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03-17-2000

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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HEET

FEB 14 2000

To the Honorable Commissioner of

101291784

Attached original documents or copy thereof.

1. Name of conveying party(ies):

**John F. Szul, Kersten Anne Erickson, Simon Mawson,
Paul T. Daniell, Mark G. Goode, Matthew G. McKee**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **January 13 and 28, 2000**

2. Name and address of receiving party(ies):

Name: **Univation Technologies, LLC**

Street Address: **5555 San Felipe, Suite 1950**

City: **Houston** State: **Texas** ZIP: **77056**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/456,234

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Jaimes Sher**
Internal Address: **Univation Technologies LLC.**
Mailing Address: **5555 San Felipe, Suite 1950**
City: **Houston** State: **Texas** ZIP: **77056**

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 1.41): **\$40.00**

☒ Authorized to be charged to deposit account

8. Deposit account number: **50-0589**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.

Jaimes Sher

Name of Person Signing

Signature

February 7, 2000

Date

Total number of pages including cover sheet, attachments, and document: [5]

Mail documents to be recorded with required cover sheet information to:

03/16/2000 DNGUYEN 00000222 500589 09456234

01 00:581

40.00 CH

COMMISSIONER OF PATENTS AND TRADEMARKS
BOX ASSIGNMENT
WASHINGTON DC 20231

ASSIGNMENT OF INVENTION, PRIORITY RIGHTS AND RIGHTS TO APPLY FOR PATENTS

I, the undersigned, John F. Szul, Kersten Anne Erickson, Simon Mawson, Paul T. Daniell, Mark G. Goode, and Matthew G. McKee, HEREBY STATE UNDER OATH that I am the original and first inventor or co-inventor of an invention for *Start Up Methods for Multiple Catalyst Systems* as described and/or claimed in my application for Letters Patent in the United States of America, executed on the 13th and 28th day(s) of January, ²⁰⁰⁰~~1999~~, given the Serial No. 09/456,234, and filed on December 7, 1999, with the Family Number 1999U036.

NOW, THEREFORE, in consideration of my employment by Union Carbide Corporation, manufacturers, a corporation organized and existing under the laws of the State of New York, United States of America and having an office at 39 Old Ridgebury Road, Danbury, State of Connecticut, 06817-0001, United States of America, and having as its fifty-percent-owned joint venture, UNIVATION TECHNOLOGIES, LLC, technology licensors, a company organized and existing under the laws of the State of Delaware, United States of America, and having an office at 5555 San Felipe, Suite 1950, Houston, Texas, 77056, United States of America,

I DO HEREBY ASSIGN AND TRANSFER, without any restrictions, reservations or limitations, my entire right, title, and interest in and to said invention and application unto, as the Assignee, the aforesaid UNIVATION TECHNOLOGIES, LLC, its successors, assigns and nominees, and the entire right, title and interest in and to all patents which may be granted thereon, and all divisions, continuations, continuations-in-part, reissues, reexaminations and extensions thereof;

AND ALL PRIORITY RIGHTS derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property, for any and all member countries of the aforesaid International Convention;

AND THE SOLE RIGHT to file such applications under the Patent Laws of any country of the world in its name and/or mine, and the sole right to have patents granted on said applications in its name and/or mine to the full end of the term for which said patents may be granted as fully and entirely as the same would have been held by me had this assignment not been made, and to enforce said patents with my right to sue for and recover accrued profits or damages for any and all infringements thereof;

AND AUTHORIZE the above-named Assignee to insert in this instrument the execution date, serial number and filing date of said application;

AND AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue all patents on said invention to said UNIVATION TECHNOLOGIES, LLC as Assignee of the entire right, title and interest, and covenant that I have full right so to do, and agree that I will communicate to said Corporation or its representatives any facts known to me respecting said invention and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Corporation, its successors, assigns, and nominees, to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE 1-13-2000 John F. Szul (LS)
John F. Szul

Joan Ethel Cox
Witness

DATE 1-28-2000 Kersten Anne Erickson (LS)
Kersten Anne Erickson

Joan Ethel Cox
Witness

DATE 1-13-2000 Simon Mawson (LS)
Simon Mawson

Joan Ethel Cox
Witness

DATE

January 13, 2000Paul Theodore Daniell
Paul T. Daniell

(LS)

Jean Etha Cox
Witness

DATE

January 13, 2000Mark Gregory Goode
Mark G. Goode

(LS)

Jean Etha Cox
Witness

DATE

1/13/00Matthew G. McKee
Matthew G. McKee

(LS)

Jean Etha Cox
Witness