

03-24-2000

U.S. Department of Commerce
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U.S. 09/505123
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☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

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☐ Departmental File ☐ Secret File

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☒ Mark if additional names of conveying parties attached

Name (line 1)	Execution Date		
	Month	Day	Year
CHUN D. LEE	2	15	2000
Name (line 2)			
Second Party			
Name (line 1)	RAMESH N. SHROFF		
Name (line 2)			

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Name (line 1)	EQUISTAR CHEMICALS, LP	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
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03/24/2000 JSHABAZZ 00000031 170032 09505123
01 FC:581 40.00 CH

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PATENT
REEL: 010623 FRAME: 0923

Correspondent Name and Address

Area Code and Telephone Number

(513) 530-4218

Name **Gerald A. Baracka**

Address (line 1) **Equistar Chemicals, LP**

Address (line 2) **11530 Northlake Drive**

Address (line 3) **Cincinnati, Ohio 45249**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

DKT. C-98018

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
2/15/2000

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

17-0032

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gerald A. Baracka

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) JEFFREY A. JONES

Execution Date
Month Day Year
02/15/2000

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

DKT. C-98018

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
C-98018

This is an Assignment of the following rights, title and interest: (check all that apply):

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☒ *United States Patent Application Serial No.* Not yet assigned

Date of Execution: _____

Date of Filing: Herewith _____

☐ *United States Provisional Patent Application Serial No.* _____

☐ *United States Patent No(s).* _____

☐ *International (PCT) Patent Application Serial No.* _____

☐ *Other (specify)* _____

Title of the Invention

EXTRUSION COMPOSITIONS WITH IMPROVED MELT FLOW

Inventors (assignors)

<i>Name</i>	<i>Address</i>
CHUN D. LEE	10541 STORYBOOK DRIVE, CINCINNATI, OHIO 45242
RAMESH N. SHROFF	7952 CHESTERSHIRE DRIVE, CINCINNATI, OHIO 45241
JEFFREY A. JONES	8788 WHITE DRIVE, MORROW, OHIO 45152

Assignee

<i>Name</i>	<i>Address</i>
EQUISTAR CHEMICALS, LP	1221 McKinney Street Suite 700 Houston, Texas 77010

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
C-98018

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

<i>Name</i>	<i>Signature/Date</i>
CHUN D. LEE	
RAMESH N. SHROFF	
JEFFREY A. JONES	

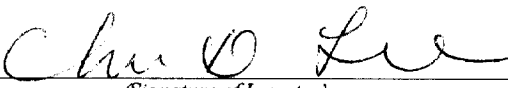
**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
C-99018

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 15th day of FEBRUARY, in the year 2000
at Cincinnati, Ohio; USA

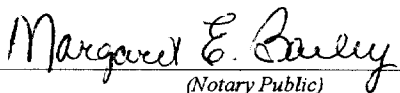

(Signature of Inventor)

State of Ohio

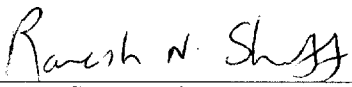
County of Hamilton

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2001
Recorded in Clermont County

Before me personally appeared CHUN D. LEE
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 15th day of FEBRUARY, in the year 2000


(Notary Public)

Executed this 15th day of FEBRUARY, in the year 2000
at Cincinnati, Ohio; USA


(Signature of Inventor)

State of Ohio

County of Hamilton

Before me personally appeared RAMESH N. SHROFF
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 15th day of FEBRUARY, in the year 2000

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2001
Recorded in Clermont County


(Notary Public)

Executed this 15 day of FEBRUARY, in the year 2000
at Cincinnati, Ohio; USA


(Signature of Inventor)

State of Ohio

County of Hamilton

Before me personally appeared JEFFREY A. JONES
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 15th day of FEBRUARY, in the year 2000

MARGARET E. BAILEY
Notary Public, State of Ohio
My Commission Expires August 30, 2001
Recorded in Clermont County


(Notary Public)