

06-01-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 212/176



101336914

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Peter A. Altman

John D. Altman

Simon Stertz

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party

Name: BioCardia, Inc.

Internal Address:

Street:

384 Oyster Point Blvd. #4, South
San Francisco, CA 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement =

☐ Change of Name

☐ Other

Execution Date: November 18, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 18, 1999

A. Patent Application No. 09/418,205

Additional Numbers attached?

B. Patent No.

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be sent

Name: K. David Crockett, Esq.

Internal Address:

Street Address: Crockett & Fish
22362 Rosebriar

City: Mission Viejo State: California ZIP 92692

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number: 03-3700

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K. David Crockett, Reg. No. 34,311

Date: February 2, 2000

Total number of pages comprising cover sheet:

OMB No. 0651-0011 (exp. 4-94)

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PATENT
REEL: 010667 FRAME: 0585

02-10-2000

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2.2.08E



101264971

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02/04/2000 H000000 00000006 033700 09412005
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PATENT
REEL: 010533 FRAME: 0663

PATENT
REEL: 010667 FRAME: 0586

Assignment of Invention and Patent Application

For value received, I (We), the undersigned inventors (hereinafter ASSIGNOR(s)), hereby sell, assign, transfer, and set over unto BioCardia, Inc. and its successors or assigns (hereinafter ASSIGNEE) all of the following: (A) ASSIGNOR'S right, title and interest in and to the invention entitled:

Drug Delivery Catheters that Attach to Tissue and Methods for Their Use

invented by ASSIGNOR(s) Peter A. Altman, John D. Altman and Simon Stertzer

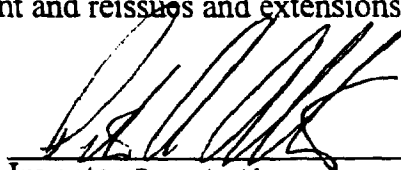
(B) the application for United States patent therefor, signed by ASSIGNOR on November 18, 1999
☐ filed herewith, or

☒ the application number 09/418,205 filed on October 13, 1999

(C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuations-in-part, substitutes, or divisions of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s).

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE all priority rights resulting from the above-identified application for United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

Date: November 18, 1999


 Inventor Peter A. Altman

Date: November 18, 1999


 Inventor John D. Altman

Date: November 18, 1999

Inventor: Simon Stertzer

Date: _____

Inventor

Date: _____

Inventor

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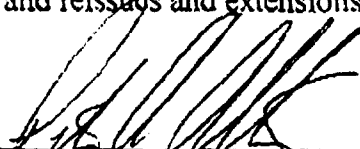
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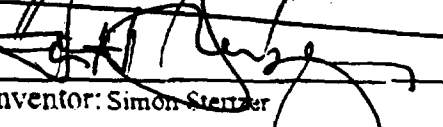
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Date: _____

Inventor

Date: _____

Inventor