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original documents or copy thereof.

To the Honorable Commissioner of Patents

PTO
09/522127
03/09/00

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| <p>1. Name of conveying party(ies) (1) Smith, Gordon James</p> <p>Additional names of conveying party(ies) attached? yes <input type="checkbox"/> no <input checked="" type="checkbox"/></p> <p>3. Nature of Conveyance</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>03/08/00</u></p> | <p>2. Name and address of receiving party(ies):</p> <p>Name: <u>International Business Machines Corporation</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>New Orchard Road</u> City <u>Armonk</u> State <u>New York</u> Zip <u>10504</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p> |
|---|---|

4. Application number(s) or patent number(s):

TITLE: APPARATUS AND METHOD FOR SHARING PREDICTIVE FAILURE INFORMATION ON A COMPUTER NETWORK

If this document is being filed together with a new application, the execution date of the application is 03/08/00

A. Patent Application No(s): _____ B. Patent No(s): _____

RO999-197

09/522127 Additional numbers attached? Yes No

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|--|--|
| <p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Derek P. Martin Martin & Associates, L.L.C. 221 W. 4th Street, Suite 2 P.O. Box 548 Carthage, MO 64836 (417) 358-4700</p> | <p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 C.F.R. 3.41): <u>\$40.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Total fee due <input checked="" type="checkbox"/> Any deficiencies in the enclosed fees</p> <p>8. Deposit account number: <u>09-0465 for IBM Corporation</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p> |
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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Derek P. Martin
Name of Person Signing

Derek P. Martin
Signature

03/09/00
Date

Total number of pages comprising cover sheet: 1

04/07/2000 DNGUYEN 00000186 090465 09522127
01 FC:581 40.00 CH

ASSIGNMENT

Whereas, I

(1) Gordon James Smith City of Rochester
and State of Minnesota

have invented certain improvements in:

**APPARATUS AND METHOD FOR SHARING PREDICTIVE FAILURE
INFORMATION ON A COMPUTER NETWORK**

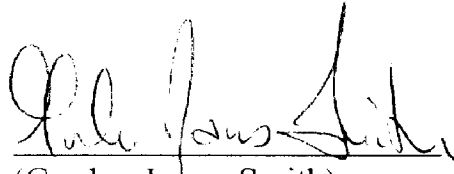
and executed, respectively, a United States patent application therefor on

(1) March 8, 2000

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at Rochester, MN
on 3/8 2000



(Gordon James Smith)
First Name Middle Last Name

Signature
Inventor