

03-22-2000

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HEET

101294273

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## Conveying Party(ies)

 Execution Date  
 Month Day Year

Name (line 1) Frederick Keith Ault

October 27, 1999

Name (line 2)

## Second Party

 Execution Date  
 Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party

Mark if additional names of receiving party attached

Name (line 1) VitaChlor Corporation

Name (line 2)

Address (line 1) 6050 East Hanna Avenue

Address (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 3) Indianapolis,

IN/USA

46203

City

State/Country

Zip Code

Enter for the first Receiving Party only.

## Domestic Representative Name and Address

Name

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 PATENT  
 REEL: 010616 FRAME: 0555

Page 2

**Correspondent Name and Address**

Area Code and Telephone Number

(317) 634-3456

Name R. Craig Tucker

Address (line 1) Woodard, Emhardt, Naughton, Moriarty &amp; McNett

Address (line 2) 111 Monument Circle, Suite 3700

Address (line 3) Bank One Center/Tower

Address (line 4) Indianapolis, Indiana 46204-5137

Pages Enter the total number of pages of the attached conveyance document including any attachments.

#2

**Application Number(s) or Patent Number(s)**☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**

09/428,218

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Corporation Treaty**Enter a PCT application number only if a U.S. Application Number has not been assigned.

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**Number of properties**

Enter the total number of properties involved

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:  
Deposit AccountEnclosed ☐Fee previously sent with  
Application - 10/27/99Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account).

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Authorization to charge additional fees:

Yes ☒No ☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

R. Craig Tucker, #45,165

Name of Person Signing

Signature

Date

# Utility Patent Applic Transmittal

11-05-1999



APPLICATION ELEMENT

101193742

Only for new nonprovisional applications under 37

See MPEP chapter 600 concerning utility patent application contents

46:7032-128

ifier Frederick K. Ault

LIQUID BEVERAGE CONCENTRATION

EL329765771US

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

☐ \*Fee Transmittal Form (e.g., PTO/SB/17)

 2. ☒ Specification [Total Pages 17]  
 (preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the Investigation
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

 3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐ ]

4. Oath or Declaration [Total Pages 2]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
 (for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*Note for items 1 & 13: In order to be entitled to pay Small Entity fees, a small entity statement is required (37 C.F.R. § 1.27), except if one filed in a prior application is relied upon (37 C.F.R. § 1.28).

 5. ☐ Microfiche Computer Program (Appendix)

 6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: 09/4288218

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
 Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

Insert Customer No. or Attach bar code label here

☐ or ☐ Correspondence address below

Name	Thomas Q. Henry		
Address	111 Monument Circle, Suite 3700		
City	Indianapolis	State	IN
Country	USA	Telephone	317-634-3456
Name (Print/Type)	Thomas Q. Henry	Registration No. (Attorney/Agent)	28,309
Signature	[Signature]		Date
			October 27, 1999

Express Mail Label Number EL329765771US

Date of Deposit October 27, 1999

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231.

Signature of person mailing paper or fee

## ASSIGNMENT

WHEREAS, Frederick Keith Ault, of 6561 S. Forrest Commons, Indianapolis, Indiana 46227 hereinafter referred to as Assignor, has made a new and useful invention **LIQUID BEVERAGE CONCENTRATE** for which Assignor has made or will make application for Letters Patent of the United States; and

WHEREAS, VitaChlor Corporation, hereinafter referred to as Assignee, a corporation of the State of Indiana, having a principal place of business at 6050 E. Hanna Ave., Indianapolis, Indiana 46203, desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for an in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (Serial No. \_\_\_\_\_, Filed \_\_\_\_\_ ) the date and serial number of said application when officially known, and Assignors hereby authorize and

request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignor agrees to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

10 / 27 / 99  
Date

Frederick K. Ault  
Frederick Keith Ault

STATE OF INDIANA            )  
  ) SS:  
COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for said County and State, personally appeared the above signed, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this \_\_\_\_\_ day of \_\_\_\_\_, 1999.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(Printed Signature)

My Commission Expires: \_\_\_\_\_

My County of Residence: \_\_\_\_\_



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 04, 2000

PTAS

WOODARD, EMHARDT, NAUGHTON ET AL.  
THOMAS Q. HENRY  
BANK ONE CENTER/TOWER  
111 MONUMENT CIRCLE, SUITE 3700  
INDIANAPOLIS, IN 46204-5137



\*101193742A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT**

FEB 18 2000

DOCUMENT ID NO.: 101193742

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

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SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

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MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

3.5.00

RECORDED: 02/28/2000

**PATENT**  
REEL: 010616 FRAME: 0560