

04-07-2000

Form 1595
1-31-92 (modified)



HEET

U.S. Department of Commerce
Patent and Trademark Office

101309680

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

MKD 2-29-00

1. Name of conveying party(ies):
Samuel Zalipsky
Paul S. Uster
George Z. Zhu

2. Name/address of receiving party(ies):
Alza Corporation

Add'l names of conveying parties attached?
 Yes No

3. Nature of conveyance: Assignment
 Merger Security Agreement Other
 Change of Name Reassignment

950 Page Mill Road
Palo Alto, CA 94303-0802

4. Date(s) of execution: June 18, 1999; June 14, 1999; June 9, 1999

Add'l names of receiving parties attached? Yes No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: _____.

A. Patent Application No.(s)
09/196,425 filed 11/19/98

B. Patent No.(s)

Additional numbers attached: Yes No

6. Name and address of party to whom correspondence concerning document should be mailed:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306
(650) 324-0880

7. Total No. of applications and patents involved:
one (1)

8. Total fee (37 CFR \$3.41): \$40.00
 Enclosed

9. Total number of pages, including cover sheet, attachments and document: 8

04/06/2000 JSHABAZZ 00000098 09196425

01 FEB 2000 40.00 55

DO NOT USE THIS SPACE

10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Judy M. Mohr
Name of Person Signing

Judy Mohr
Signature

2/24/00
Date

Assignment

THIS ASSIGNMENT, by Samuel Zalipsky, Paul S. Uster, and George Z. Zhu, (hereinafter referred to as the Assignors), residing at 1202 Truman Street, Redwood City, CA 94061, 200 Adobe Lane, Tracy, CA 95376, and 5647 Glen Haven Court, San Jose, CA 95129, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions in Liposome Loading Method Using a Boronic Acid Compound, set forth in an application for Letters Patent of the United States, bearing Serial No. 09/196,425 and filed on November 19, 1998;

WHEREAS, Alza Corporation, a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 950 Page Mill Road, Palo Alto, CA 94303-0802 (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee,

or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 6/18/99

Samuel Zalipsky
Samuel Zalipsky

Date: _____

Paul S. Uster

Date: _____

George Z. Zhu

Date: _____

or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: _____

Samuel Zalipsky

Date: June 14, 1989



Paul S. Uster

Date: _____

George Z. Zhu

Date: _____

or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, it successors, legal representatives and assigns.

Date: _____

 Samuel Zalipsky

Date: _____

 Paul S. Uster

Date: 6-9-1999

George Z. Zhu
 George Z. Zhu

Date: _____

WITNESSES TO SIGNATURE BY Samuel Zalipsky

1. James L. Slater
 Signature
James L. Slater
 Print Name
1050 Hamilton Ct.
 Street Address
Menlo Park, CA 94025
 City, State & Zip Code

June 18, 1999
 Date

2. Rita Wernstrum
 Signature
RITA WERNSTRUM
 Print Name
1050 Hamilton Ct
 Street Address
Menlo Park Ca 94025
 City, State & Zip Code

6-18-99
 Date

WITNESSES TO SIGNATURE BY Paul S. Uster

1. _____
 Signature

 Print Name

 Street Address

 City, State & Zip Code

2. _____
 Signature

 Print Name

 Street Address

 City, State & Zip Code

 Date

 Date

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY Samuel Zalipsky

1. _____
 Signature

Print Name

Street Address

City, State & Zip Code

Date

2. _____
 Signature

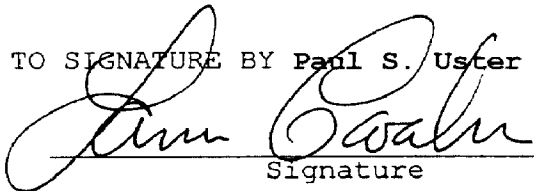
Print Name

Street Address

City, State & Zip Code

Date

WITNESSES TO SIGNATURE BY Paul S. Uster


1. 
 Signature

LANCE CAVANUERI
 Print Name

260 ADOBE WAYE
 Street Address

TROEY, CA 95376
 City, State & Zip Code

6-14-99
Date

2. 
 Signature

Athens Cavalieri
 Print Name

260 ADOBE WAYE
 Street Address

TROEY, CA 95376
 City, State & Zip Code

6-14-99
Date

* * * * *

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY George Z. Zhu

1.

Linda Zhu
 Signature
Linda Zhu
 Print Name
401 Casa Loma Ct.
 Street Address
SAN JOSE, CA, 95129
 City, State & Zip Code

6/9/99
Date

2.

Stephanie Jin
 Signature
Stephanie Jin
 Print Name
401 Casa Loma Ct.
 Street Address
SAN JOSE, CA 95129
 City, State & Zip Code

6/9/99
Date