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JT-c639 U.S. PTO  
09/518837  
03/03/00

Attorney Docket No. 800470

Recordation Form Cover Sheet  
Patents Only

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Frank D. Tuttle**

2. Name and address of receiving party(ies):  
Name: **Assured Regulatory Compliance, Inc.**  
Internal Address:  
Street Address: **38 Cedarbrook  
Irvine, California 92620**

3. Nature of conveyance:  
 Assignment      \_\_\_ Merger  
\_\_\_ Security Arrangement      \_\_\_ Change of Name  
\_\_\_ Other

Additional name(s) & address(es) attached? \_\_\_ Yes  No

Execution Date: **March 2, 2000**

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:  
A. Patent Application No. (s) **March 2, 2000** B. Patent No.(s)  
Additional numbers attached? \_\_\_ Yes  No  
Additional Nos. **09/518837**

5. Name and address of party to whom  
correspondence concerning document should be  
mailed:

Name: **Gail Taylor Russell**  
Street Address: **4807 Spicewood Springs Road  
Building One, Suite 1200**  
City: **Austin** State: **TX** Zip: **78759**

6. Total number of applications and patents  
involved: **1**

7. Total fee (37 CFR 3.41)      \$40.00  
 Enclosed      \_\_\_ Charge to Deposit Account

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8. Deposit Account Number:

Attach duplicate copy of this page if paying by deposit

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Gail Taylor Russell**  
Name of Person Signing

*Gail Taylor Russell*  
Signature

Date: **March 3, 2000**

Total number of pages comprising cover sheet 1

**PATENT**  
**REEL: 010631 FRAME: 0403**

**Assignment of Invention and Patent Application**

For value received, **Frank D. Tuttle** (hereinafter ASSIGNOR), hereby sells, assigns, transfers and sets over unto the **Assured Regulatory Compliance, Inc.** **38 Cedarbrook, Irvine, California 92620** (hereinafter ASSIGNEE) 100% ASSIGNOR'S right, title and interest, including the right to sue and recover damages for past infringement, in and to:

- (A) the invention entitled "**Loan Compliance Auditing System And Method**";
- (B) the application for United States patent, signed by ASSIGNOR on \_\_\_\_\_, therefor U.S. Patent and Trademark Office Serial Number \_\_\_\_\_; Filed \_\_\_\_\_;
- (C) any patent or reissues of any patent that may be granted thereon; and
- (D) any applications which are continuations, continuations-in-part, substitutes or divisions of said application.

ASSIGNOR authorizes ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR's entire right, title and interest in and to said invention in each and every country foreign to the United States, and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

To be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature *Frank D. Tuttle* Date 3/2/2000  
Name: **Frank D. Tuttle** (ASSIGNOR)

State of California )  
~~Texas~~ )  
County of Orange )

Before me, the undersigned authority, on this 2nd day of Feb 2000, personally appeared **Frank D. Tuttle**, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

*Lina Berenfeld*  
Notary Public

