

03-29-2000

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



ER SHEET

To the Honorable Commissic

101300796

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Timothy S. DECEMBER

2. Name and address of receiving party(ies):

Name: BASF Corporation  
Internal Address: Patent Department  
Street Address: 26701 Telegraph Road  
Southfield, Michigan 48034-2442

Additional numbers attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other ☐

Execution Date: December 20, 1999

Additional numbers attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
Attorney's Docket No. IN-5212  
Application No. 09/467,256

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom  
correspondence concerning document  
should be mailed:

Name: Mary E. Golota  
Internal Address: BASF Corporation  
Street Address: 26701 Telegraph Road  
City: Southfield State: Michigan Zip: 48034-2442

5a. Name and address of Party to whom  
correspondence concerning document  
should be mailed:

Name: Anne Gerry Sabourin  
Internal Address: BASF Corporation  
Street Address: 26701 Telegraph Road  
City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed  
Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

I hereby certify that the attached  
correspondence is being deposited with  
the United States Postal Service in an  
envelope as First Class Mail addressed to  
the Assistant Commissioner for Patents,  
Washington, D.C. 20231 on February 8,  
2000.

Majorie Ellis

Name of Person Signature

Signature

Date

-4-

Total number of pages comprising cover sheet:

03/29/2000 DNGUYEN 00000101 233425 09467256  
01 FC:581 40.00 CH

PATENT  
REEL: 010633 FRAME: 0652

ASSIGNMENT

WHEREAS, I (WE), Timothy S. DECEMBER residing at 546 Parkland, Rochester, Michigan 48307 United States of America (declarant(s)) declare that I (WE) have invented certain improvements in: **PIGMENT DISPERSANTS HAVING ANIONIC FUNCTIONALITY FOR USE IN ANODIC ELECTROCOAT COMPOSITIONS** hereinafter the invention or inventions, described in U.S. Patent Application Serial No. **09/467,256** , filed on **December 20, 1999** and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the

Docket No. IN-5212

aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: PIGMENT DISPERSANTS HAVING ANIONIC FUNCTIONALITY  
FOR USE IN ANODIC ELECTROCOAT COMPOSITIONS

Docket No. IN-5212

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

**Dated:** January 5, 2000

**Signed:** Timothy S. December  
**Typed Name:** Timothy S. DECEMBER

State of MICHIGAN )  
                                  ) ss.  
County of OAKLAND )

On this 5<sup>th</sup> day of January 2000 before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

Marjorie E. Ellis  
Notary Public,  
Wayne County, Michigan Acting in Oakland  
County, State of Michigan  
My Commission Expires: April 8, 2001

(seal)

**MARJORIE E. ELLIS**  
Notary Public, Wayne County, Michigan  
Acting in Oakland County, Michigan  
My Commission Expires April 8, 2001