

PLW
3/23/00

04-11-2000

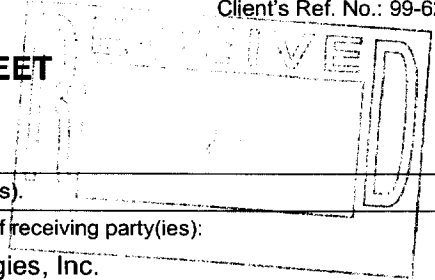


101314185

OVER SHEET

ONLY

Substitute Form PTO-1595
Attorney Docket No.: 10555-012001
Client's Ref. No.: 99-62



Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Gerrit Klaerner; Adam L. Safir; Ralph B. Nielsen;
Bernd Jandeleit; and Peter Huefner

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Symyx Technologies, Inc.
3100 Central Expressway
Santa Clara, CA 95051

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 10/26/99

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

09/347,609

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

TIMOTHY A. PORTER
Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
☐ Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Timothy A. Porter
Reg. No. 41,258
Name of Person Signing

Signature

Date

2/29/00

Total number of pages including coversheet, attachments and document: 3

50008638.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 29, 2000

Date of Deposit

Signature

Cheryl Powers

Typed or Printed Name of Person Signing Certificate

04/10/2000 DNGUYEN 00000473 09347609

01 FC:581

40.00 OP

PATENT
REEL: 010667 FRAME: 0246

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS, Gerrit Klaerner; Adam Safir; Ralph B. Nielsen; Bernd Jandeleit; and Peter Huefner, hereby sell, assign, and transfer to Symyx Technologies, Inc, a Delaware Corporation, having offices located at 3100 Central Expressway, Santa Clara, California 95051, and its successors, assigns and legal representatives (collectively, "ASSIGNEE") all of the right, title and interest of ASSIGNORS throughout the world in and to any and all inventions and improvements which are the subject of a patent document entitled

CONTROLLED STABLE FREE RADICAL EMULSION POLYMERIZATION PROCESSES

and which is found in

- (a) U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors.
- (b) X U.S. patent application filed on July 2, 1999.
[X] under Application No. 09/347,609.
[] with Express Mail No. _____ (Application Number not yet known).
- (c) U.S. Patent No.: _____, issued _____.

An attorney of record, or the attorney filing the application, is authorized and requested by the execution of this assignment to insert into this assignment the execution date of the application and/or the filing date and serial number of the application when officially known.

This assignment includes the foregoing patent application or patent; all legal equivalents thereof in any foreign country and all patents issuing therefrom; all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements; and the right to claim priority based on the filing date of the foregoing patent application or patent under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes. ASSIGNORS authorize ASSIGNEE to apply in all countries in the name of ASSIGNORS or in the name of ASSIGNEE for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with this assignment.

ASSIGNORS further covenant for themselves and their respective heirs, legal representatives and assigns to provide to ASSIGNEE promptly upon the request of ASSIGNEE all pertinent facts and documents relating to said invention and said patents and legal equivalents as may be known and accessible to ASSIGNORS and to testify as to the same in any interference, litigation, or proceeding relating thereto and promptly to execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said inventions and improvements and said patents and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNORS request the Commissioner of Patents and Trademarks to issue said patents of the United States and any reissue or extension thereof to the ASSIGNEE.

Executed this

26 day of October 1999

Signature of Inventor

Gerrit Klaerner
Gerrit Klaerner

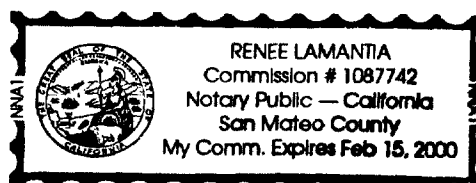
STATE OF CALIFORNIA

County of Santa Clara) ss.

On 10/26/99, before me, Renee Lamantia, a Notary Public for the State of California, personally appeared Gerrit Klaerner, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Renee Lamantia



Executed this

26 day of October 1999

Signature of Inventor

Adam Safir
Adam Safir

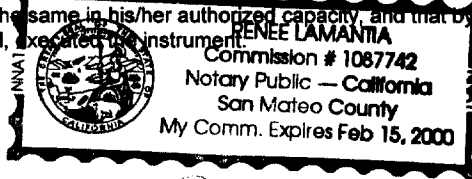
STATE OF CALIFORNIA

County of Santa Clara) ss.

On 10/26/99, before me, Renee Lamantia, a Notary Public for the State of California, personally appeared Adam Safir, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Renee Lamantia



Executed this

26th day of October, 1999

Signature of Inventor

Ralph B. Nielsen
Ralph B. Nielsen

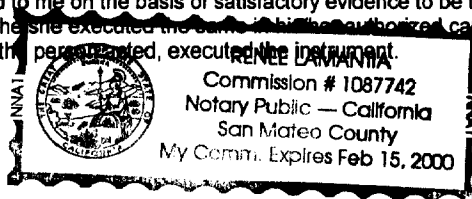
STATE OF CALIFORNIA

County of Santa Clara) ss.

On 10/26/99, before me, Renee Lamantia, a Notary Public for the State of California, personally appeared Ralph B. Nielsen, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Renee Lamantia



Executed this

26th day of October 1999

Signature of Inventor

Bernd Jandeleit
Bernd Jandeleit

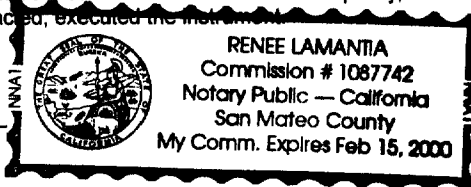
STATE OF CALIFORNIA

County of Santa Clara) ss.

On 10/26/99, before me, Renee Lamantia, a Notary Public for the State of California, personally appeared Bernd Jandeleit, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Renee Lamantia



Executed this

26th day of October 1999

Signature of Inventor

Peter Huefner
Peter Huefner

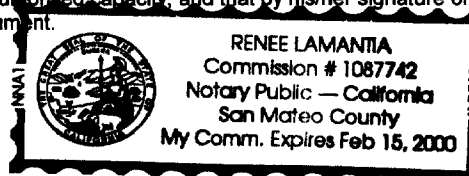
STATE OF CALIFORNIA

County of Santa Clara) ss.

On 10/26/99, before me, Renee Lamantia, a Notary Public for the State of California, personally appeared Peter Huefner, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Renee Lamantia



114308.PAL1

PATENT

RECORDED: 03/23/2000

REEL: 010667 FRAME: 0248