MRD2-29-00

03-31-2000 FORM PTO-1619A U.S. Department of Commerce Patent and Trademark Office OMB 0651-0027 PATENT 101302472 RECORDATION FORM COVER SHEET **PATENTS ONLY** TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) Submission Type Conveyance Type X Assignment x Security Agreement Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Reel# Frame # U.S. Government Corrective Document (For Use ONLY by U.S. Government Agencies) X Reel# Frame # Departmental File Secret File Conveying Party(ies) Mark if additional names of conveying parties attached **Execution Date** Month Day Year Name (line 1) Lightolier Division of The Genlyte Group 02142000 Name (line 2) A Delaware Corporation Execution Date Second Party Month Day Name (line 1) Name (line 2) Receiving Party Mark if additional names of receiving parties attached If document to be recorded Name (line 1) GENLYTE THOMAS GROUP LLC is an assignment and the receiving party is not domiciled in the United Name (line 2) A Delaware Limited Liability Company States, an appointment of a domestic Address (line 1) representative is attached. 4360 Brownsboro Road (Designation must be a separate document from Address (line 2) Suite 300 Assignment.) 40232 Kentucky Address (line 3) Louisville **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) /31/2000 DCOATES 00000027 5978196 FOR OFFICE USE ONLY 40.00 DP FC:583

Public burden reporting for this collection of information is estimated to average approximately 38 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See ONB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. Do NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 010639 FRAME: 0330

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number	502 584 1135
Name JEFFREY A. HAEBERLIN		
Address (line 1) 401 S. FOURTH STREET		
Address (line 2) 2500 BROWN & WILLIAMSON TOWER		
Address (time 3) LOUISVILLE, KENTUCKY	40202	
Address (line 4)		
Pages Enter the total number of pages of the attached conveyance document including any attachments.		
Application Number(s) Mark if additional numbers attached		
Enter either the Patent Application Number or the		· · · · · ·
Patent Application Number(s)	5978196 Fatent	Number(s)
If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was <u>Month Day Year</u> signed by the first named executing inventor.		
Patent Cooperation Treaty (PCT)		
Enter PCT application number	PCT PCT	PCT
only if a U.S. Application Numbe has not been assigned.	PCT PCT	PCT
Number of Properties Enter the total number of properties involved. # 1		
Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00		
Method of Payment: Enclosed X Deposit Account Deposit Account		
(Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: #		
Authorization to charge additional fees: Yes No No		
Statement and Signature		
To the best of my knowledge and belief, the foregoing information is true and correct and any		
attached copy is a true copy of the original document. Charges to deposit account are authorized, as		
indicated herein.		
Jeffrey A. Haeberlin Reg. No. 40,630	100 meller	2/23/00
Name of Person Signing	Signature	Date

back

PATENT REEL: 010639 FRAME: 0331

ASSIGNMENT OF ASSIGNOR'S INTEREST

WHEREAS LIGHTOLIER DIVISION OF THE GENLYTE GROUP, A Delaware Corporation, whose principle place of business is located at 631 AIRPORT ROAD, FALL RIVER, MA 02720, (the "ASSIGNOR") holds the entire right, title and interest in a United States Letters Patent 5,978,196, for an invention titled CONTROL SYSTEM FOR THERMAL PROTECTION OF HIGH WATTAGE LIGHTING, by virtue of an assignment from the inventors thereof, executed on OCTOBER 8, 1998, recorded at reel 9523, frame 0407, in the records of the United States Patent and Trademark Office; and,

AND WHEREAS, GENLYTE THOMAS GROUP LLC, a Delaware Limited Liability Company whose principle place of business is located at 4360 Brownsboro Road, Suite 300, Louisville, Kentucky 40207, (the "ASSIGNEE") desires to acquire ASSIGNOR's right, title and interest in said Patent and the invention described therein, and in any United States and foreign patents to be obtained therefor;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the ASSIGNOR hereby sells, assigns and transfers unto ASSIGNEE the ASSIGNOR's right, title and interest in said Patent and the invention disclosed therein for the United States of America and all countries foreign thereto, including the International Convention of Paris (1883) as amended, and the entire right, title, and interest in and to all patent applications, patents, continuations, continuations-in-part, divisionals, and reissues based thereon which may be filed or granted therefor in the United States or any foreign country.

The ASSIGNOR also agrees that ASSIGNEE may apply for foreign Letters Patent on the Invention, and agrees to cooperate with ASSIGNEE and to execute without additional consideration any additional documents as deemed necessary by ASSIGNEE to apply for or maintain patents or other legal protection for the invention in any country of the world.

The ASSIGNOR hereby authorizes and requests the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this application to ASSIGNEE.

DATED: 3/14/00

LIGHTOLIER DIVISION OF THE GENLYTE GROUP

By: Daniel R. Fuller, General Counsel and Assistant Secretary

COUNTY OF JOPESON

Subscribed, sworn and acknowledged before me by Daniel R. Fuller, as General Counsel and Assistant Secretary of (ASSIGNOR) this // day of ESRUARY, 2000.

My Commission expires

Prepared by:

Jeffrey A. Haebenin, MiddleTon & REUTLINGER

401 S. 4th Ave. Ste. 2500, Louisville, Kentucky 40202-3429

RECORDED: 02/29/2000

(502)584-1135

REEL: 010639 FRAME: 0332

1 Melven