Generated Form PTO-1595 (rev 12-95) 1. S. Department of Commerce 03-23-2000 atent and Trademark Office Atlorney Docket No. 56115534/118128 RE ET 2-11-08 101297476 To the Honorable Commissioner of Pau ned original documents or copy thereof 2. Name and Address of receiving party(ies) 1. Name of conveying party(ies): Tak Kit Dennis TONG Giorgio Giaretta Name: Lucent Technologies, Inc. Additional name(s) of conveying party(ies) attached? 3. Nature of conveyance: Address: 600 Mountain Avenue P.O. Box 636 Murray Hill, New Jersey 07974-0636 x Assignment ___ Merger __ Security Agreement Change of Name __ Other ____ Additional name(s) & address(es) attached? ____ Yes __x No Execution Date: February 8, 2000 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: February 11, 2000 A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: Victor DeVito 7. Total fee (37 CFR 3.41).....\$ 40.00 Baker & McKenzie 805 Third Avenue __ Enclosed New York, New York 10022 X All or any deficiency is authorized to be charged to Deposit Account No. 12-2325 8. Deposit Account No. 12-2325 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. February 11, 2000 Date Total number of pages including cover sheet, attachments, and document: 4 **EXPRESS MAIL LABEL NO.: EJ455769479US** DATE OF DEPOSIT: February 11, 2000 03/22/2000 DHGUYEN 00000270 122325 09503036 01 FC:581 40.00 CH

> PATENT REEL: 010621 FRAME: 0149

ASSIGNMENT AND AGREEMENT

For value received, we, Tak Kit Dennis Tong, of Middletown, New Jersey and Giorgio Giaretta, of Westfield, New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to OPTOELECTRONIC PHASE LOCKED LOOP WITH BALANCED PHOTODETECTION FOR CLOCK RECOVERY IN HIGH-SPEED OPTICAL TIME DIVISION MULTIPLEXED SYSTEMS the specification of which is filed herewith as a United States Patent Application, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

> Express Mail No. EJ455769479US Date of Deposit: 02/11/00 PATENT

REEL: 010621 FRAME: 0150

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Tak Kit Dennis Tong

Date: FFB 89F , 2000

State of New Tersey) ss.: County of Manne of)

On this 6th day of <u>febrace</u>, 2000, before me personally came **Tak Kit Dennis Tong**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

JEFFERY JAMES BROSEMER
A Notary Public of New Jersey

My Commission Expires August 10, 2000

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: Tel-8 12, 2000 Giorgio Giaretta

State of New Tersey) ss.: County of Manne of)

On this grade day of february, 2000, before me personally came Giorgio Giaretta, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

JEFFERY JAMES BROSEMER
A Notary Public of New Jersey
My Commission Expires August 10, 2000

[NYC] 322379.1

RECORDED: 02/11/2000

PATENT REEL: 010621 FRAME: 0152