



03-31-2000

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FORM PTO 1594

1-31-92

RECORDATION FORM PATENTS O.

101302784

Commerce Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name and address of conveying party(ies):

Name: Michael R. Stallcup
 Address: 2290 Penorma Terrace
Los Angeles, CA 90039

Name: Dagang Chen
 Address: 14515 Langhill Drive
Hacienda Heights, CA 91745

Name: Heng Hong
 Address: 1140 Mohawk Hills Drive, #H
Carmel, IN 46032

Individual(s) Association
 General Partnership Limited Partnership
 Corporation, State of _____

Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies):

Other: University of State of California

Name: University of Southern California
 Address: 3716 South Hope St. Suite 313
Los Angeles, CA 90007-4344

Individual(s) Association
 General Partnership Limited Partnership
 Corporation, State of _____

Other: University of State of California

Name: The Regents of the University of California
 Address: 1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

Individual(s) Association
 General Partnership Limited Partnership
 Corporation, State of _____

Additional name(s) of receiving party(ies) attached?
 Yes No

3. Nature of Conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other: _____

Execution Date: March 4, 2000

4. Application Number(s) or Patent Number(s):

A. Patent Application Number(s) 09/464,377

Title: REGULATION OF GENE EXPRESSION BY PROTEIN METHYLATION

Additional numbers attached? Yes No

B. Patent Number(s):

Title: _____
 Filed: _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: William E. Thomson, Jr.

Address: McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center
San Francisco, CA 94111

Attorney Docket No. 13761-726-00-US

6. Total number of applications and patents involved: 1

7. Total fee enclosed: \$40.00

Authorized to be charged to deposit account.
 The Commissioner is authorized to charge any fees or credit any overpayment to deposit Account Number 50-1192.

8. Deposit Account Number: 50-1192

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William E. Thomson, Jr. March 21, 2000
 Reg. Number: 20,719 Signature Date

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks
 Box: Assignments
 Washington, D.C. 20231

03/23/2000 1106N1 0000050 09464377 40.00 DP
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PATENT APPLICATION
Assignment

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, co-inventors:

Michael R. Stallcup
Dagang Chen
Heng Hong

who have created a certain invention for which an application for United States Letters Patent entitled **REGULATION OF GENE EXPRESSION BY PROTEIN METHYLATION** was filed in the U.S. Patent and Trademark Office on December 15, 1999, and assigned Serial Number 09/464,377;

Do hereby sell, assign and transfer to the University of Southern California, a non-profit corporation, having a place of business at 3716 South Hope Street, Suite 313, Los Angeles, California 90007-4344, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said invention and said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and, to the extent required by obligations arising under our respective employment contracts with the University of Southern California, or pursuant to all applicable University policies, in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, act, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that the University of Southern California, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will, to the extent required by obligations arising under our respective employment contracts with the University of Southern California, or pursuant to all applicable University policies, execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all

applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

IN THE TESTIMONY WHEREOF we have hereunto set my signature on the date indicated below.

Michael R. Stallcup

Michael R. Stallcup
Date: 3/4/00

Dagang Chen

Dagang Chen
Date: 3/3/2000

Heng Hong

Date: _____

applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

IN THE TESTIMONY WHEREOF we have hereunto set my signature on the date indicated below.

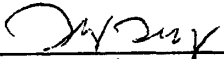
Michael R. Stallcup

Date: _____

Dagang Chen

Date: _____

Heng Hong



Date: 3/6/2000