

FORM PTO-1595

(Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCE

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OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- A. Anthony David Baxter
B. Stephen Paul Collingwood
C. Mark Edward Douglas
D. Roger John Taylor

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: A. Aug. 5, 1998 B. Sept. 14, 1998
C. Sept. 6, 1998 D. Sept. 28, 1998

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzwaldallee 215City: Basel State: Switzerland ZIP: 4058Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/142,212

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Melvyn M. KassenoffName of Person Signing
Reg. No. 26,389Melvyn M. Kassenoff

Signature

May 11, 2000

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

700000778

PATENT
REEL: 010627 FRAME: 0779

US (PCT) Case 4-20769/A/MA 2128

ASSIGNMENT

I / We **Anthony David Baxter, 1 Kingfisher Close, Abingdon, Oxon OX14 5NP, Great Britain**
Stephen Paul Collingwood, 14 Fowler Close, Maidenbower, Crawley, W.Sussex RH10
7UN, Great Britain
Mark Edward Douglas, 71 High Street, Macclesfield, Cheshire SK11 7QQ, Great Britain
Roger John Taylor, 3 Charlock Way, Southwater, West Sussex RH13 7GS, Great
Britain

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:




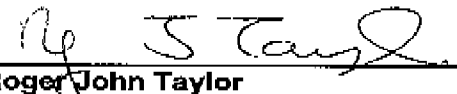
OLIGONUCLEOTIDE ANALOGUES

invented by me/us and described in the international application

No. **PCT/GB97/00499** filed **24.02.97**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

 Anthony David Baxter	Signed on <u>05 / August / 1998</u>
 Stephen Paul Collingwood	<u>14 / 09 / 98</u>
 Mark Edward Douglas	<u>06 September 1998</u>
 Roger John Taylor	<u>28 / 9 / 98</u>