

FORM PTO-1595  
1-31-92  
(modified)

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being transmitted via facsimile to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Patent and Trademark Assignment System, at (703) 305-5995, on  
Date 5/30/00

Signature *Balaram Gupta*

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1. Rachel S. Kohn
- 2. Stephen J. Hanley
- 3. Stephen J. Comiskey

2. Name and address of receiving party(ies):

Name: **Hoechst Marion Roussel, Inc.**  
 Internal Address: **P.O. Box 6800**  
 Street Address: **Route 202-206**  
 City: **Bridgewater** State: **NJ** ZIP: **08807**

Additional name(s) of conveying party(ies) attached?  YES  NO

3. Nature of Conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Additional name(s) and address(es) attached?  YES  NO

Execution Date: 1) **March 15, 2000**; 2) **May 5, 2000**;  
3) **March 17, 2000**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): **09/454,555**

B. Patent No.(s):

Additional numbers attached?  YES  NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Balaram Gupta, Reg. No. 40,009**  
 Internal Address: **Aventis Pharmaceuticals Inc.**  
 Street Address: **Route 202-206 / P.O. Box 6800**  
 City: **Bridgewater** State: **NJ** ZIP: **08807-0800**  
 Telefax: **(908) 231-2626**  
 Our Reference No.: **HMR-2025A-US**

6. Total number of applications and patents involved: **1**

7. Total (37 CFR 3.41): ..... **\$40.00**

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: **13-2764**

### DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Balaram Gupta, Reg. No. 40,009**  
Name of Person Signing

*Balaram Gupta*  
Signature

Date: **May 30, 2000**

Total number of pages comprising cover sheet: **7**

OMB No. 0651-0011 (exp. 4/94)

**Do not detach this portion**  
 Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office Information Systems, PK2-1000C, Washington, D.C. 20231 and to the Office of Management and Budget, Paperwork Reduction Project (0561-0011), Washington, D.C. 20503.

**70000940**

**REEL: 10664 FRAME: 0830**

HMR2025A-US  
INDIVIDUAL (Employee)

### ASSIGNMENT (with Priority Rights) and INVENTORSHIP DECLARATION

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

Title of  
Invention

**BIODEGRADABLE POLYMER ENCAPSULATED PHARMACEUTICAL COMPOSITION AND METHOD FOR PREPARING THE SAME**

Assignee

which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

Instant  
Application


As trustee(s), and in consideration of the remuneration for my/our work, effective as of 12/7/99, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Number 09/454,555 and Filing Date 12/7/99

Corresponding  
Application No.  
Date, Country

in the United States of America of the application on which the priority will be based (Case No. \_\_\_\_\_); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the Number 60/155,257 and Filing Date 12/16/98

in the United States of America of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

Additional Names and Signatures attached.  
Subscribed and Sworn by me/us:

1. Full Name: Rachel S. Kohn  
Signature:   
Date: 3-15-00  
Country of Citizenship: United States  
Residence: Springfield, New Jersey  
(City and State/City and Country only)  
P. O. Address: 64 Twin Oaks Oval  
Springfield, NJ 07081 USA

3. Full Name: Stephen J. Corniskey  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Country of Citizenship: United States  
Residence: 105 Steeplechase, Doylestown, PA 18901 USA  
(City and State/City and Country only)  
P. O. Address: \_\_\_\_\_

2. Full Name: Stephen J. Hanley  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Country of Citizenship: United States  
Residence: Lebanon, New Jersey  
(City and State/City and Country only)  
P. O. Address: 29 Potterstown Road  
Lebanon, NJ 08833 USA

4. Full Name: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Country of Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
(City and State/City and Country only)  
P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA    )  
 STATE OF NEW JERSEY        ) ss.  
 COUNTY/Parish of             )

On this *15* day of *March 2000*, personally appeared before me, *Rachel S. Kohn*

known or identified to me to be the individual(s) described in and who executed the foregoing document

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

*Evelyn Vazquez*  
 \_\_\_\_\_  
 Notary Public

Notary Public, State of New Jersey  
 My Commission Expires

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

**EVELYN VAZQUEZ**  
**Notary Public of New Jersey**  
**No. 2106805**  
**My Commission Expires Dec. 9, *2002***  
**Registered in Middlesex County**

HMR2025A-US  
INDIVIDUAL (Employee)

**ASSIGNMENT  
(with Priority Rights)  
and  
INVENTORSHIP DECLARATION**

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

Title of Invention: **BIODEGRADABLE POLYMER ENCAPSULATED PHARMACEUTICAL COMPOSITION AND METHOD FOR PREPARING THE SAME**

Assignee: which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

Instant Application: As trustee(s), and in consideration of the remuneration for my/our work, effective as of 12/7/99, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Number 09/454,555 and Filing Date 12/7/99

Corresponding Application No. Date, Country: in the United States of America of the application on which the priority will be based (Case No. \_\_\_\_\_); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the Number 60/155,257 and Filing Date 12/16/98

in the United States of America of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

Additional Names and Signatures attached.  
Subscribed and Sworn by me/us:

1. Full Name: Rachel S. Kohn

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States

Residence: Springfield, New Jersey  
(City and State/City and Country only)

P. O. Address: 64 Twin Oaks Oval  
Springfield, NJ 07081 USA

3. Full Name: Stephen J. Comiskey

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States

Residence: 105 Steeplechase, Doylestown, PA 18901 USA  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

2. Full Name: Stephen J. Hanley

Signature: Stephen J. Hanley

Date: 5/5/00

Country of Citizenship: United States

Residence: Lebanon, New Jersey  
(City and State/City and Country only)

P. O. Address: 29 Potterstown Road  
Lebanon, NJ 08833 USA

4. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

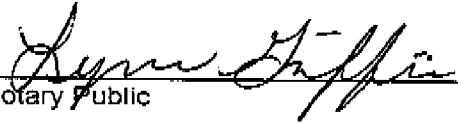
UNITED STATES OF AMERICA )  
STATE OF NEW JERSEY ) ss.  
COUNTY/Parish of )

On this 5 day of May, 2000, personally appeared before me,

known or identified to me to be the individual(s) described in and who executed the foregoing document

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

  
\_\_\_\_\_  
Notary Public

Notary Public, State of New Jersey  
My Commission Expires

Notary Signature  
Print or Type Name  
of Notary, Expiration  
Date, if any, Title or  
Jurisdiction Area

**LYNN GRIFFIN**  
**NOTARY PUBLIC OF NEW JERSEY**  
Commission Expires 8/30/2004

HMR2025A-US  
INDIVIDUAL (Employee)

**ASSIGNMENT  
(with Priority Rights)  
and  
INVENTORSHIP DECLARATION**

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

Title of Invention **BIODEGRADABLE POLYMER ENCAPSULATED PHARMACEUTICAL COMPOSITION AND METHOD FOR PREPARING THE SAME**

Assignee which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

As trustee(s), and in consideration of the remuneration for my/our work, effective as of 12/7/99, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Number 09/454,555 and Filing Date 12/7/99

Instant Application in the United States of America of the application on which the priority will be based (Case No. \_\_\_\_\_); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the Number 09/155,257 and Filing Date 12/16/98

Corresponding Application No. Date, Country in the United States of America of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

Additional Names and Signatures attached. Subscribed and Sworn by me/us:

1. Full Name: Rachel S. Kohn  
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Residence: Springfield, New Jersey  
(City and State/City and Country only)  
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Springfield, NJ 07081 USA

3. Full Name: Stephen J. Comiskey  
Signature: Stephen J. Comiskey  
Date: 3/17/00  
Country of Citizenship: United States  
Residence: 105 Steeplechase, Doylestown, PA 18901 USA  
(City and State/City and Country only)  
P. O. Address: \_\_\_\_\_

2. Full Name: Stephen J. Hanley  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Country of Citizenship: United States  
Residence: Lebanon, New Jersey  
(City and State/City and Country only)  
P. O. Address: 29 Potterstown Road  
Lebanon, NJ 08833 USA

4. Full Name: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_  
Country of Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
(City and State/City and Country only)  
P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA )  
 STATE OF PENNSYLVANIA ) ss.  
 COUNTY/Parish of )

On this *17<sup>th</sup>* day of *March*, *2000*, personally appeared before me,

known or identified to me to be the individual(s) described in and who executed the foregoing document  
 and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

*Susan T. Kuharcik*  
 \_\_\_\_\_  
 Notary Public

Notary Public, State of New Jersey  
 My Commission Expires

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

NOTARIAL SEAL  
 SUSAN T. KUHARCİK, Notary Public  
 Warminster Twp., Bucks County  
 My Commission Expires March 17, 2003