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03-29-2000

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To the Honorable Commissioner of Pat

1. Name of conveying party(ies):

Regis Batista DA SILVA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 9, 1998

Name: Carrier Corporation

Internal Address: A&R Bldg., M/S 72

Street Address: P. O. Box 4800

City: Syracuse State: NY ZIP: 13221-4800

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

PCT/BR97/00079

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick A. Goettel, Jr.

Internal Address: A&R Bldg., M/S 72

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick A. Goettel, Jr.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

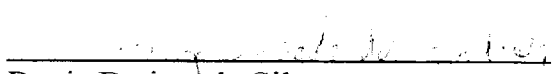
PATENT
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ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I: **Regis Batista da Silva**, residing at Rua Wenceslau Braz, 217, Bairro Centro - Sao Leopoldo, Rio Grande do Sul, Brazil, a citizen of Brazil, being the inventor of a certain invention entitled "CONDENSATE COLLECTION SYSTEM FOR A ROOM AIR CONDITIONER" (Carrier Docket No.: 9215), for which a PCT International Application No. PCT/BR 97/00075 was filed on the 30th day of December, 1997, and being the owner of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware, USA, corporation with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015 USA, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, except Brazil, and all divisions, reissues, continuations, and extensions thereof. I hereby authorize and request the United States Commissioner of Patents and Trademarks and the issuing authorities in other countries to issue all Letters Patent maturing from said application to said CARRIER CORPORATION, as Assignee.

AND, I hereby covenant and agree that I shall at any time, upon request, without further compensation, testify in any interference proceeding, execute all divisional, continuing or reissue applications, make all rightful oaths and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 9th day of NOVEMBER, 1998.



Regis Batista da Silva

PATENTS ONLY

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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Regis Batista DA SILVA

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