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04-03-2000

FORM PTO - 1595



COVER SHEET U.S. DEPARTMENT OF COMMERCE  
1-31-92 Patent and Trademark Office

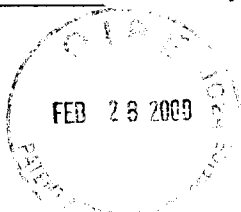
To the Honorable Commissioner,

101303701

original documents or copy thereof

1. Name of conveying party(ies):

Michael Prencipe  
Mike Wong  
Vincent O. Drago  
Marcus Bentley  
Mahmoud Hassan  
Nagaraj S. Dixit



2. Name and address of receiving party (ies):

Name: Colgate-Palmolive Company  
Internal:  
Address:

Street: 300 Park Avenue

City: New York State: New York Zip 10022

Additional name(s) address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1/13/99; 1/13/99; 1/13/99; 1/13/99; 1/13/99; 1/13/99

4. Application number (s) or patent number (s): USSN 09/231,042

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: COLGATE-PALMOLIVE COMPANY

Internal Address: PATENT DEPARTMENT

Street Address: 909 RIVER ROAD  
P.O. BOX 1343

City: PISCATAWAY State: NJ Zip: 08855-1343

6. Total Number of applications and patents involved: (1)

7. Total Fee (37 CFR 3.41) \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account 03-2455

8. Deposit account number: 03-2455  
(If any additional fee is required, please charge to our Deposit Account No. 03-2455)

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Shapiro

Name of Person Signing & Reg. No. 22,322  
Attorney Docket No.: IR 6150-01

Signature

Date

Total number of pages including cover sheet: ( 4 )

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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that the paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231.

Date:

2/22/00

Paul Shapiro

(Type or print name of person mailing paper)

Paul Shapiro

(Signature of person mailing paper)

PATENT  
REEL: 010642 FRAME: 0399

### ASSIGNMENT

WHEREAS, we, Michael Prencipe, Mike Wong, Vincent O. Drago, Marcus Bentley, Mahmoud Hassan and Nagaraj S. Dixit (below referred to as ASSIGNORS), residing, respectively, as set forth hereinafter, have made an invention for which application for Letters Patent of the United States is being filed was filed in the United States Patent and Trademark Office as Application Serial No. 09/231,042 filed 1/13/99, naming the ASSIGNORS as inventors, and entitled Dual Component Antiplaque and Tooth Whitening Composition; and

WHEREAS, Colgate-Palmolive Company, a corporation duly organized and existing under the laws of the State of Delaware, United States of America and having its principal office and place of business at 300 Park Avenue, New York, New York 10022, and offices and place of business at 909 River Road, Piscataway, New Jersey 08855 (below referred to as "ASSIGNEE"), is desirous of obtaining the entire right, title and interest in and to the aforesaid invention and patent application and corresponding patent rights worldwide;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS, by these presents do sell, assign and transfer to ASSIGNEE, the full and exclusive right, title and interest in and to the aforesaid invention, the aforesaid U.S. and all corresponding foreign patent applications, all divisional, continuation, continuation-in-part, reissue and reexamination applications of the aforesaid U.S. application, and all Letters Patent or comparable rights issuing thereon in the United States and in all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNORS hereby authorize and request the U.S. Commissioner of Patents and Trademarks and all foreign Patent Offices to issue all Letters Patent or comparable rights issuing on any application as aforesaid to ASSIGNEE, or to its successors, assigns or legal representatives;

ASSIGNORS hereby covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

ASSIGNORS agree to communicate to ASSIGNEE or to its successors, assigns or legal representatives any and all facts known to them or any of them respecting said invention, and without further remuneration to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and reexamination applications, make all rightful oaths and generally do all lawful acts to aid ASSIGNEE or its successors, assigns or legal representatives to obtain and enforce proper patent protection for said invention in all countries and to enhance or perfect ASSIGNEE's title in and to the invention and patent rights therein.

ASSIGNORS hereby authorize attorneys for ASSIGNEE to enter on this document any applicable serial numbers and filing dates after ASSIGNORS' execution of this document.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of

January 1999.

Michael Prencipe

Address:

Michael Prencipe  
39 Spruce Street  
Princeton Junction, N.J. 08550, USA

State of New Jersey  
County of Middlesex

On this 13th day of January 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

Maria V. Logatto  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of

January 1999.

Mike Wong

Address:

Mike Wong  
12B Lincoln Place  
North Brunswick, N.J. 08902, USA

State of New Jersey  
County of Middlesex

On this 13th day of January 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

Maria V. Logatto  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of

January 1999.

Vincent O. Drago

Address:

Vincent O. Drago  
142A Main Street  
Sayreville, N.J. 08872, USA

State of  
County of

On this 13th day of January 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

Maria V. Logatto  
NOTARY PUBLIC

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
January 1999.

Marcus Bentley  
\_\_\_\_\_  
Marcus Bentley

Address:

264 Seaview Avenue  
Jersey City, NJ 07305, USA

State of New Jersey  
County of Middlesex

On this 13<sup>th</sup> day of January 1999, before me, a Notary Public in  
and for the State and County aforesaid, personally appeared the above signatory, known to me to  
be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

Maria V. Logatto  
\_\_\_\_\_  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
January 1999.

Mahmoud Hassan  
\_\_\_\_\_  
Mahmoud Hassan  
280 River Road, #77B  
Piscataway, N.J. 08854, USA

Address:

State of New Jersey  
County of Middlesex

On this 13<sup>th</sup> day of January 1999, before me, a Notary Public in  
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be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

Maria V. Logatto  
\_\_\_\_\_  
NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
Jan 1999.

Nagaraj S. Dixit  
\_\_\_\_\_  
Nagaraj S. Dixit

Address:

3 Titus Lane  
Plainsboro, N.J. 08536, USA

State of New Jersey  
County of Middlesex

On this 13<sup>th</sup> day of January 1999, before me, a Notary Public in  
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be the person of that name, who executed the foregoing instrument in my presence.

**MARIA V. LOGATTO**  
Notary Public of New Jersey  
My Commission Expires 8/8/2000

Maria V. Logatto  
\_\_\_\_\_  
NOTARY PUBLIC