

03-31-2000

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



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To the Honorable Commissioner of Patents

1. Name of conveying party(ies):

George A. Rakuljic

Anthony S. Kewitsch

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 23, 2000

Attached original documents or copy thereof.

Address of receiving party(ies):

Name: Arroyo Optics, Inc.

Internal Address:

Street Address: Suite 1013330 Ocean Park Blvd.City: Santa Monica State: CA ZIP: 90405

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/461,013

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George M. CooperInternal Address: Jones, Tullar & Cooper, P.C.P.O. Box 2266 Eads Station

Street Address:

City: Arlington State: Va ZIP: 222026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*George M. Cooper

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

03/29/2000 CVORACHA 00000092 09461013

Mail documents to be recorded with required cover sheet information to:
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(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 010640 FRAME: 0569

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

George A. Rakuljic
402 19th Street
Santa Monica, CA 90402

Nationality: USA

Anthony S. Kewitsch
515 Ocean Avenue
Santa Monica, CA 90402

Nationality: USA

hereby sell, assign and transfer to

ASSIGNEE:

Arroyo Optics, Inc.
3330 Ocean Park Blvd.
Suite 101
Santa Monica, CA 90405

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Tapered Fiber Gratings and Applications

and which is found in U.S. application no. 09/461,013 filed on December 15, 1999 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or

(Assignment of Invention--Multiple Inventors--page 1)

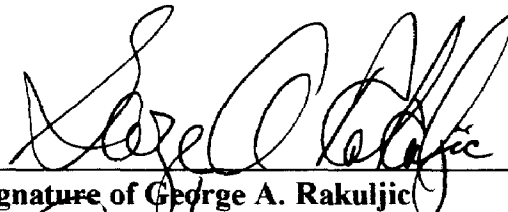
substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.


IN WITNESS WHEREOF, We have hereunto set hand and seal this 23 day of March 2000.

Date: 3/23/00



Signature of George A. Rakuljic

Date: 3/23/00



Signature of Anthony S. Kewitsch

(Assignment of Invention--Multiple Inventors--page 2)