)
: ss
County of SANTA CLARA

Before me personally appeared Pierre Khuri-Yakub, and acknowledged the foregoing instrument to be his free act and deed this 20 day of JANUARY, 1999. 2000

Brenda Martino
Notary Public
Residing at: SAN JOSE, CA

My commission expires:

APRIL 23, 2000

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

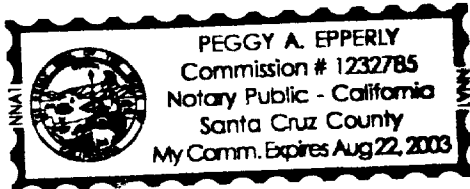
State of Cal. furnia

County of Santa Cruz

On December 22, 1999 before me, Peggy A. Epperly, Notary Public
Date Name and Title of Office (e.g., "Jane Doe, Notary Public")

personally appeared Alan Robert Selfridge
Name(s) of Signer(s)

☒ personally known to me – OR – ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Peggy A. Epperly
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Declaration, Power of Attorney & Petition

Document Date: December 7, 1999 Number of Pages: 2

Signer(s) Other Than Named Above: NONE

Capacity(ies) Claimed by Signer(s)

Signer's Name: Alan Selfridge

- ☒ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing:

Self

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing:

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here