

03-29-2000



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ac'd PCT/PTO J 1 FEB 2000

Practitioner's Docket No. U 012589-2

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. CLAIMED	INTERNATIONAL FILING DATE	PRIORITY DATE
PCT/ES98/00223	31 JULY 1998	04 AUGUST 1997
TITLE OF INVENTION		
PROCEDURE FOR OBTAINING THE ENANTIOMERS OF CYZOLIRTINE		
APPLICANT(S)		

1. ANTONI TORRENS-JOVER
2. JORDI FRIGOLA-CONSTANSA.

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: EO/US

09/485023

COVER SHEET FOR ASSIGNMENT
IN THE UNITED STATES ELECTED OFFICE (EO/US)
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: JANUARY 25, 2000
- b. Name of each inventor:
 - 1: ANTONI TORRENS-JOVER
 - 2: JORDI FRIGOLA-CONSTANSA.

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is **mandatory**.)
(Express Mail certification is optional.)

I hereby certify that this Cover Sheet for Assignment (Document) Accompanying international Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date February 1, 2000, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL386267307US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

02/07/2000 WCLAYBRD 00000105 09485023

02 FC:581

(40.00 DP)

JENNIFER RASHKIN

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

WARNING: Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for international Application Entering National Stage under 35 USC 371--page 1 of 5) 13-21

EXPRESS MAIL LABEL
NO.: EL386267307US

PATENT
REEL: 010635 FRAME: 0253

c. Title of invention: **PROCEDURE FOR OBTAINING THE ENANTIOMERS OF CYZOLIRTINE**

NOTE: See 37 CFR 3.21.

NAME OF PARTY(IES) CONVEYING INTEREST
(37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: **ANTONI TORRENS-JOVER**

Name 2: **JORDI FRIGOLA-CONSTANSA**

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: **LABORATORIOS DEL DR. ESTEVE, S.A.**

Address: **AVENIDA MARE DE DEU DE MONTSERRAT 221**

08041 BARCELONA, SPAIN

Telephone No.: ()

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED
(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

☒ an assignment.

☐ a security agreement.

☐ a license.

☐ a merger.

☐ a change of name.

☐ a change of address.

☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: WILLIAM R. EVANS

Address: C/O LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023

Telephone No.: (212) 708-1930

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. A. ☐ The attached assignment (document) was executed on _____
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. ☒ The attached assignment was executed by ANTONI TORRENS-JOVER
Name
on 25 JANUARY 2000 .
Date

☒ The attached assignment was executed by JORDI FRIGOLA-CONSTANSA
Name
on 25 JANUARY 2000 .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation.. 37 CFR 3.26.

The attached document:

☒ is in the English language.

☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

☒ the original document.

☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R § 3.24] by providing a tie copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76 at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

☒ Attached is a check for \$40.00.

☐ Please charge Account _____ \$40.00.

☐ A duplicate of this cover sheet is attached.

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document" Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: February 1, 2000

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)



SIGNATURE OF PRACTITIONER

Reg. No.25,858

WILLIAM R. EVANS

(type or print name of practitioner)

Tel. No.: (212)708-1939

LADAS & PARRY

P.O. Address

26 WEST 61ST STREET

NEW YORK, N.Y. 10023

Customer No.

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

7

Total number of pages submitted

Practitioner's Docket No. _____

PATENT

For: ☐ U.S. and/or ☐ Foreign Rights
 For: ☐ U.S. Application or
☐ U.S. Provisional Application
 For: ☐ U.S. Patent
 For: ☐ PCT Application
 By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00),
 the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

TORRENS-JOVER, ANTONI
 (type or print name of inventors)

Avda. Mare de Deu de Montserrat 221
08041 BARCELONA (SPAIN)
Address Nationality

FRIGOLA-CONSTANSA, Jordi
FRIGOLA-CONSTANSA, Jordi

Avda. Mare de Deu de Montserrat 221
08041 BARCELONA (SPAIN)

hereby sells, assigns and transfers to
 ASSIGNEE

LABORATORIOS DEL DR. ESTEVE, S.A.
 (type or print name of ASSIGNEE)

SPAIN
 Nationality

Avenida Mare de Deu de Montserrat 221
 Address

08041 BARCELONA, SPAIN

EXPRESS MAIL LABEL
NO.: EL386267307US

(Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3.2

PATENT
REEL: 010635 FRAME: 0258

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

PROCEDURE FOR OBTAINING ENANTIOMERS OF CYZOLIRTINE

Name of inventor(s) TORRENS-JOVER, Antoni
FRIGOLA-CONSTANSA, Jordi
(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
☐ Express mail label no.: _____
Mailed: _____
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☐ U.S. application no. _____
filed on _____
(e) ☒ International application no. PCT/ ES98 / 00223
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

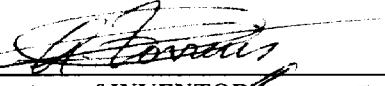

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

<u>TORRENS-JOVER Antoni</u> (type name of Inventor)	<u></u> Signature of INVENTOR	this <u>25 January 2000</u> date of signing
<u>FRIGOLA-CONSTANSA, Jordi</u> (type name of Inventor)	<u></u> Signature of INVENTOR	this <u>25 January 2000</u> date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing

[] Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2