TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type
☐ New
☐ Resubmission (Non-Recording) Document ID: 101329693

Conveyance Type
☐ Assignment ☒ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government (For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

Name (line 1) CVC Products, Inc.
Name (line 2)

Second Party
Name (line 1) CVC, Inc.
Name (line 2)

Receiving Party

Name (line 1) Manufacturers and Traders Trust Company
Name (line 2)

Address (line 1) One M&T Plaza
Address (line 2) 14240

Address (line 3) Buffalo NY City State/Country Zip Code

Domestic Representative Name and Address
Enter for the first Receiving Party only.

Name n/a
Address (line 1) 
Address (line 2) 
Address (line 3) 
Address (line 4) 

Execution Date Month Day Year
09221999

Mark if additional names of conveying parties attached

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

FOR OFFICE USE ONLY

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS COLLECTION OF INFORMATION IS ESTIMATED TO AVERAGE APPROXIMATELY 30 MINUTES PER COVER SHEET TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED TO COMPLETE THE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, CHIEF INFORMATION OFFICER, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF INFORMATION AND REGULATORY AFFAIRS, OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PACKET 0651-0027, WASHINGTON, D.C. 20503. SEE OMB INFORMATION COLLECTION BUDGET PACKAGE 0651-0027, PATENT AND TRADEMARK ASSIGNMENT PRACTICE. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.
FORM PTO-1619B
Express 04/01/89
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Page 2

Correspondent Name and Address

Name: William A. Levine, Esq.
Address: Woods, Oviatt, Gilman, Sturman & Clarke LLP
Address: 700 Crossroads Building
Address: Rochester, NY 14614

Area Code and Telephone Number: (716) 987-2812

Pages

Enter the total number of pages of the attached conveyance document including any attachments. # 25

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)
09064431 08678297 09187297
09207906 09144234 08970608
08978933 09209313 08975626

Patent Number(s)
5715361 5876573 5775416
5846883 5871588 5902466
5746897 5937142 5936829

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

Number of Properties

Enter the total number of properties involved. # 53

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): $ 1,340.00

Method of Payment:
Enclosed X Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Name of Person Signing: [Signature] 9/22/99

PATENT
REEL: 010648 FRAME: 0549
### Conveying Party(ies)

Mark if additional names of conveying parties attached

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### Receiving Party(ies)

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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### Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number. (DO NOT ENTER BOTH numbers for the same property).

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PATENT
REEL: 010648 FRAME: 0551
AMENDED AND RESTATED
PATENT COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT

Dated as of September 22, 1999

among

CVC, INC.

CVC PRODUCTS, INC.

and

MANUFACTURERS AND TRADERS TRUST COMPANY
This AMENDED AND RESTATED PATENT COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT dated as of September 22, 1999, between CVC, INC., a Delaware corporation ("Parent"), CVC PRODUCTS, INC., a Delaware corporation ("Borrower" and, together with Parent, "Assignors"), both of which have their principal places of business at 525 Lee Road, Rochester, New York, and MANUFACTURERS AND TRADERS TRUST COMPANY, having an office at One M&T Plaza, Buffalo, New York 14203 (the "Bank").

WHEREAS, the Borrower and the Bank are parties to a Loan Agreement, dated as of March 31, 1998, (as amended and in effect from time to time, the "Loan Agreement"); and

WHEREAS, in connection with the Loan Agreement, Assignors delivered to the Bank their Patent Collateral Assignment and Security Agreement dated March 31, 1998 (the "Prior Security Agreement"); and

WHEREAS, the Borrower and the Bank have entered into an Amendment to Loan Agreement dated the date hereof (the "Amendment"); and

WHEREAS, it is a condition precedent to the Bank making any loans or otherwise extending credit to the Borrower under the Loan Agreement that the Assignors amend and restate the Prior Security Agreement pursuant to this Patent Agreement; and

WHEREAS, the Borrower has previously executed and delivered to the Bank a General Security Agreement, dated as of February 2, 1996, as amended (the "Original Borrower Security Agreement"), pursuant to which the Borrower granted to the Bank a first priority perfected security interest in all of the Borrower's personal property and fixture assets, including, without limitation, the patents and patent applications listed on Schedule A attached hereto, all to secure the payment and performance of all the indebtedness of the Borrower to the Bank, whenever arising and, on March 31, 1998, Borrower executed and delivered an Amended and Restated General Security Agreement (the "Restated Borrower Security Agreement") in favor of Bank, pursuant to which the Original Borrower Security Agreement has been amended and restated; and

WHEREAS, the Parent has previously executed and delivered to Bank a General Security Agreement, dated as of February 2, 1996 (the "Original Parent Security Agreement"), pursuant to which the Parent granted to the Bank a first priority perfected security interest in all of the Parent's personal property and fixture assets, including, without limitation the patents and patent applications listed on Schedule A attached hereto, to the extent that the Parent has an interest therein, all to secure the payment and performance of all of the indebtedness of the Parent to the Bank, whenever arising, and, on March 31, 1998, Parent executed and delivered an Amended and Restated General Security Agreement (the "Restated Parent Security Agreement") in favor of Bank, pursuant to which the Original Parent Security Agreement has been amended and restated; and

{130572}
WHEREAS, this Patent Agreement (defined below) is supplemental to the provisions contained in the Restated Borrower Security Agreement and the Restated Parent Security Agreement (together, the "Security Agreements");

NOW, THEREFORE, in consideration of the premises contained herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto hereby agree to amend and restate the Prior Security Agreement as follows:

1. DEFINITIONS.

Capitalized terms used herein and not otherwise defined herein shall have the respective meanings provided therefor in the Loan Agreement. In addition, the following terms shall have the meanings set forth in this Section 1 or elsewhere in this Patent Agreement referred to below:

Assignors means Borrower and Parent, collectively and individually.

Event of Default means any "Event of Default" which has occurred under the Restated Borrower Security Agreement or the Restated Parent Security Agreement.

Obligations means, collectively, any indebtedness, liabilities and obligations for payment of money, regardless of kind, class or form and whether for the payment of principal or of interest or otherwise, incurred, for any business, commercial, agricultural or consumer purposes or otherwise, now existing or hereafter arising, created directly (including, but not limited to, all indebtedness, liabilities and obligations arising as a direct or indirect result of any overdraft) or by an assignment or other transfer, direct or indirect, absolute or contingent (including, but not limited to, all indebtedness, liabilities and obligations arising as a direct or indirect result of any guaranty, endorsement or other assurance or as a direct or indirect result of any letter of credit), similar or dissimilar, related or unrelated, due or not due, contractual or tortuous, liquidated or unliquidated, arising by operation of law or otherwise, that are now or hereafter owing by either Assignors in any capacity, whether alone or otherwise, to the Bank in any capacity, whether or not allowed as a claim against such Assignors in any case or other proceeding pursuant to any bankruptcy or insolvency statute, regulation or other law or any other statute, regulation or other law relating to the relief of debtors, to the readjustment, composition or extension of indebtedness, to liquidation or to reorganization.

Patent Agreement means this Amended and Restated Patent Collateral Assignment and Security Agreement, as amended and in effect from time to time.

Patent Collateral means all of the Assignors’ right, title and interest in and to all of the Patents, the Patent License Rights, and all other Patent Rights, and all additions, improvements, and accessions to, all substitutions for and replacements of, and all products and Proceeds (including insurance proceeds) of any and all of the foregoing, and
all books and records and technical information and data describing or used in connection with any and all such rights, interest, assets or property.

**Patent License Rights** means any and all past, present or future rights and interests of the Assignors pursuant to any and all past, present and future licensing agreements in favor of the Assignors, or to which either Assignor is a party, pertaining to any Patents, or Patent Rights, owned or used by third parties in the past, present or future, including the right in the name of the Assignors or the Bank to enforce, and sue and recover for, any past, present or future breach or violation of any such agreement.

**Patent Rights** means any and all past, present or future rights in, to and associated with the Patents throughout the world, Whether arising under federal law, state law, common law, foreign law, or otherwise, including, but not limited, to the following: all such rights arising out of or associated with the Patents; the right (but not the obligation) to register claims under any federal, state or foreign patent law or regulation; the right (but not the obligation) to sue or bring opposition or bring cancellation proceedings in the name of the Assignors or the Bank for any and all past, present and future infringements of or any other damages or injury to the Patents or the Patent Rights, and the rights to damages or profits due or accrued arising out of or in connection with any such past, present or future infringement, damage or injury; and the Patent License Rights.

**Patents** means all patents and patent applications, whether United States or foreign, that are owned by the Assignors or in which the Assignors have any right, title or interest, now or in the future, including but not limited to:

(a) the patents and patent applications listed on Schedule A hereto (as the same may be amended pursuant hereto from time to time);

(b) all letters patent of the United States or any other country, and all applications for letters patent of the United States or any other country;

(c) all re-issues, continuations, divisions, continuations-in-part, renewals or extensions thereof;

(d) the inventions disclosed or claimed therein, including the right to make, use, practice and/or sell (or license or otherwise transfer or dispose of) the inventions disclosed or claimed therein; and

(e) the right (but not the obligation) to make and prosecute applications for such Patents.

**Proceeds** means any consideration received from the sale, exchange, license, lease or other disposition or transfer of any right, interest, asset or property which constitutes all or any part of the Patent Collateral, any value received as a consequence of the ownership, possession, use or practice of any Patent Collateral, and any payment received from any insurer or other person or entity as a result of the destruction or the loss,
theft or other involuntary conversion of whatever nature of any right, interest, asset or property which constitutes all or any part of the Patent Collateral.

**PTO** means the United States Patent and Trademark Office or any successor agency or office.

2. **GRANT OF SECURITY INTEREST.**

To secure the payment and performance in full of any and all Obligations, the Assignors hereby grant, assign, transfer and conveys to the Bank, BY WAY OF COLLATERAL SECURITY, all of the Patent Collateral. THE BANK ASSUMES NO LIABILITY ARISING IN ANY WAY BY REASON OF ITS HOLDING SUCH COLLATERAL SECURITY.

3. **REPRESENTATIONS, WARRANTIES AND COVENANTS.**

The Assignors represent, warrant and covenant that, except as disclosed on the Intellectual Property Audit Report from Gray Cary Ware & Freidenrich LLP dated October 7, 1999: (i) **Schedule A** attached hereto sets forth a true and complete list of all the patents, rights to patents and patent applications now owned, licensed, controlled or used by the Assignors; (ii) the issued Patents are subsisting and have not been adjudged invalid or unenforceable, in whole or in part, and there is no litigation or proceeding pending concerning the validity or enforceability of the issued Patents; (iii) to the best of the Assignors' knowledge, each of the issued Patents is valid and enforceable; (iv) to the best of the Assignors' knowledge, there is no infringement by others of the issued Patents or Patent Rights; (v) no claim has been made that the use of any of the Patents does or may violate the rights of any third person, and to the best of the Assignors' knowledge there is no infringement by the Assignors of the patent rights of others; (vi) the Assignors indicated on **Schedule A** attached hereto is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents (other than ownership and other rights reserved by third party owners with respect to Patents which the Assignors are licensed to practice or use as indicated on **Schedule A** attached hereto), free and clear of any liens, charges, encumbrances and adverse claims, including without limitation pledges, assignments, licenses, shop rights and covenants by the Assignors not to sue third persons, other than the security agreement and mortgage created by the Security Agreement and this Patent Agreement; (vii) the Assignors, have the unqualified right to enter into this Patent Agreement and perform its terms and have entered and will enter into written agreements with each of their present and future employees, agents, consultants, licensors and licensees which will enable them to comply with the covenants herein contained; (viii) this Patent Agreement, together with the Security Agreements, will create in favor of the Bank a valid and perfected first priority security interest in the Patent Collateral upon making the filings referred to in clause (ix) of this Section 3; and (ix) except for the filing of financing statements with the Monroe County Clerk and the Secretary of State for the State of New York under the Uniform Commercial Code and the filing of this Patent Agreement with the PTO, no authorization, approval or other action by, and no notice to or filing with, any governmental or regulatory authority, agency or office is required either (1) for the grant by the Assignors or the effectiveness of
the security interest and assignment granted hereby or for the execution, delivery and performance of this Patent Agreement by the Assignors, or (2) for the perfection of or the exercise by the Bank of any of its rights and remedies hereunder.

4. **NO TRANSFER OR INCONSISTENT AGREEMENTS.**

Without the Bank's prior written consent, the Assignors will not (i) mortgage, pledge, assign, encumber, grant a security interest in, transfer, license or alienate any of the Patent Collateral, or (ii) enter into any agreement (for example, a license agreement) that is inconsistent with the Assignors' obligations under this Patent Agreement or the Security Agreements. The Bank will not unreasonably delay in responding to any request by the Assignors for a consent to any action prohibited by this Section 4.

5. **AFTER-ACQUIRED PATENTS, ETC.**

5.1 **After-acquired Patents.**

If, before the Obligations shall have been finally paid and satisfied in full and both Assignors no longer have a right to request advances from Bank and all lines of credit terminated by Bank, the Assignors shall obtain any right, title or interest in or to any other or new patents, patent applications or patentable inventions, or become entitled to the benefit of any patent application or patent or any reissue, division, continuation, renewal, extension, or continuation-in-part of any of the Patent Collateral or any improvement on any of the Patent Collateral, the provisions of this Patent Agreement shall automatically apply thereto and the Assignors shall (i) promptly on request by the Bank and (ii) on an annual basis give to the Bank notice thereof in writing and execute and deliver to the Bank such documents or instruments as the Bank may reasonably request further to transfer title thereto to the Bank as required herein.

5.2 **Amendment to Schedule.**

The Assignors authorize the Bank to modify this Patent Agreement, without the necessity of the Assignors' further approval or signature, by amending Schedule A hereto to include any future or other Patents or Patent Rights under Section 2 or Section 5 hereof.

6. **PATENT PROSECUTION.**

6.1 **Assignors Responsible.**

The Assignors shall assume full and complete responsibility for the prosecution, grant, enforcement or any other necessary or desirable actions in connection with the Patent Collateral, and shall hold the Bank harmless from any and all costs, damages, liabilities and expenses which may be incurred by the Bank in connection with the Bank's title to any of the Patent Collateral or any other action or failure to act in connection with this Patent Agreement or the transactions contemplated hereby. In respect
of such responsibility, the Assignors shall retain patent counsel acceptable to the Bank. The Bank shall not unreasonably delay in responding to any written inquiry as to the acceptability of patent counsel proposed by the Assignors.

6.2 Assignors' Duties, Etc.

The Assignors shall have the duty, through patent counsel acceptable to the Bank, to prosecute diligently any patent applications of the Patents pending as of the date of this Patent Agreement or thereafter, to make application for unpatented but reasonably patentable inventions and to preserve and maintain all rights in the Patents, including, without limitation, the payment when, due of all maintenance fees and other fees, taxes and other expenses which shall be incurred or which shall accrue with respect to any of the Patents. Any expenses incurred in connection with such applications and actions shall be borne by the Assignors. The Assignors shall not abandon any filed patent application, or any pending patent application or patent, without the consent of the Bank, which consent shall not be unreasonably withheld. The Bank hereby appoints the Assignors as its agent for all matters referred to in the foregoing provisions of this Section 6 and agrees to execute any documents necessary to confirm such appointment. Upon the occurrence and during the continuance of an Event of Default, the Bank may terminate such agency by providing written notice of termination to the Assignors. The Bank shall not unreasonably delay in responding to any written inquiry as to the acceptability of patent counsel pursuant to this Section 6.2 or the abandonment of any patent application.

6.3 Assignors' Enforcement Rights.

The Assignors shall have the right, with the consent of the Bank, which shall not be unreasonably withheld, to bring suit or other action in the Assignors' own name(s) to enforce the Patents and the Patent Rights. The Bank shall be required to join in such suit or action as may be necessary to assure the Assignors' ability to bring and maintain any such suit or action in any proper forum so long as the Bank is completely satisfied that such joinder will not subject the Bank to any risk of liability. The Assignors shall promptly, upon demand, reimburse and indemnify the Bank for all damages, costs and expenses, including legal fees, incurred by the Bank pursuant to this Section 6.

6.4 Protection of Patents, Etc.

In general, the Assignors shall take any and all such actions (including, but not limited to, institution and maintenance of suits, proceedings or actions) as may be necessary or appropriate to properly maintain, protect, preserve, care for and enforce the Patent Collateral. The Assignors, shall not take or fail to take any action, nor permit any action to be taken or not taken by others under their control, which would affect the validity, grant or enforcement of any of the Patent Collateral.
6.5 Notification by Assignors.

Promptly upon obtaining knowledge thereof, the Assignors will notify the Bank in writing of the institution of, or any final adverse determination in, any proceeding in the PTO or any similar office or agency of the United States or any foreign country, or any court, regarding the validity of any of the Patents or the Assignors' rights, title or interests in and to any of the Patent Collateral, and of any event which does or reasonably could materially adversely affect the value of any of the Patent Collateral, the ability of the Assignors or the Bank to dispose of any of the Patent Collateral or the rights and remedies of the Bank in relation thereto (including, but not limited to, the levy of any legal process against any of the Patent Collateral).

7. LICENSE BACK TO ASSIGNORS.

Unless and until there shall have occurred and be continuing an Event of Default and the Bank has notified the Assignors that the license granted hereunder is terminated, the Bank hereby grants to the Assignors the sole and exclusive, nontransferable, royalty free, worldwide right and license under the Patents to make, have made for them, use, sell and otherwise practice the inventions disclosed and claimed in the Patents for the Assignors' own benefit and account and for none other; provided however that the foregoing right and license shall be no greater in scope than, and limited by, the rights assigned to the Bank by the Assignors hereby. The Assignors agree not to sell, assign, transfer, encumber or sublicense their interest in the license granted to the Assignors in this Section 7, without the prior written consent of the Bank. Any such sublicenses granted on or after the date hereof shall be terminable by the Bank upon termination of the Assignors' license hereunder.

8. REMEDIES.

If any Event of Default shall have occurred and be continuing, then upon notice by the Bank to the Assignors: (i) the Assignors' license with respect to the Patents as set forth in Section 7 shall terminate; (ii) the Assignors shall immediately cease and desist from the practice, manufacture, use and sale of the inventions claimed, disclosed or covered by the Patents; and (iii) the Bank shall have, in addition to all other rights and remedies given it by this Patent Agreement, the Loan Agreement, the Security Agreements, and all other agreements, instruments and documents executed by Borrower and/or Parent in favor of Bank at any time, those allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in the State of New York and, without limiting the generality of the foregoing, the Bank may immediately, without demand of performance and without other notice (except as set forth next below) or demand whatsoever to the Assignors, all of which are hereby expressly waived, and without advertisement, sell or license at public or private sale or otherwise realize upon the whole or from time to time any part of the Patent Collateral, or any interest which the Assignors may have therein, and after deducting from the proceeds of sale or other disposition of the Patent Collateral all reasonable expenses (including all reasonable expenses for broker's fees and legal services), shall apply the residue of such proceeds toward the payment of the
Obligations as set forth in the Security Agreements. Notice of any sale, license or other disposition of any of the Patent Collateral shall be given to the Assignors, at least five (5) days before the time that any intended public sale or other disposition of such Patent Collateral is to be made or after which any private sale or other disposition of such Patent Collateral may be made, which the Assignors hereby agrees shall be reasonable notice of such public or private sale or other disposition. At any such sale or other disposition, the Bank may, to the extent permitted under applicable law, purchase or license the whole or any part of the Patent Collateral or interests therein sold, licensed or otherwise disposed of.

9. **COLLATERAL PROTECTION.**

If the Assignors shall fail to do any act that they have covenanted to do hereunder, or if any representation or warranty of the Assignors shall be breached, the Bank, in their own name or that of the Assignors (in the sole discretion of the Bank), may (but shall not be obligated to) after ten (10) days written notice do such act or remedy such breach (or cause such act to be done or such breach to be remedied), and the Assignors agree promptly to reimburse the Bank for any cost or expense incurred by the Bank in so doing.

10. **POWER OF ATTORNEY.**

If any Event of Default shall have occurred and be continuing, the Assignors do hereby make, constitute and appoint the Bank (and any officer or agent of the Bank as the Bank may select in its exclusive discretion) as the Assignors' true and lawful attorney-in-fact, with the power to endorse the Assignors' name on all applications, documents, papers and instruments necessary for the Bank to use any of the Patent Collateral, to practice, make, use or sell the inventions disclosed or claimed in any of the Patent Collateral, to grant or issue any exclusive or nonexclusive license of any of the Patent Collateral to any third person, or necessary for the Bank to assign, pledge, convey or otherwise transfer title in or dispose of the Patent Collateral or any part thereof or interest therein to any third person, and, in general, to execute and deliver any instruments or documents and do all other acts which the Assignors are obligated to execute and do hereunder. The Assignors hereby ratify all that such attorney shall lawfully do or cause to be done by virtue hereof, and releases the Bank from any claims, liabilities, causes of action or demands arising out of or in connection with any action taken or omitted to be taken by the Bank under this power of attorney (except for the Bank's gross negligence or willful misconduct). This power of attorney shall be irrevocable for the duration of this Patent Agreement.

11. **FURTHER ASSURANCES.**

The Assignors shall, at any time and from time to time, and at their expense, make, execute, acknowledge and deliver, and file and record as necessary or appropriate with governmental or regulatory authorities, agencies or offices, such agreements, assignments, documents and instruments, and do such other and further acts and things (including, without limitation, obtaining consents of third parties), as the Bank may request or as may be necessary or appropriate in order to implement and effect fully the intentions, purposes
and provisions of this Patent Agreement, or to assure and confirm to the Bank the grant, perfection and priority of the Bank’s security interest in any of the Patent Collateral.

12. **TERMINATION.**

At such time as all of the Obligations have been finally paid and satisfied in full and neither of the Assignors have any right to request advances from Bank under a line of credit, this Patent Agreement shall terminate and the Bank shall, upon the written request and at the expense of the Assignors, execute and deliver to the Assignors all deeds, assignments and other instruments as may be necessary or proper to reassign and reconvey to and re-vest in the Assignors the entire right, title and interest to the Patent Collateral previously granted, assigned, transferred and conveyed to the Bank by the Assignors pursuant to this Patent Agreement, as fully as if this Patent Agreement had not been made, subject to any disposition of all or any part thereof which may have been made by the Bank pursuant hereto or the Security Agreements.

13. **COURSE OF DEALING.**

No course of dealing among the Assignors, and the Bank, nor any failure to exercise, nor any delay in exercising, on the part of the Bank, any right, power or privilege hereunder or under the Security Agreements shall operate as a waiver thereof; nor shall any single or partial exercise of any right, power or privilege hereunder or thereunder preclude any other or further exercise thereof or the exercise of any other right, power or privilege.

14. **EXPENSES.**

Any and all reasonable fees, costs and expenses, of whatever kind or nature, including the reasonable attorneys’ fees and legal expenses incurred by the Bank in connection with the preparation of this Patent Agreement and all other documents relating hereto, the consummation of the transactions contemplated hereby or the enforcement hereof, the filing or recording of any documents (including all taxes in connection therewith) in public offices, the payment or discharge of any taxes, counsel fees, maintenance fees, encumbrances or otherwise protecting, maintaining or preserving any of the Patent Collateral, or in defending or prosecuting any actions or proceedings arising out of or related to any of the Patent Collateral, shall be borne and paid by the Assignors.

15. **OVERDUE AMOUNTS.**

Until paid, all amounts due and payable by the Assignors hereunder shall be a debt secured by the Patent Collateral and other Collateral and shall bear, whether before or after judgment, interest at the rate of interest for overdue principal set forth in the Loan Agreement.
16. **NO ASSUMPTION OF LIABILITY; INDEMNIFICATION.**

NOTWITHSTANDING ANYTHING TO THE CONTRARY CONTAINED HEREIN, THE BANK ASSUMES NO LIABILITIES OF THE ASSIGNS WITH RESPECT TO ANY CLAIM OR CLAIMS REGARDING THE ASSIGNS' OWNERSHIP OR PURPORTED OWNERSHIP OF, OR RIGHTS OR PURPORTED RIGHTS ARISING FROM, ANY OF THE PATENT COLLATERAL OR ANY PRACTICE, USE, LICENSE OR SUBLICENSE THEREOF, OR ANY PRACTICE, MANUFACTURE, USE OR SALE OF ANY OF THE INVENTIONS DISCLOSED OR CLAIMED THEREIN, WHETHER ARISING OUT OF ANY PAST, CURRENT OR FUTURE EVENT, CIRCUMSTANCE, ACT OR OMISSION OR OTHERWISE. ALL OF SUCH LIABILITIES SHALL BE EXCLUSIVELY BORNE BY THE ASSIGNS, AND THE ASSIGNS SHALL INDEMNIFY THE BANK FOR ANY AND ALL COSTS, EXPENSES, DAMAGES AND CLAIMS, INCLUDING LEGAL FEES, INCURRED BY THE BANK WITH RESPECT TO SUCH LIABILITIES.

17. **RIGHTS AND REMEDIES CUMULATIVE.**

All of the Bank's rights and remedies with respect to the Patent Collateral, whether established hereby or by the Security Agreements or by any other agreements or by law, shall be cumulative and may be exercised singularly or concurrently. This Patent Agreement is supplemental to the Security Agreements, and nothing contained herein shall in any way derogate from any of the rights or remedies of the Bank contained therein. Nothing contained in this Patent Agreement shall be deemed to extend the time of attachment or perfection of or otherwise impair the security interest in any of the Patent Collateral granted to the Bank under the Security Agreements.

18. **NOTICES.**

All notices and other communications made or required to be given pursuant to this Patent Agreement shall be in writing and shall be delivered in hand, mailed by United States registered or certified first-class mail, postage prepaid, or sent by telegraph, telex and confirmed by delivery via courier or postal service, addressed as follows:

(a) if to the Assignors, at CVC, Inc. and CVC Products, Inc., Attention: Emilio O. DiCataldo, Senior Vice President and Chief Financial Officer, at the address first listed above or at such other address for notice as the Assignors shall last have furnished in writing to the person giving the notice; and

(b) if to the Bank, at One M&T Plaza, Buffalo, New York 14203, Attention: Collateral Department or at such other address for notice as the Bank shall last have furnished in wiring to the person giving the notice, with copies to the Bank at 255 East Avenue, Rochester, New York 14604, Attention: William Holston, Vice President.

Any such notice or demand shall be deemed to have been duly given or made and to have become effective (i) if delivered by hand to a responsible officer of the party to which it is directed, at the time of the receipt thereof by such officer, (ii) if sent by registered or
certified first-class mail, postage prepaid, two (2) Business Days after the posting thereof, and (iii) if sent by telegraph, telecopy, or telex, at the time of the dispatch thereof, if in normal business hours in the country of receipt, or otherwise at the opening of business on the following Business Day.

19. **AMENDMENT AND WAIVER.**

This Patent Agreement is subject to modification only by a writing signed by the Bank and the Assignors, except as provided in Section 5.2. The Bank shall not be deemed to have waived any right hereunder unless such waiver shall be in writing and signed by the Bank. A waiver on any one occasion shall not be construed as a bar to or waiver of any right on any future occasion.

20. **GOVERNING LAW; CONSENT TO JURISDICTION.**

THIS PATENT AGREEMENT IS INTENDED TO TAKE EFFECT AS A SEALED INSTRUMENT AND SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK. The Assignors agree that any suit for the enforcement of this Patent Agreement may be brought in the courts of the County of Monroe or any federal court sitting therein and consents to the non-exclusive jurisdiction of such court and to service of process in any such suit being made upon the Assignors by mail at the address specified in Section 18. The Assignors hereby waive any objection that they may now or hereafter have to the venue of any such suit or any such court or that such suit is brought in an inconvenient court.

21. **WAIVER OF JURY TRIAL.**

THE ASSIGNORS WAIVE THEIR RIGHT TO A JURY TRIAL WITH RESPECT TO ANY ACTION OR CLAIM ARISING OUT OF ANY DISPUTE IN CONNECTION WITH THIS PATENT AGREEMENT, ANY RIGHTS OR OBLIGATIONS HEREUNDER OR THE PERFORMANCE OF ANY SUCH RIGHTS OR OBLIGATIONS. Except as prohibited by law, the Assignors waive any right which they may have to claim or recover in any litigation referred to in the preceding sentence any special, exemplary, punitive or consequential damages or any damages other than, or in addition to, actual damages. The Assignors (i) certify that neither the Bank nor any representative, agent or attorney of the Bank has represented, expressly or otherwise, that the Bank would not, in the event of litigation, seek to enforce the foregoing waivers, and (ii) acknowledges that, in entering into the Loan Agreement and the other agreements, notes, instruments and documents executed in connection therewith to which the Bank is a party, the Bank is relying upon, among other things, the waivers and certifications contained in this Section 21.

22. **BANK'S RIGHT TO ACCEPT OR CONSENT, ETC.**

Notwithstanding anything herein to the contrary, Bank shall not be deemed to have unreasonably delayed in responding to a request for a consent hereunder or the approval of a counsel proposed by Assignors whom the Bank must consent to unless Bank fails to
respond to a request for such consent or acceptance of proposed counsel for ten (10) days after such request is submitted and Assignors, thereafter notify Bank in writing that they believe Bank has unreasonably delayed in responding to such request and Bank continues for more than ten (10) days after its receipt of such notice to respond to such request. In any event, the sole remedy for Assignors if Bank unreasonably delayed in responding to any such request shall be that it loses its right to consent to any such action or to approve any such counsel.

23. **MISCELLANEOUS.**

The headings of each section of this Patent Agreement are for convenience only and shall not define or limit the provisions thereof. This Patent Agreement and all rights and obligations hereunder shall be binding upon the Assignors and their respective successors and permitted assigns, and shall inure to the benefit of the Bank and its successors and assigns. In the event of any irreconcilable conflict between the provisions of this Patent Agreement and the Loan Agreement, or between this Patent Agreement and the Security Agreements, the provisions of the Loan Agreement or the Security Agreements, as the case may be, shall control. If any term of this Patent Agreement shall be held to be invalid, illegal or unenforceable, the validity of all other terms hereof shall in no way be affected thereby, and this Patent Agreement shall be construed and be enforceable as if such invalid, illegal or unenforceable term had not been included herein. The Assignors acknowledge receipt of a copy of this Patent Agreement. All obligations of the Assignors hereunder shall be joint and several.

24. **VIOLATION OF PATENT LICENSE**

Notwithstanding anything to the contrary contained herein, Assignor is not granting the Bank a security interest in any Patent License Rights if such a security interest would constitute a breach of the applicable license agreement.

**IN WITNESS WHEREOF,** this Patent Agreement has been executed as of the day and year first above written.

**CVC, INC.**

By: [Signature]
Name: Emilio O. DitataIdo
Title: Senior Vice President and CFO

**CVC PRODUCTS, INC.**

By: [Signature]
Name: Emilio O. DiCataldo
Title: Senior Vice President and CFO
MANUFACTURERS AND TRADERS
TRUST COMPANY

By: William E. Hoisington
Name: Vice President
Title:

STATE OF NEW YORK )
COUNTY OF Monroe ) ss:

On the 2nd day of September in the year 1999 before me, the undersigned, a Notary Public in and for said state, personally appeared Emilio O. DiCataldo personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Notary Public

KEVIN V. ROCHIA
Notary Public, State of New York
No. 02961004858
Qualified in Monroe County
Certificate Filed in Monroe County
Commission Expires Nov 23, 2010

STATE OF NEW YORK )
COUNTY OF Monroe ) ss:

On the 2nd day of September in the year 1999 before me, the undersigned, a Notary Public in and for said state, personally appeared Emilio O. DiCataldo, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Notary Public

KEVIN V. ROCHIA
Notary Public, State of New York
No. 02961004858
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Certificate Filed in Monroe County
Commission Expires Nov 23, 2010

STATE OF NEW YORK )
COUNTY OF Monroe ) ss:

On the 2nd day of September in the year 1999 before me, the undersigned, a Notary Public in and for said state, personally appeared William E. Hoisington, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

Notary Public

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{130572:} -13-
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Table 2 - Company Patents & Allowed Patent Applications
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Table 2 - Company Patents & Allowed Patent Applications
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*Table 3 Company Pending Patent Applications*

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<th>Application(s)</th>
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*Table 3 Company Pending Patent Applications*
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Table 3: Company Pending Patent Applications

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- Inventor(s)
- Invention Title
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### Table 3: Company Pending Patent Applications

- **Client Confidential Information**
- **Attorney Client Privilege**

- **CVC Intellectual Property Audit**
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