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To the Honorable Commissioner of Patent

1 original documents or copy thereof.

1. Name of conveying party:  
**Ambikaipakan Balasubramaniam**

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):  
 Name: **University of Cincinnati**

Internal Address: **Intellectual Property Office**  
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3. Nature of conveyance:  
 X Assignment Merger  
 Security Agreement Change of Name  
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Execution Date: **October 11, 1999**

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City: **Cincinnati** State: **OH** ZIP: **45267-0829**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Nos.:  
**U.S. Prov. Pat. Appl. Ser. No. 60/079,291**  
**U.S. Prov. Pat. Appl. Ser. No. 60/121,510**  
**U.S. Pat. Appl. Ser. No. 09/047,986**

B. Patent Nos.:  
**Intl. Pat. Appl. Ser. No. PCT/US96/18374**  
**U.S. Patent No. 5,604,203**

Additional numbers attached? Yes No **X**

5. Name and address of party to whom correspondence Concerning document should be mailed:  
 Name: **Steven J. Goldstein, Esq.**

Internal Address: **FROST & JACOBS LLP**

Street Address: **2500 PNC CENTER**  
**201 East Fifth Street**

City: **Cincinnati** State: **OH** ZIP: **45202**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).... \$ **40.00**  
 Enclosed  
 X Authorized to be charged to deposit account

8. Deposit Account Number **06-2226**

(Attach duplicate copy of this page if paying by deposit count)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Steven J. Goldstein, 28,079**  
 Name of Person Signing, Registration Number

Signature

**9 Feb. 2000**  
 Date

Total number of pages including cover sheet, attachments, and document

9183030/628

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C 20231 this 24 day of February, 2000.

Rachel Woods

## ASSIGNMENT OF INVENTION AND PATENTS THEREON

WHEREAS, I, Ambikaipakan **BALASUBRAMANIAM**, in the County of Hamilton, and State of Ohio, (hereinafter referred to as "ASSIGNOR"), have invented "**PEPTIDE YY ANALOGS**," for which an application for Patent so entitled and executed by me as U.S. Prov. Pat. Appl. Ser. No. 60/079,291, filed Mar. 25, 1998, U.S. Prov. Pat. Appl. Ser. No. 60/121,510, filed Feb. 23, 1999, U.S. Pat. Appl. Ser. No. 09/047,986, filed Mar. 25, 1998, International Pat. Appl. Ser. No. PCT/US96/18374, filed Nov. 13, 1996, and U.S. Pat. No. 5,604,203, issued Feb. 18, 1997; and

WHEREAS, **THE UNIVERSITY OF CINCINNATI**, a non-profit corporation duly organized and existing under the laws of the State of Ohio (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire interest in and to the subject matter disclosed in said applications and to all patents issued or to be issued thereon:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, hereby sells, assigns, and transfers and sets over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all regular applications, divisions, renewals, and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, the International Patent Cooperative Union, the European Patent Convention, the Common Market Convention, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable,; and all forms of industrial property protection, including, without limitation, patents, utility models and designs, which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals, reexaminations and reissues thereof;

ASSIGNOR HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, the said ASSIGNOR, HEREBY covenants and agrees that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

ASSIGNOR HEREBY further covenants and agrees to communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, reexamination and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Witness my hand this 11 day of October, 1999.

Ambikaipakan Balasubramaniam  
Ambikaipakan **BALASUBRAMANIAM**  
Inventor

STATE OF OHIO )  
COUNTY OF HAMILTON ) SS:

On this 11 day of October, 1999, before me personally Ambikaipakan BALASUBRAMANIAM, to me known and known by me to be the above named individual, who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Stephen R. Albainy-Jenett



Stephen R. Albainy-Jenett, ~~Attorney-at-Law~~ Notary Public  
Notary Public, State of Ohio. RC§147.03.  
My Commission Has No Expiration.