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cket No.: P770 (A-5639-NP)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALSIUS CORPORATION
formerly known as
NEUROPERFUSION, INC.

WKO
3.13.00

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **February 28, 2000**

2. Name and address of receiving party(ies):

Name: **The Regents of the University of California**

Address: **1111 Franklin Street, 12th Floor**

City: **Oakland**

State/Prov.: **CA**

Country: **US**

ZIP: **94607**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

5,908,407

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel L. Dawes**

Registration No. **27,123**

Address: **5252 Kenilworth Drive**

City: **Huntington Beach**

State/Prov.: **CA**

Country: **US**

ZIP: **92649**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

01-1960

04/10/2000 DC0000130 5908407

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Denise Wyrick

Name of Person Signing

Denise Wyrick

Signature

March 7, 2000

Date

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 010655 FRAME: 0477

ASSIGNMENT

UC Case No. LA98-633

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

ALSIUS CORPORATION (formerly known as NEUROPERFUSION, INC.)

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE an undivided interest in all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

RETROPERFUSION CATHETER APPARATUS AND METHOD

and which is found in

U.S. Patent No.: 5,908,407, issued June 1, 1999

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

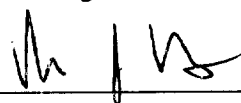
ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Assignor

28th day of Feb, 2000



THIS PERSON HAS THE AUTHORITY TO SIGN ON BEHALF OF THE ABOVE-NAMED ASSIGNOR

RECORDED: 03/13/2000

**PATENT
REEL: 010655 FRAME: 0478**