

Practitioner's Docket No. 17301.0000

03-27-2000

EA



Box Patent Application  
Assistant Commissioner for Patents  
Washington, D. C. 20231

101298810

MRD 3.14.00

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING  
NEW PATENT APPLICATION (37 C.F.R. § 3.31)



**IDENTIFICATION OF APPLICATION**  
(37 C.F.R. § 3.21 AND 37 C.F.R. § 3.31(a)(4))

1. The  patent application  provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

- Application of patent (37 C.F.R. § 1.53(b))
- Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
- Provisional application (37 C.F.R. § 1.53(c))
- International application (37 C.F.R. § 1.431)

b. Date of execution:

09/524865

Note: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- 1: Alan D. Fuller
- 2:
- 3:

d. Title of Invention: **Electric Service Load Limiter**

**CERTIFICATION 37 CFR §1.10\***

(Express Mail label number is mandatory.)  
(Express Mail certification is optional.)

03/23/2000 DATE 09/524865  
02 FC:581  
I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application," along with any document referred to, is being deposited with the United States Postal Service on this date March 14, 2000, in an envelope as "Express Mail Post Office to Post Office," Mailing Label Number EG760893579US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dicle S. Demiral

(type or print name of person mailing paper)

Dicle Demiral  
Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: (37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended.")

**NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))**

2. The party(ies) conveying this interest is (are):

Name 1: Alan D. Fuller  
Name 2: \_\_\_\_\_  
Name 3: \_\_\_\_\_

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: Warren Electric Cooperative, Inc.  
Address: 320 E. Main Street  
Youngsville, PA 16371

Telephone No.: (814) 897-7236

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE**

**SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))**

5. Please address correspondence to:

Name: Edward W. Goebel, Jr., Esq.  
Address: MacDonald, Illig, Jones & Britton LLP  
100 State Street, Suite 700  
Erie, PA 16507-1498

Telephone No.: (814) 870-7706

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))**

5. The attached assignment (document) was executed on March 14, 2000.  
Date

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

Note: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 C.F.R. § 3.26.

7. The attached document:

- is in English language.
- is not in the English language. An English translation signed by the individual making the translation and state-ment as to its accuracy is attached.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

Note: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides. (37 C.F.R. § 3.24).

8. Submitted herewith is:

- the original document.
- a true copy of the original document.

Note: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE**

Note: "All requests to record documents must be accompanied by the appropriate fee. Except as provided in paragraph (b) of this section, a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h) . . . ." 37 C.F.R. § 3.41.

9. A. This cover sheet identifies only one application:

B. The fee for recordal (37 C.F.R. § 1.21(h)) is \$40.00.

- Attached is a check for \$40.00.
  - Please charge Account \_\_\_\_\_ \$40.00.
- A duplicate of this cover sheet is attached.

**STATEMENT (37 C.F.R. § 3.31(a)(9))  
AND SIGNATURE (37 C.F.R. § 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

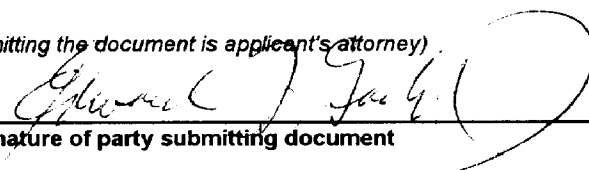
Date: \_\_\_\_\_

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

*(complete the following, if the party submitting the document is applicant's attorney)*

Reg. No. 22,678

  
\_\_\_\_\_  
Signature of party submitting document

Tel. No. (814) 870-7706

**Edward W. Goebel, Jr., Esq.**

*(type or print name of practitioner)*

**100 State Street, Suite 700**

**Erie, Pennsylvania 16507-1498**

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

\_\_\_\_\_  
Total number of pages submitted

For:  U.S. and/or  Foreign Rights  
 For:  U.S. Application or  
        U.S. Provisional Application  
 For:  U.S. Patent  
 For:  PCT Application  
 By:  Inventor(s) or  Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or entity(ies) who own the invention

Alan D. Fuller  
*(type or print name(s) of ASSIGNOR(S))*

515 Prospect Street  
Address

Warren, PA 16365-2660

U.S.A.  
Nationality

*(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following)*

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**

Warren Electric Cooperative, Inc.  
*(type or print name(s) of ASSIGNEE(S))*

320 E. Main Street  
Address

Youngsville, PA 16371

U.S.A.  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest  
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

**Electric Service Load Limiter**

Name of inventor(s) **Alan D. Fuller**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

- (a)  U. S. patent application executed on even date herewith  
(b)  U. S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-entitled invention.  
 Express mail label no.: \_\_\_\_\_  
Mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.  
(d)  U.S. application no. \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_  
(e)  International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_  
(f)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
 A change of address to which correspondence is to be sent rearding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned.)

- (g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 14<sup>th</sup> day of March, 2000.  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 3/14/2000

*Alan D. Fuller*  
Signature of ASSIGNOR(S)

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

*(if ASSIGNOR is a legal entity, complete the following information)*

\_\_\_\_\_  
*(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)*

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.