

03-29-2000



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ASSIGNMENT

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-PATENTS ONLY-

JET

Jc530 U.S. PTO  
09/526030  
03/15/00

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

1. Name of conveying parties:
  - a) Vincent J. Bastiani
  - b) Tony Kwan
  
2. Name and address of receiving party:
  - a) Name: Adaptec, Inc.
  - b) Address: 691 S. Milpitas Blvd., Milpitas, CA 95035
  
3. Nature of conveyance
 

|  |  |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger            |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name    |
| <input type="checkbox"/> Other _____           | <input type="checkbox"/> License Agreement |

Execution Dates: March 14, 2000, respectively

4. Application Number or Patent Number: Not yet assigned

The title of the new application is: HOST AND DEVICE SERIAL COMMUNICATION PROTOCOLS AND COMMUNICATION PACKET FORMATS

5. Please send all correspondence concerning this document to:

09/526030

**Albert S. Penilla**  
MARTINE PENILLA & KIM, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94086  
Tel. No. (408) 749-6900  
Fax No.: (408) 749-6901

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- Enclosed  
 Authorized to be charged to Deposit Account No. 50-0805  
 (Order No. ADAPP095C)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: March 15, 2000

Albert S. Penilla, Esq.  
Registration No. 39,487

Attorney Docket No. ADAPP095C

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\$5.00 OP  
PATENT

(Revised 01/06)

REEL: 010634 FRAME: 0151

# ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas we the undersigned inventors have invented certain new and useful improvements as set forth in the patent application entitled:


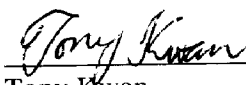
## HOST AND DEVICE SERIAL COMMUNICATION PROTOCOLS AND COMMUNICATION PACKET FORMATS

(Atty. Docket No: ADAPP095C) for which we have executed an application for a United States Letters Patent.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We the undersigned inventors hereby:

- 1) Sell, assign and transfer to **Adaptec, Inc.**, a Delaware corporation having a place of business at 691 S. Milpitas Blvd., Milpitas, CA 95035, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, applications based upon, and Patents (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any divisions, continuations, substitutes or reissues thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventors, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the dates indicated beside our signatures.

- 1) Signature:  Date: 3/14/2000  
Typed Name: Vincent J. Bastiani
- 2) Signature:  Date: 3/14/2000  
Typed Name: Tony Kwan

Attorney Docket No. ADAPP095C

RECORDED: 03/15/2000

PATENT  
REEL: 010634 FRAME: 0152