

03-23-2000

FORM F TO-1595
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HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 219/210

101296915

To the Honorable Commissioner of Patents.

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
D R. LAWRENCE W. STARK, CLAUDIO M. PRIVITERA,
KAMRAN SIMINOU and JEFFREY OLIVER
Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party:
Name: NEUROPTICS, INC.

Internal Address: _____

City: _____ State: _____ Zip: _____

Street Address: 230 Lille Lane, Suite 211City: Newport Beach State: CA Zip: 92663Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 8, 1999 and July 9, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 09/298,670

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:
Name: James P. Brogan, Esq.

Internal Address: LYON & LYON LLP
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☒ Charge this Deposit Account if any additional fee is required8. Deposit Account Number: 12-2475

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: July 12, 1999

James P. Brogan; Reg. No. 35,833

Total number of pages including cover sheet: 6

OMB No. 0651-0011 (exp. 4/94)

03/21/2000 KWARLING 00000010 09523778

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Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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PATENT
REEL: 010619 FRAME: 0191

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, WE, **DR. LAWRENCE W. STARK**, a citizen of the UNITED STATES, **CLAUDIO M. PRIVITERA**, a citizen of ITALY^{CR}, **KAMRAN SIMINOU**, a citizen of the UNITED STATES, and **JEFFREY OLIVER**, a citizen of the UNITED STATES, (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled **PUPILOMETER WITH PUPIL IRREGULARITY DETECTION CAPABILITY** for which application for Letters Patent of the United States of America was filed on April 23, 1999, assigned Serial No. 09/298,670]; and

WHEREAS, **NEUROPTICS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 230 Lille Lane, Suite 211, Newport Beach, California 92663 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to

said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

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ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United

States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Berkeley,

California, this 8th day of JULY, 1999.

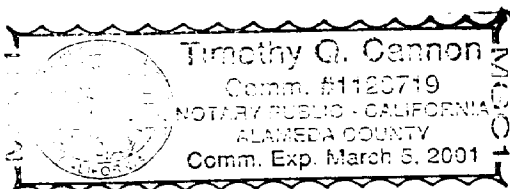

DR. LAWRENCE W. STARK

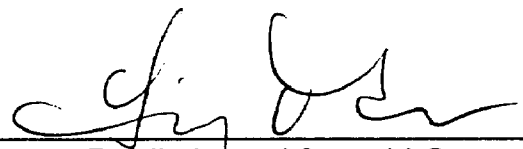
STATE OF CALIFORNIA)
) ss
COUNTY OF Alameda)

On JULY 8 1999 before me, TIMOTHY Q. CANNON, personally appeared
LAWRENCE W. STARK

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

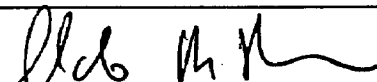
WITNESS my hand and official seal.




Notary Public in and for said County and State

WITNESS my hand at Berkeley, California,

California, this 9th day of July, 1999.


CLAUDIO M. PRIVITERA

[illegible]

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____ before me, _____, personally appeared

☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and
State

WITNESS my hand at Costa Mesa

California, this 8 day of July, 1999.



KAMRAN SIMINOU

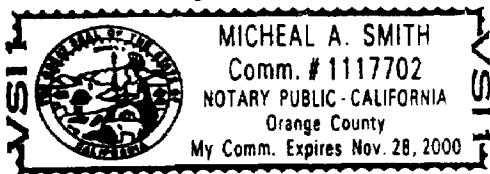
STATE OF CALIFORNIA)
) ss
COUNTY OF ORANGE)

On JULY 8, 1999 before me, MICHAEL A. SMITH, personally appeared
KAMRAN SIMINOU

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized

capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Micheal A. Smith

Notary Public in and for said County and State

WITNESS my hand at _____,

California, this _____ day of _____, 1999.

JEFFREY OLIVER

STATE OF CALIFORNIA)
) ss
COUNTY OF _____)

On _____ before me, _____, personally appeared

☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and
State

WITNESS my hand at Folsom,

California, this 8TH day of JULY, 1999.

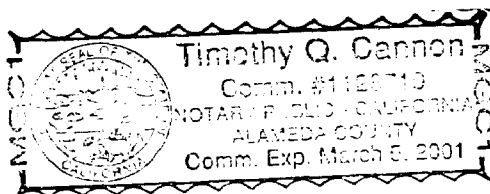
Jeffrey Oliver
JEFFREY OLIVER

STATE OF CALIFORNIA)
) ss
COUNTY OF ALAMEDA)

On JULY 8, 1999 before me, TIMOTHY Q. CANNON, personally appeared
JEFFREY OLIVER

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]
Notary Public in and for said County and
State