

WRD 2-2900

04-07-2000

at No.:

FORM PTO-1595 (Modified)
(Rev. 8-93)
OMB No. 0651-0011 (exp. 4/94)
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Otologics, Inc.

2. Name and address of receiving party(ies):

Name: Otologics L.L.C.

Address: 5445 Airport Blvd.

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Boulder

State/Prov.: CO

Country: USA

ZIP: 80301

Execution Date: May 11, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

4,988,333

5,024,224

5,085,628

5,217,011

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Warmbold

Registration No. 30,897

Address: 2380 Shrewsbury Run E.

6. Total number of applications and patents involved: 4

7. Total fee (37 CFR 3.41): \$ 160.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

City: Collierville

State/Prov.: TN

Country: USA

ZIP: 38017

04/06/2000 DNGUYEN 00000125 4988333

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160.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Warmbold

Name of Person Signing

Signature

February 21, 2000

Date

Number of Pages Attached 2

PATENT
REEL: 010655 FRAME: 0129

PATENT ASSIGNMENT

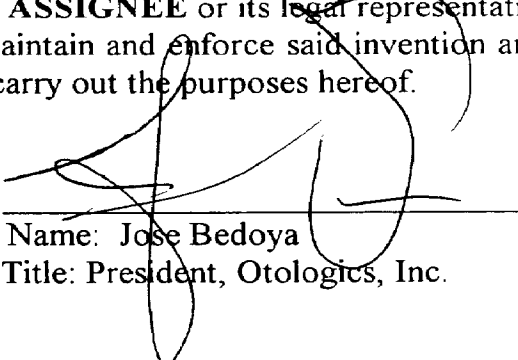
WHEREAS, Otologics, Inc. (hereinafter referred to as "ASSIGNOR"), has invented, acquired or otherwise owns certain inventions relating to surgical apparatus for which applications for Letters Patent have been filed and granted as set forth on the attached Schedule A; and

WHEREAS, ASSIGNOR wishes to assign such inventions to Otologics L.L.C. (hereinafter referred to as "ASSIGNEE"), and ASSIGNEE wishes to accept the assignment of such inventions;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that ASSIGNOR hereby assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said inventions, the applications for Letters Patent, and the Letters Patent set forth above on the attached Schedule A and any and all continuations, continuations-in-part, divisionals, re-examinations or reissues of said Letters Patent for the full term thereof, this assignment including Assignor's entire right to bring suit for past infringement of said Letters Patent and to recover damages from any said past infringement, and to assert any claim, action, or cause of action that may have arisen prior to the date of this assignment or thereafter under said Letters Patent.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said inventions and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to maintain and enforce said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

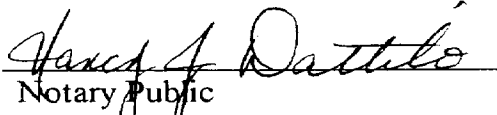

Name: Jose Bedoya
Title: President, Otologics, Inc.

STATE OF MISSOURI)

:ss:

COUNTY OF ST. LOUIS)

On this 11th day of May, 1998, before me personally appeared **Jose Bedoya**, to me known to be **President** of Otologics, Inc., Who executed the foregoing instrument, and he acknowledged to me that he executed the same.


Notary Public

NANCY J. DATILO
Notary Public-Notary Seal
State of Missouri
St. Louis County
My Commission Expires Mar 23, 2000

SCHEDULE A

Docket No. SZ-31369

Title: Implantable Middle Ear Hearing Aid System and Acoustic Coupler Therefor

Assignee: Storz Instrument Company

<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
US	242,365	9/9/88	4,988,333	11/29/91

Docket No. SZ-31369-01

Title: Implantable Ear Hearing Aid Coupler Device

Assignee: Storz Instrument Company

<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
US	420,292	10/12/89	5,085,628	2/4/92

Docket No. SZ-31370

Title: Method of Readout of Implanted Hearing Aid Device and Apparatus Therefor

Assignee: Storz Instrument Company

<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
US	239,556	9/1/88	5,024,224	6/18/91

Docket No. SZ-31372

Title: Method and Apparatus for Transdermal Communication

Assignee: Storz Instrument Company

<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
US	368,602	6/20/89	5,217,011	6/8/93