

03-21-2000



press Mail No. EL 451 593 697 US

ET

Attorney Docket Number

1012938	73 9840-028-999
TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS Box Assignment Washington, DC 20231	
· · · · · · · · · · · · · · · · · · ·	inal documents or copy thereof.
1. Name of conveying party(ies):	Name and address of receiving party(ies):
JOHN EVANS	Name: The Regents of the University of California
Additional name(s) of conveying party(ies) attached? ☐ Yes 🖾 No	Address: 1111 Franklin Street, Fifth Floor
3. Nature of conveyance:	Oakland, CA 94607-5200
■ Assignment	Country (if other than USA):
□ Security Agreement □ Change of Name	
□ Other	
Execution Date: January 23, 2000	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s) 09/451,621	B. Patent No.(s)
Additional numbers attached? Yes No	
Name and address of party to whom correspondence concerning document should be mailed:	Number of applications and patents involved:
William S. Galliani PENNIE & EDMONDS LLP 3300 Hillview Avenue Palo Alto, CA 94304	7. Total fee (37 CFR 3.41):\$ _40.00 Please charge to the deposit account listed in Section 8.
	8. Deposit account number: 16-1150 (order no. 9840-028-999)
DO NOT USE THIS SPACE	
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
William S. Galliani 33,885 Name of Person Signing Reg No Signature	
The state of the s	number of pages including cover sheet: 3
	3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment
45,14,24

Washington, D.C. 20231

02/21/2000 SBUONG 00000001 161150 09451621 40.00 CH VL . C:53:

REEL: 010613 FRAME: 0420

ASSIGNMENT

UC Case No. <u>B99-031-1</u>

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John Evans

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: *Apparatus and Method for Regulating Fluid Flow With a Micro-Electro Mechanical Block*.

and which is found in

(a)		U.S. provisional application filed herewith and listing the above named persons as inventors	
(b)		U.S. patent application filed herewith and listing the above named persons as inventors	
(c)	XX	U.S. application serial no. <u>09/451,621</u> , filed on <u>November 30, 1999</u>	
(d)		U.S. Patent No.: , issued	

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

23" day of January , 2000

RECORDED: 03/13/2000

Signature of Inventor(s)

JOHN EVANS

CA1 - 236689.1

PATENT REEL: 010613 FRAME: 0421