

03/27/00

Docket No.: FAN1-1-1-3-4-2-35-7-4-4

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

03-31-2000



101302061

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To the Honorable Commissioner of Patents

ched original documents or copy thereof.

1. Name of conveying party(ies):

Zhencan F. Fan
Hong-Tai Man
Arlon R. Martin
Steven L. Moyer
Mary J. Nadeau

Steven P. O'Neill
John W. Osenbach
Edward A. Pitman
Renyi Yang
Craig A. Young

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 2/23/00; 3/1,6,15/00

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ ZIP: 07974

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 2/23,28/00, 3/1,6,10/

A. Patent Application No.(s)

B. Patent No.(s)

09/465,468

Filed:
12/16/99

03/29/2000 MMARMOL 00000076 122325 09465466

02 FC:581

(40.00 CH)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glen E. Books, Esq.

Internal Address: _____

Mathews, Collins, Shepherd & Gould, P.A.

Street Address: 100 Thanet Circle, Suite 306

City: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glen E. Books, Esq.

Name of Person Signing

Glen E Books

Signature

3/20/00

Date

Total number of pages including cover sheet, attachments, and document: 9

PATENT

REEL: 010641 FRAME: 0378

ASSIGNMENT AND AGREEMENT

For value received, we, Zhencan Frank Fan of Macungie in the County of Lehigh and State of Pennsylvania, Hong-Tai Man of Bernards Township in the County of Somerset and State of New Jersey, Arlon R. Martin of Blandon in the County of Berks and State of Pennsylvania, Steven L. Moyer of Sinking Spring in the County of Berks and State of Pennsylvania, Mary J. Nadeau of Bethlehem in the County of Northampton and State of Pennsylvania, Steven P. O'Neill of Allentown in the County of Lehigh and State of Pennsylvania, John William Osenbach of Kutztown in the County of Berks and State of Pennsylvania, Edward A. Pitman of Grantville in the County of Dauphin and State of Pennsylvania, Renyi Yang of Allentown in the County of Lehigh and State of Pennsylvania, and Craig A. Young of Nazareth in the County of Northampton and State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **MULTIMODE FIBER COMMUNICATION SYSTEM WITH ENHANCED BANDWIDTH** described in an application for Letters Patent of the United States, filed on December 16, 1999, under U.S. Serial No. 09/465,468, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of

protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Zhencan Frank Fan

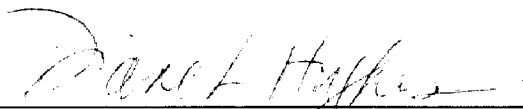
Date: 3/1/2000

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 192000th, before me personally came Zhencan Frank Fan, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public



Hong-Tai Man
Hong-Tai Man

Date: 2/23/2000

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 23 day of February, 2000, before me personally came Hong-Tai Man, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Patricia A. Fitzgibbon
Notary Public

PATRICIA A. FITZGIBBONS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 02/23/02

Arlon R. Martin
 Arlon R. Martin
Arlon R. Martin

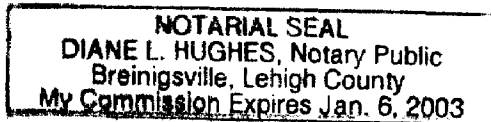
Date: 3/1/2000

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 192000, before me personally came Arlon R. Martin, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L. Hughes
 Notary Public

Steven L. Moyer
 Steven L. Moyer

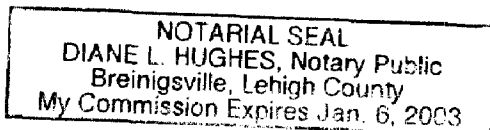
Date: 3/1/00

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 192000, before me personally came Steven L. Moyer, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L. Hughes
 Notary Public

Mary J. Nadeau
Mary J. Nadeau

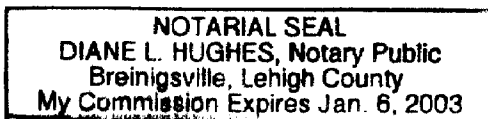
Date: 3/6/2000

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 6th day of March, 2000, before me personally came Mary J. Nadeau, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L. Hughes
Notary Public

Steven P. O'Neill
Steven P. O'Neill

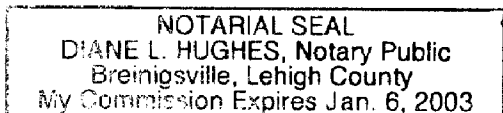
Date: 3/1/00

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 2000, before me personally came Steven P. O'Neill, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L Hughes
Notary Public

John William Osenbach
John William Osenbach

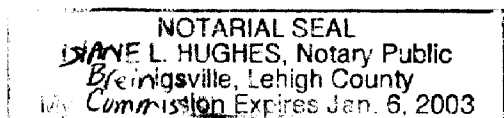
Date: March 1, 2000

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 2000, before me personally came John William Osenbach, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L Hughes
Notary Public

Edward A. Pitman
Edward A. Pitman

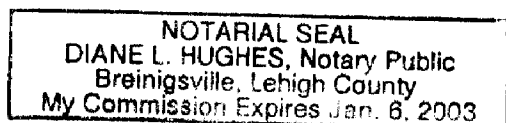
Date: 3/1/2000

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 1st day of March, 2000, before me personally came Edward A. Pitman, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Diane L Hughes

Notary Public

Yang Renyi

Renyi Yang

Date: March 15, 2000

United States of America)

State of CALIFORNIA) ss.:County of SANTA BARBARA)

On this 15th day of MARCH, 2000, before me personally came Renyi Yang, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Rhea D. Ernest
Notary Public

Craig A. Young
Craig A. Young

Date: March 1, 2000

United States of America)

State of Pennsylvania) ss.:County of Lehigh)

On this 1st day of March, 2000, before me personally came Craig A. Young, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Diane L. Hughes
Notary Public

Lucent Technologies Inc.

