



101315057

To the Honorable Assistant Commissioner
thereof.

attached original documents or copy

PTO
JCS 3 U.S.
09/521639

03/08/00

1. Name of conveying party(ies):

LEWIS B. ARONSON
DANIEL S. KANEAdditional name(s) of conveying
party(ies) attached?☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: March 7, 2000

2. Name and address of receiving party(ies):

Name: FINISAR CORPORATION

Internal Address: _____

Street Address: 1308 Moffet Park DriveCity: SunnyvaleState: California Zip: 94089-1133Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: March 7, 2000

A. Patent Application No.(s)

B. Patent No.(s)

09/521639

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: Gary S. WilliamsInternal Address: PENNIE & EDMONDS LLPStreet Address: 3300 HILLVIEW AVENUECity: PALO ALTOState: CAZip: 943046. Total number of applications
and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$4000

☐ Enclosed☒ Authorized to be charged to deposit
account8. Deposit account number: 16-1150Please debit any underpayment or credit any
overpayment to the above deposit account.Our Order No. 9775-0031-999**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary S. Williams, Reg. 31,066

Name of Person Signing

Signature

March 8, 2000

Date

Total number of pages including cover sheet, attachments and document: [3]

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Honorable Commissioner of Patents and Trademarks, Box Assignments, Washington, DC 20231File No. 9775-0031-999

Rev. 8/93 (124014)

04/11/2000 TTOM11 00000023 161150 09521639

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(40.00 CH)

CA1 - 240764.1

PATENT**REEL: 010670 FRAME: 0340**

ASSIGNMENT

WHEREAS, WE, **LEWIS B. ARONSON**, a resident of Los Altos, California, a citizen of the United States; and **DANIEL S. KANE**, a resident of San Francisco, California, a citizen of the United States; (hereinafter "ASSIGNORS"), have invented certain new and useful improvements in **FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS**, and having executed concurrently herewith an application for a United States patent disclosing and identifying the invention; which is identified by Pennie & Edmonds LLP docket no. 9775-0031-999; and

WHEREAS, **Finisar Corporation**, a corporation created and existing under and by virtue of the laws of the State of California, is (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in and to the aforesaid application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"). and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries. to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said application for U.S. Letters Patent, and any and all divisions, continuations and renewals thereof, and any and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding on said Inventors, their heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, said Inventors have executed and delivered this instrument to said Assignee the day and year below written.

1.

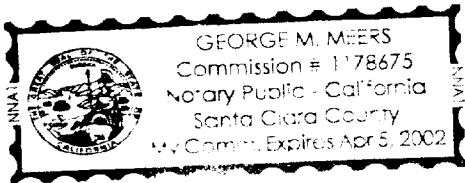
Lewis B. Aronson
LEWIS B. ARONSON

State of California)
) SS.:
County of Santa Clara)

On this 7th day of March, 2000, before me, a Notary Public in and for the State and County aforesaid, personally appeared LEWIS B. ARONSON, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.

2.

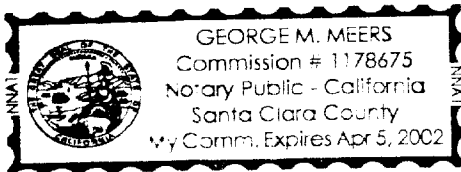
Daniel S. Kane
DANIEL S. KANE

State of California)
) SS.:
County of Santa Clara)

On this 7th day of March, 2000, before me, a Notary Public in and for the State and County aforesaid, personally appeared DANIEL S. KANE, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.