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Form PTO-1595 (modified)

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RECORDATION COVER SHEET  
PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Helmut Schmidt
2. Herbert Krug
3. Peter W. Oliveira
4. Stefan Sepeur

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

**Name:** Institut fuer Neue Materialien gemeinnuetzige GmbH

**Internal Address:**

**Street Address:** Im Stadtwald - Gebaude 43a  
Saarbruecken  
GERMANY

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ ASSIGNMENT - 2 pgs

Date Signed: 1. 11/30/99, 2. 12/9/99, 3. 12/20/99, 4. 12/21/99

4. Application number(s) or patent number(s):

**Attorney Docket No. 24448-0004**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/155,639

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Name:** Heller Ehrman White & McAuliffe

**Internal Address:**

**Street Address:** 525 University Avenue

**City:** Palo Alto **State:** CA **Zip:** 94301-1908

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)..... \$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

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40.00 DP

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Derek P. Freyberg, Reg. No. 29,250

Name of Person Signing

*Derek P. Freyberg*  
Signature

2/18/00  
Date

Total number of pages including cover sheet, attachments and document: [ 3 ]


WHEREAS, We, Helmut Schmidt, Herbert Krug, Peter W. Oliveira, and Stefan Sepeur, having the addresses indicated below, are joint inventors of certain subject matter entitled OPTICAL COMPONENTS WITH A GRADED-INDEX STRUCTURE, AND METHOD OF MANUFACTURING SUCH COMPONENTS (the "Invention"), for which we have executed U.S. Patent Application No. 09/155,639, filed in the U.S. Patent & Trademark Office on October 1, 1998 as the US national phase of PCT International Application No. PCT/EP97/01678, filed April 3, 1997;

AND WHEREAS, Institut für neue Materialien gemeinnützige GmbH, having an address at Im Stadtwald - Gebäude 43a, 66123 Saarbrücken, Germany ("INM") desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America;

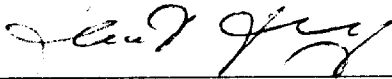
NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We acknowledge INM's interest in the Invention and hereby assign to INM for the United States of America all my rights, title and interest in the Invention, as described and claimed in Application No. 09/155,639, or in any continuation, division, reissue, reexamination or extension thereof; the Invention, application and Letters Patent to be owned by INM, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

This assignment is effective as of April 3, 1997.

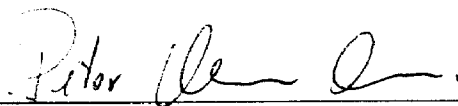
I have read and understand this Assignment document for Application No. 09/155,639 and agree hereto, as indicated by my signature as set forth below.

FIRST JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
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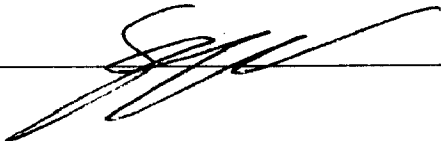
  

SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
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