

REC'D  
2-29-00

04-07-2000



To the Honorable Commissioner of Patents and Trademarks  
thereof.

101308737

Number of original documents or copy

1. Name of conveying part(ies):  
  
Robert Anthony Baron  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: Lucent Technologies Inc.  
  
Street Address: 600 Mountain Avenue  
P.O. Box 636  
Murray Hill, NJ 07974-0636  
  
Additional name(s) & address(es) attached?  Yes  No

jc639 U.S. PTO  
09/515474  
02/29/00

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other:  
  
Execution Date: 02/28/2000

4. Application number(s) or patent number(s): 09/515474  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent application No.(s) B. Patent No.(s)  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Peter V. D. Wilde, Esq.  
Thomas, Kayden, Horstemeyer & Risley  
100 Galleria Parkway  
Suite 1500  
Atlanta, Georgia 30339

6. Total number of applications/patents involved: [ 1 ]

7. Total fee (37 CFR 3.41) \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit Account Number:  
12-2325  
(Attach duplicate copy of this page if paying by Deposit Account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
Peter V. D. Wilde  
Name of Person Signing Signature Date  
Lucent #: Total number of pages including cover sheet, attachments, and document: [ ]

FEB 29 2000

## ASSIGNMENT AND AGREEMENT

*Mohrsville*

*RAB*

For value received, I, Robert Anthony Baron of ~~Wyomissing~~ *Mohrsville* in the County of Berks and Commonwealth of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **SOLDERING OPTICAL SUBASSEMBLIES** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Robert Anthony Baron  
Robert Anthony Baron

Date: 2/28/2000

United States of America )

State of ) ss.:

City of )

On this 28 day of Feb, 2000, before me personally came Robert Anthony Baron, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Michael J. Cawley  
Notary Public

Notarial Seal  
Michael J. Cawley, Notary Public  
Reading, Berks County  
My Commission Expires Feb. 7, 2004  
Member, Pennsylvania Association of Notaries

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636