

03-31-2000

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



101303004

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Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
02/21/2000

Name (line 1) Jeffrey W. Willis

Name (line 2)

**Second Party**

Name (line 1) Guillermo Pont

Name (line 2)

Execution Date  
Month Day Year  
02/16/2000

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Capstone Turbine Corporation

Name (line 2)

Address (line 1) 6430 Independence Avenue

Address (line 2)

Address (line 3) Woodland Hills

CA

91356

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Albert J. Miller

Address (line 1) 24441 Hillshore Drive

Address (line 2) Westport, CA 95488

Address (line 3)

Address (line 4)

**FOR OFFICE USE ONLY**

03/30/2000 DMUYEN 00000145 09512986

01 FC:581

40.00 OP

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**PATENT**

REEL: 010640 FRAME: 0697

## Correspondent Name and Address

Area Code and Telephone Number

707-964-1154

Name Albert J. Miller

Address (line 1) 24441 Hillshore Drive

Address (line 2)

Address (line 3)

Address (line 4) Westport, CA 95488

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

#

6

## Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.

Month Day Year

02212000

## Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

#

1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Deposit Account

Enclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes ☐No ☐

## Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.*

Albert J. Miller



2/23/00

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

PTO 09/512986

02/24/00

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) Benjamin E. Toby

02162000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Robert D. McKeirnan, Jr.

02162000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Jeffrey W. Willis of 435 Hollywood Dr., Lexington, KY 40502, Guillermo Pont of 1926 N. Alexandria #4, Los Angeles, CA 90027, Benjamin E. Toby of 276 Old Ranch Road #A, Sierra Madre, CA 91024 and Robert D. McKeirnan, Jr. of 1086 Twinfoot Court, Westlake Village, CA 91361 hereinafter referred to as "ASSIGNORS", are the joint inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of Invention: MULTI-STAGE MULTI-PLANE COMBUSTION SYSTEM FOR A GAS TURBINE ENGINE

Date(s) of Execution: 2/21/00

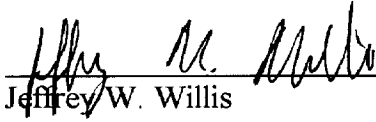
WHEREAS, Capstone Turbine Corporation, a California corporation, located at 6430 Independence Avenue, Woodland Hills, California 91367, hereinafter referred to as "ASSIGNEE", wants to acquire an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do hereby assign to Assignee, all right, title and interest in and to the said invention and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agrees that Assignors will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U. S. patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver such further papers, including patent applications and instruments of transfer, and (c) perform

such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

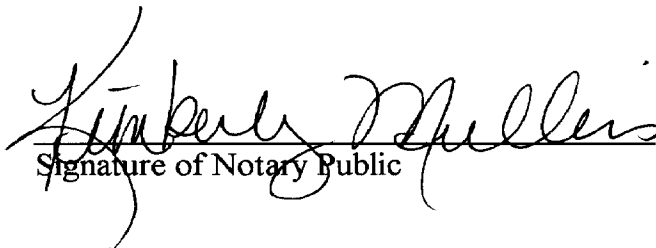
IN TESTIMONY WHEREOF, Assignors has signed their names on the dates indicated.

Dated: <u>2/21/00</u>	<u></u> Jeffrey W. Willis
Dated: _____	_____ Guillermo Pont
Dated: _____	_____ Benjamin E. Toby
Dated: _____	_____ Robert D. McKeirnan, Jr.

STATE OF KENTUCKY )  
COUNTY OF Fayette ) ss.

On Feb 21, 2000 before me, Kimberly Mullins, Notary Public, personally appeared Jeffrey W. Willis, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Jeffrey W. Willis of 435 Hollywood Dr., Lexington, KY 40502, Guillermo Pont of 1926 N. Alexandria #4, Los Angeles, CA 90027, Benjamin E. Toby of 276 Old Ranch Road #A, Sierra Madre, CA 91024 and Robert D. McKeirnan, Jr. of 1086 Twinfoot Court, Westlake Village, CA 91361 hereinafter referred to as "ASSIGNORS", are the joint inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of Invention: MULTI-STAGE MULTI-PLANE COMBUSTION SYSTEM FOR A GAS TURBINE ENGINE

Date(s) of Execution: 2/16/00

WHEREAS, Capstone Turbine Corporation, a California corporation, located at 6430 Independence Avenue, Woodland Hills, California 91367, hereinafter referred to as "ASSIGNEE", wants to acquire an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do hereby assign to Assignee, all right, title and interest in and to the said invention and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agrees that Assignors will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U. S. patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver such further papers, including patent applications and instruments of transfer, and (c) perform

Dated: \_\_\_\_\_

Dated: 2/16/00

Dated: 2/16/2006

Dated: 2/16/2000

STATE OF KENTUCKY )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

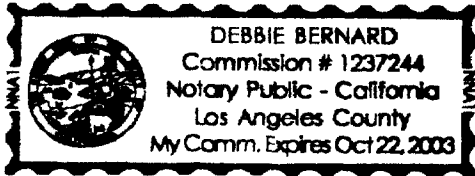
WITNESS my hand and official seal.

2

STATE OF CALIFORNIA       )  
  )  
COUNTY OF LOS ANGELES    )       ss.

On Feb 16, 2000 before me, Debbie Bernard, Notary Public, personally appeared Guillermo Pont, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

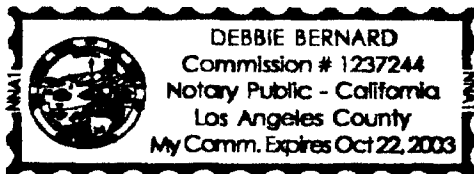


Debbie Bernard  
Signature of Notary Public

STATE OF CALIFORNIA       )  
  )  
COUNTY OF LOS ANGELES    )       ss.

On Feb 16, 2000 before me, Debbie Bernard, Notary Public, personally appeared Benjamin E. Toby, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

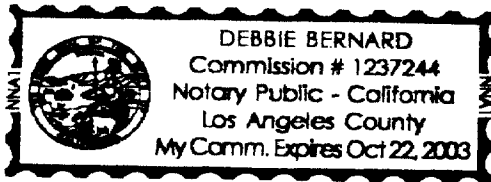


Debbie Bernard  
Signature of Notary Public

STATE OF CALIFORNIA       )  
  )  
COUNTY OF LOS ANGELES    )    ss.

On Feb 16, 2000 before me, Debbie Bernard, Notary Public, personally appeared Robert D. McKeirnan, Jr., ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Debbie Bernard  
Signature of Notary Public