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To the Hon. Commissioner of Patents and Trademarks  
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Docket No. **GK-ZEI-3073**

SUBMISSION TYPE: ☒ **New**  
☐ Resubmission (Non-Recordation) Document ID # \_\_\_\_\_  
☐ Correction of PTO Error / Reel # \_\_\_\_\_ / Frame # \_\_\_\_\_  
☐ Corrective Document / Reel # \_\_\_\_\_ / Frame # \_\_\_\_\_

1- Name of conveying Party(ies): **Thomas STIFTER, Otmar MARTI and Robert BRUNNER**

2- Name of receiving Party(ies): **Carl Zeiss Jena GmbH**  
 Address of receiving Party(ies): **Tatzendpromenade 1a, D-07745 Jena, GERMANY**

3- Nature of Conveyance:  
☒ **Assignment**  
☐ Change of Name  
☐ Merger  
☐ Security Agreement  
☐ Other:  
**EXECUTION DATES: January 14, 2000**  
**and January 20, 2000**

4- Application number(s) or Patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is:

A) Application number(s): **09/442,429**  
 B) Patent number(s):

5- Name and Address of party to whom correspondence concerning document should be mailed:  
**Gerald H. Kiel, Esq.**  
**McAulay Nissen Goldberg Kiel & Hand, LLP**  
**261 Madison Avenue**  
**New York, NY 10016-2391**  
**(212)986-4090**

6- Total number of applications and patents involved: one

7- TOTAL FEE (37 CFR 3.41): **\$ 40.00**  
☒ **Enclosed** (The Commissioner is hereby authorized to charge the deposit account any additional fees required or to credit any overpayment to Deposit Account No: 13-0025.)

☐ Authorized to be charged to Deposit Account

8- Deposit Account No: **13-0025**

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9- Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Gerald H. Kiel**

Reg. No.: 25,116

Name of Person Signing

Signature

**February 15, 2000**

Date

Total number of pages including cover sheet, attachments, and documents: 3**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on **February 15, 2000**

/ **Ruth Montalvo** Date: **02/15/00**

**PATENT**  
**REEL: 010620 FRAME: 0360**

MPS 2-17-00

## ASSIGNMENT - PATENT APPLICATION

## UNITED STATES OF AMERICA

Whereas, I/We Thomas Stifter, ~~Othmar~~ Marti and Robert Brunner of

Rechbergstr. 7, D-89281 Illereichen, Germany; ~~Kelternweg~~ 123, D-89075 Ulm,

Germany; and St. Jakob-Str. 33, D-07743 Jena, Germany Germany, respectively (hereinafter

"Assignor") have invented certain new and useful improvements in METHOD FOR

DETERMINING THE DISTANCE OF A NEAR-FIELD PROBE FROM A SPECIMEN SURFACE TO BE

EXAMINED, AND NEAR-FIELD MICROSCOPE for which application for Letters Patent in the

United States of America \_\_\_\_\_ is about to be filed; ☒ X has been filed.

And Whereas, Carl-Zeiss Jena GmbH of Tatzendpromenade 1a, D-07745

Jena, Germany (hereinafter "Assignee") is/are desirous of acquiring an interest therein and in

the Letters Patent to be obtained therefor.

Now, Therefore, be it known by all whom it may concern, that for good and valuable consideration (the sufficiency of which is hereby acknowledged) the Assignor has assigned, transferred and set over, and by these presents does assign, transfer and set over unto the said Assignee for the territory of the United States of America, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification.

A. prepared and executed on \_\_\_\_\_

B. filed in the U.S. Patent and Trademark Office under Serial No. 09/442,429

on November 17, 1999 including any division, continuation, substitute or renewal

application thereof; said invention, application and Letters Patent to be held and enjoyed by

the said Assignee to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by the Assignor had this assignment and transfer not been made.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent for said invention to said Assignee.

In testimony whereof, the Assignor has hereunto set his hand this

14 day of January 2000.  
20 January 2000

WITNESS:

INVENTOR(S):

G. I. Asbach  
(G. I. ASBACH)

Thomas Stifter  
Thomas STIFTER

G. I. Asbach  
(G. I. ASBACH)

Othmar Marti  
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Ilona Romanus  
Ilona ROMANUS

Robert Brunner  
Robert BRUNNER