

## 03-20-2000

101292601

## SHEET

Docket No. 839-699 51DV-5972

of.

| 1.          | Name of conveying party(ies):   | Name and address of receiving party(ies     General Electric Company      Plant Plant 27 5                   |                       |
|-------------|---|--|-----------------------|
|             | A) Philip L. Andrew B) Chung-hei Yeung C) Joseph A. Cotroneo D) John David Stampfli dditional name(s) of conveying party(ies) attached? |  |                       |
|             |   | 1 River Road, Bldg. 37 - 5 Schenectady, NY 12345 Yes X No  |                       |
|             |   |  | Add                   |
| 3.          |   |  | Nature of conveyance: |
|             | X Assignment Merger Security Agreement Change of Name Other   |  |                       |
|             | Execution Date: 3 - 9 - 00  |  |                       |
| 4.          | Application number(s) or patent number(s):  |  |                       |
|             | If this document is being filed together with a new application, the execution date of the application is:                              |  |                       |
|             | A. Patent Application No.(s) 09/478,523   | B. Patent No.(s)   |                       |
|             | Additional numbers attached?Yes   | <u>X</u> No  |                       |
| 5.          | Name and address of party to whom correspondence  | 6. Total number of applications and patents  |                       |
|             | concerning document should be mailed:   | involved:1_  |                       |
|             | Michelle N. Lester  |  |                       |
|             | Nixon & Vanderhye P.C.  | 7. Total fee (37 CFR 3.41)   |                       |
|             | 1100 North Glebe Road, 8th Floor<br>Arlington, VA 22201-4714  | Enclosed  X Authorized to be charged to deposit account  |                       |
| <del></del> | 00 TTRAN1 00000046 09478523   |  |                       |
| G+105       | -130.00 CH  | 8. Deposit account number: <u>07-0849</u> (Attach duplicate copy of this page if paying by deposit account.) |                       |
|             | DO NO   | T USE THIS SPACE   |                       |
| 9. \$       | Statement and signature.  |  |                       |
|             | To the best of my knowledge and belief, the foregoing inforiginal document.   | ormation is true and correct and any attached copy is a true copy  |                       |
|             | Robert C. Lampe, Jr. Attorney Name  | Signature 10 MARCH 2000 Date   |                       |
|             | •   | <b>5</b>   |                       |
| n C         |   | cluding cover sheet, attachments and document:_4_  |                       |
| VC.         | L/cas   |  |                       |

**PATENT REEL: 010611 FRAME: 0028** 

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## **ASSIGNMENT**

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Philip L. Andrew of 34 Sutherland Drive, Glenville, NY 12302 and Chung-hei Yeung of 1187 Hillside Avenue, Apt. 3B27, Niskayuna, NY 12309, and Joseph A. Cotroneo of 48 St. Andrews Drive, Clifton Park, NY 12065, and John David Stampfli of 16 Keith Road, Delmar, NY 12054, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in METHOD OF AIR-FLOW MEASUREMENT AND ACTIVE **OPERATING LIMIT LINE** MANAGEMENT COMPRESSOR SURGE AVOIDANCE invented by us, as described in the application for United States Patent, filed on January 6, 2000 as Serial Number: 09/478,523; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers. execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

1210 Hempster Niskayung Ni

PATENT REEL: 010611 FRAME: 0029

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| 3/9 ,2000 Thilist Andrew  |  |  |  |
|---|--|--|--|
| Date Philip L. Andrew   |  |  |  |
|   |  |  |  |
| STATE OF New York )   |  |  |  |
| ) ss:<br>COUNTY OF Schenectady)   |  |  |  |
| This 9th day of 7000, before me personally came the above named Philip L. Andrew, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth. |  |  |  |
| CYNTHIA A. SWANSON Notary Public, State of New York Registered in Schenectady County My Commission Expires 07/25/09 Registration No. 01SW5030952  Notary Public My Commission Expires:  My Commission Expires:  |  |  |  |
| 3/9,2000 my   |  |  |  |
| Date Chung-hei Yeung  |  |  |  |
| STATE OF New York ) ) ss: COUNTY OF Schenectady )   |  |  |  |
| This 9th day of 2000 before me personally came the above-named Chung-hei Yeung, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that executed the same of he own free will for the purposes therein set forth.       |  |  |  |
| CYNTHIA A. SWANSON Notary Public, State of New York Registered in Schenectady County My Commission Expires 07/25/ Registration No. 01SW5030952  CYNTHIA A. SWANSON  Upution C. Author  Notary Public  My Commission Expires:  |  |  |  |

PATENT REEL: 010611 FRAME: 0030

**RECORDED: 03/14/2000** 

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| March 9 , 2000<br>Date   | Joseph A. Cotroneo   |
|--|--|
| STATE OF New York ) COUNTY OF Schenectady )  | ) ss:  |
|  | _, 2000 before me personally came the above-named nown as the individual who executed the foregoing at he executed the same of his own free will for the |
| CYNTHIA A. SWANSON Notary Public, State of New York Registered in Schenectady County My Commission Expires 07/25/ Registration No. 01SW5030952 | Notary Public  My Commission Expires:  |
| Mark 9 , 2000  Date  | John David Stampfli  |
| STATE OF New York ) COUNTY OF Schenectady )  | ) ss:  |
| This 9 day of multiple day of me personally known basignment, who acknowledged to me that ourposes therein set forth.                          | _, 2000 before me personally came the above-named nown as the individual who executed the foregoing the executed the same of his own free will for the   |
|  | Notary Public  My Commission Expires:  |

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/
Registration No. 01SW5030952
PATENT

REEL: 010611 FRAME: 0031