

MRD 3-1-00

04-06-2000


**PATENT RECORDATION  
COVER SHEET**

101306940

 Attorney Docket No.  
N19.12-0029

 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof. **200 MAR -1 AM 11: 57**

## 1. Name of conveying party(ies):

- (1) Xiangxin Bi  
(2) Nobuyuki Kambe  
(3) Sujeet Kumar  
(4) James T. Gardner

 Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement  
☐ Change of Name ☐ Other

Execution Date: March 25, 1998

## 2. Name and address of receiving party(ies):

Name: NanoGram Corporation

Internal Address: \_\_\_\_\_

Street Address: 46774 Lakeview BoulevardCity Fremont State CA ZIP 94538
 Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4A. Application No.(s)

09/333,099

"BATTERIES WITH ELECTROACTIVE NANOPARTICLES"

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 4B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter S. Dardi, Ph.D.
 Street Address: Westman, Champlin & Kelly, P.A.  
Suite 1600  
International Centre  
900 Second Avenue South
City: Minneapolis State: MN ZIP 55402

## 6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41):.....\$ 40.00

## 8. Method of Payment

- ☒ Enclosed  
☒ The Commissioner is authorized to charge payment of any additional recording fees or credit any overpayment to deposit account No. 23-1123.

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter S. Dardi, Ph.D., Reg. No. 39,650

Name of Person Signing

Signature

Date

Total number of pages submitted: [4]

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**PATENT  
REEL: 010652 FRAME: 0444**

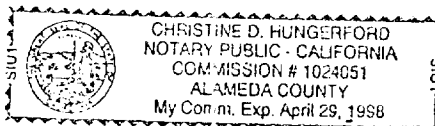
# ASSIGNMENT

For valuable consideration, we, Xiangxin Bi, of Pleasanton, California; Nobuyuki Kambe of Menlo Park, California; Sujeet Kumar of Fremont, California; and James T. Gardner of Cupertino, California hereby assign to NanoGram Corporation, a Delaware corporation having a place of business at 46774 Lake View Boulevard, Fremont, California 94538, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled BATTERIES WITH ELECTROACTIVE NANOPARTICLES, filed July 21, 1997, and assigned U.S. Serial Number 08/897,776; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Fremont, California,  
this 25<sup>th</sup> day of March, 1997<sup>(62)</sup>.  
\_\_\_\_\_  
Xiangxin Bi L.S.

STATE OF California :  
COUNTY OF Alameda : ss.

Before me this 25<sup>th</sup> day of March, 1997<sup>(62)</sup>, personally appeared Xiangxin Bi known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



\_\_\_\_\_  
Notary Public

My Commission Expires: April 29, 1998

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Fremont, California,  
this 25<sup>th</sup> day of March, 1997<sup>(12)</sup>.

Nobuyuki Kambe  
Nobuyuki Kambe

L.S.

STATE OF California:

:SS.

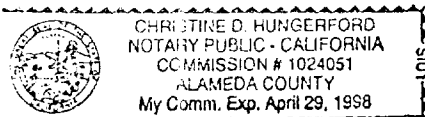
COUNTY OF Alameda:

Before me this 25<sup>th</sup> day of March, 1997<sup>(12)</sup>, personally appeared Nobuyuki Kambe known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Christine D. Hungerford

Notary Public

My Commission Expires: April 29, 1998



[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Fremont, California,  
this 25<sup>th</sup> day of March, 1997<sup>(12)</sup>.

Sujeet Kumar  
Sujeet Kumar

L.S.

STATE OF California:

:SS.

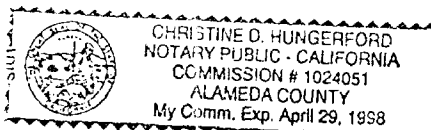
COUNTY OF Alameda:

Before me this 25<sup>th</sup> day of March, 1997<sup>(12)</sup>, personally appeared Sujeet Kumar known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Christine D. Hungerford

Notary Public

My Commission Expires: April 29, 1998



[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Fremont, California,  
this 25<sup>th</sup> day of March, 1997<sup>8</sup>.

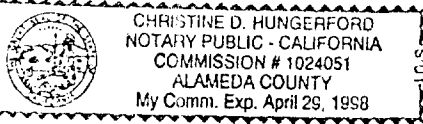
[Signature]  
James T. Gardner L.S.

STATE OF California:

:ss.

COUNTY OF Alameda:

Before me this 25<sup>th</sup> day of March, 1997<sup>8</sup>, personally appeared James T. Gardner known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



[Signature]  
Notary Public

My Commission Expires: April 29, 1998

[Notary's Seal Here]

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