

MRD31-00C

Attorney Docket No.10078US01 & 10078US03

FORM PTO-1595

1/31/92

PATENTS ONLY

04-06-2000



FR SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commission
thereof.

101307047

Record the attached original documents or copies

1. Name(s) of conveying party(ies):

FRED WALDHAUER (Deceased, by Ruth
Waldhauer, Executor of the Estate of Fred
Waldhauer)
MEAD KILLION
JOHANNES WITTKOWSKI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name(s) and address(es) of receiving party(ies):

ETYMOTIC RESEARCH, INC
61 Martin Lane
Elk Grove Village, IL 60007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 5/10/95; 5/4/95; 7/13/95

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/955,271

B. Patent No.(s)

5,524,056

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Kirk Vander Leest
McAndrews, Held & Malloy
500 West Madison Street
34th Floor
Chicago, Illinois 60661

6. Total number of applications and patents
involved: 2

7. Total fee (37 CFR 3.41): \$80.00

- ☒ Enclosed
☐ Authorized to charge additional fees to deposit
account

8. Deposit account number: 13-0017

(Attach duplicate copy of this page if paying by deposit account)

04/06/2000 DNGUYEN 00000028 08955271

DO NOT USE THIS SPACE

01 FC:581 80.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.

Signature and Registration Number: Kirk Vander Leest 34,036Date: February 25, 2000Name of Person Signing: Kirk Vander Leest

MRD 10/27/99

FORM PTO-1595

1/31/92

PATENTS ONLY

11-01-1999



101187452

Patent Docket No. 10078US01 & 10078US03

U.S. DEPARTMENT OF COMMERCE

COVER SHEET

Patent and Trademark Office

To the Honorable Commission
thereof.

Use record the attached original documents or copies

1. Name(s) of conveying party(ies):

FRED WALDHAUER (Deceased, by Ruth
Walkhauer, Executor of the Estate of Fred
Waldhauer)
MEAD KILLION
JOHANNES WITTKOWSKI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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61 Martin Lane
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Additional name(s) & address(es) attached? ☐ Yes ☒ No

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- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: 5/10/95; 5/4/95; 7/13/95

4. Application number(s) or patent number(s): 08/955,271 AND 5,524,056

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/955,271

B. Patent No.(s)

5,524,056

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Kirk Vander Leest
McAndrews, Held & Malloy
500 West Madison Street
34th Floor
Chicago, Illinois 60661

6. Total number of applications and patents
involved: 2

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed
☒ Authorized to charge additional fees to deposit
account

8. Deposit account number: 13-0017

(Attach duplicate copy of this page if paying by deposit account)

10/29/1999 MTHAI1 00000273 130017 08955271

01 FC:581

40.00 CH

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.

Signature and Registration Number:

34,036

Date: October 27, 1999

Name of Person Signing:

Kirk Vander Leest

A S S I G N M E N T

Case No. 10078US01 Application Serial No. 08/046,241
Inventors: Mead Killion et al. Filing Date: April 13, 1993

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to Etymotic Research, Inc., its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled: Hearing Aid Having Plural Microphones And A Microphone Switching System; and identified as Case No. 10309US01 in the offices of McANDREWS, HELD & MALLOY, LTD., and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other document for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said consideration the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon

PATENT

REEL: 010648 FRAME: 0819

the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment has not been made.

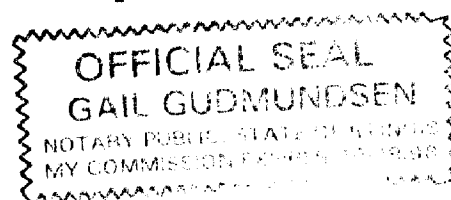
SIGNED at Elk Grove Village, in the County of Cook and State of Illinois this 4th day of May, 1995.

Mead Killion
MEAD KILLION

The foregoing instrument was acknowledged before me this 4th day of May, 1995 by Mead Killion.

SEAL:

Gail Gudmundsen
Notary Public



A S S I G N M E N T

Case No. 10078US01 Application Serial No. 08/046,241
Inventors: Mead Killion et al. Filing Date: April 13, 1993

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to Etymotic Research, Inc., its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled: Hearing Aid Having Plural Microphones And A Microphone Switching System; and identified as Case No. 10309US01 in the offices of McANDREWS, HELD & MALLOY, LTD., and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

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PATENT

REEL: 010648 FRAME: 0821

the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment has not been made.

SIGNED

at Menlo Park

, in the County of

San Mateo

and State of

California

this 10th day of

MAY

, 1995.

Fred Waldhauer

Ruth Waldhauer

Executor of the Estate of Fred Waldhauer

FRED WALDHAUER (Deceased by
Ruth Waldhauer, Executor of the
Estate of Fred Waldhauer

The foregoing instrument was acknowledged before me this 10th

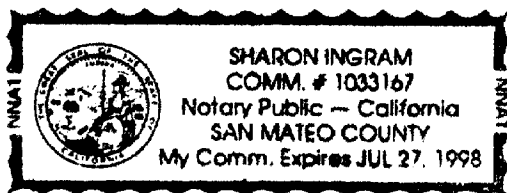
day of

MAY

, 1995 by

Sharon Ingram

SEAL:



Sharon Ingram
Notary Public

State of California

County of San Mateo

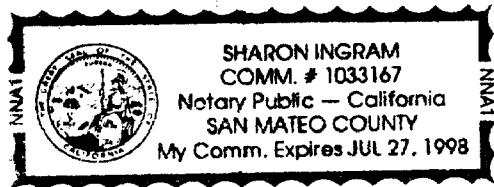
On May 10, 1995 before me, Sharon Ingram - Notary Public

NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

personally appeared Ruth Waldhauer, Executor of the Estate of Fred Waldhauer

NAME(S) OF SIGNER(S)

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that he ~~she~~ they executed the same in his ~~her~~ their authorized capacity(ies), and that by his ~~her~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Sharon Ingram
SIGNATURE OF NOTARY

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

DESCRIPTION OF ATTACHED DOCUMENT

- ☒ INDIVIDUAL
☐ CORPORATE OFFICER

Assignment of Patent
TITLE OR TYPE OF DOCUMENT

- ☐ PARTNER(S) ☐ LIMITED
☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER: _____

2
NUMBER OF PAGES

4-13-93
DATE OF DOCUMENT

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

N/A
SIGNER(S) OTHER THAN NAMED ABOVE

ATTACHED TO DOCUMENT 2995

A S S I G N M E N T

Case No. 10078US01 Application Serial No. 08/046,241
Inventors: Mead Killion et al. Filing Date: April 13, 1993

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to Etymotic Research, Inc., its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled: Hearing Aid Having Plural Microphones And A Microphone Switching System; and identified as Case No. 10309US01 in the offices of McANDREWS, HELD & MALLOY, LTD., and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

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For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other document for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said consideration the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon

the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment has not been made.

SIGNED at 23745 Bad Leuberg, in the County of Schluppi, Helbling and State of Germany this 13. day of July, 1995.

Johannes Wittkowski
JOHANNES WITTKOWSKI

The foregoing instrument was acknowledged before me this 13 day of July, 1995 by Jörn-Peter Dan?


SEAL:

[Signature]
Notary Public

Vorseitige, vor mir gefertigte Namensunterschrift

des Herrn Johannes Wittkowski, geb. am 29.12.1928, wohnhaft
Hamdorfer Weg 7, 23795 Schackendorf, dem Notar von Person
bekannt,
beglaubige ich hiermit.

Bad Segeberg, 14. Juli 1995.


N o t a r

Kostenrechnung:

Wert: 100,00 DM

SS 141,32,38 KostO

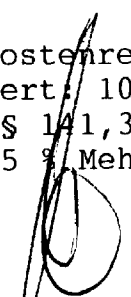
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23,00 DM

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- Notar -