

01/03/00

FORM PTO-1595
1-31-92

RECORDATI
F

04-14-2000



S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 6210.0002-02

To the Honorable Commissioner of Patents and Trademark
Please record the attached original documents or copy them.

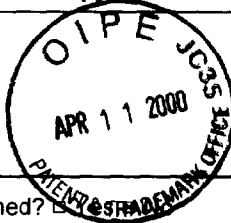
101320390

ATTACHMENTS

1. Name of conveying party(ies):

Noel B. Segal

1.6.00



2. Name and address of receiving party(ies):

Compliance Control, Inc.

Name: Compliance Control, Inc.

Additional name(s) of conveying party(ies) attached?

Internal Address:

3. Nature of conveyance:

Street Address: 8012 Fernham Lane

☒ Assignment

☐ Merger

City: Forestville

☐ Security Agreement

☐ Change of Name

State: Maryland Zip Code: 20747

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: November 30, 1999

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number:

09/027,902

B. Patent Number:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)

☐ Authorized to be charged to deposit account

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


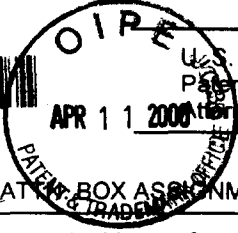
Andrew J. Vance, Reg. No. 45,174

Signature

1/5/2000

Date

Total number of pages including cover sheet, attachments and documents: 3

<div style="display: flex; justify-content: space-between;"> <div> <p>FORM PTO-1595 1-31-92</p> </div> <div> <p>1.6.00</p> </div> <div> <p>RECOI</p> </div> </div>		<p>01-13-2000</p>  <p>101243819</p>	
<p>To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.</p>			
<p>1. Name of conveying party(ies):</p> <p>Noel B. Segal</p>		<p>2. Name and address of receiving party(ies):</p> <p>Compliance Control, Inc.</p> <p>Name: Compliance Control, Inc.</p>	
<p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>Internal Address:</p>	
<p>3. Nature of conveyance:</p>		<p>Street Address: 8012 Fernham Lane</p>	
<p><input checked="" type="checkbox"/> Assignment</p>	<p><input type="checkbox"/> Merger</p>	<p>City: Forestville</p>	
<p><input type="checkbox"/> Security Agreement</p>	<p><input type="checkbox"/> Change of Name</p>	<p>State: Maryland</p>	<p>Zip Code: 20747</p>
<p><input type="checkbox"/> Other:</p>		<p>Additional name(s) & Address(es) attached?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>Execution Date: November 30, 1999</p>			
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:</p>			
<p>A. Patent Application Number:</p> <p>01/12/2000 DCDATES 00000094 5793653 01 FC:581 80.00 DP</p>		<p>B. Patent Number:</p> <p>5,793,653</p>	
<p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name:</p> <p>Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.</p> <p>Street Address: 1300 I Street, N.W.</p> <p>City: Washington, D.C.</p> <p>State: Zip: 20005-3315</p>		<p>6. Total number of applications and registrations involved: 2</p> <p>7. Total fee (37 CFR 3.41): \$40</p> <p><input checked="" type="checkbox"/> Enclosed (Please charge deficiency to deposit account)</p> <p><input type="checkbox"/> Authorized to be charged to deposit account</p>	
<p>9. Statement and signature.</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p>Andrew J. Vance, Reg. No. 45,174</p>		<p>8. Deposit Account No.: 06-0916</p>	
<p>Signature: <i>Andrew J. Vance</i></p>		<p>Date: 1/6/2000</p>	
<p>Total number of pages including cover sheet, attachments and documents: 3</p>			

ASSIGNMENT

WHEREAS I, the below named inventor (hereinafter "Assignor") have made an invention entitled: APPARATUS AND METHOD FOR MONITORING COMPLIANCE WITH HANDSINK USE REGIMEN, for which I have received United States Letters Patent No. 5,793,653 (issued August 11, 1998), and an invention entitled: SYSTEM FOR MONITORING COMPLIANCE WITH APPARATUSES HAVING PREDETERMINED OPERATING PARAMETERS, for which I have filed on February 23, 1998, United States Patent Application Serial No. 09/027,902, which is a continuation-in-part of United States Patent Application Serial No. 603,418 (the application leading to United States Letters Patent No. 5,793,653) and for which I have also filed on March 8, 1999, PCT International Application No. PCT/US99/05116;

WHEREAS, Compliance Control, Inc., a corporation of the state of Delaware, whose post office address is 8012 Fernham Lane, Forestville, Maryland 20747 (hereinafter "Assignee"), is desirous of securing the entire right, title, and interest in and to these inventions in all countries throughout the world, and in and to United States Letters Patent No. 5,793,653, United States Patent Application Serial No. 09/027,902, PCT International Application No. PCT/US99/05116, all Letters Patents that may be granted on the above-mentioned U.S. application, and all national phase applications based on the above-mentioned PCT International Application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to these inventions, United States Letters Patent No. 5,793,653, United States Patent Application Serial No. 09/027,902, PCT International Application No. PCT/US99/05116, all letters patents that may be granted on the above-mentioned U.S. application, all divisions, and continuations thereof, and all reissues thereof, and all national phase

applications based on the above-mentioned PCT International Application, and all letters patent that may be granted on such national phase applications; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patents for these inventions to the Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting these inventions and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to these inventions in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for these inventions in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

County of Montgomery)

ss.

State of Maryland)

Noel B. Segal

5809 Nicholson Lane, Apt. No. 504

Rockville, Maryland 20852

Signature:

Noel B. Segal

Date:

11/30/99

Subscribed and sworn to before me this 30 day of November, 1999.

James F. Fackel, Notary Public



LRH/AJV

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

U.S. Patent Application of: Noel B. SEGAL

Group Art Unit: 2745

Serial No.: 09/027,902

Examiner: Unknown

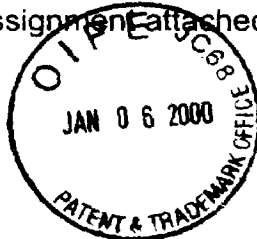
Filed: February 23, 1998

For: SYSTEM FOR MONITORING COMPLIANCE WITH
APPARATUSES HAVING PREDETERMINED OPERATING
PARAMETERS

JAN 06 2000

1. Assignment Recordation Form PTO 1595 for recordation of assignment relating to patent application Serial No. 09/027,902 with Assignment attached
2. Assignment Recordation Form PTO 1595 for recordation of assignment* relating to Patent No. 5,793,653 with Assignment attached
3. Check for \$80 covering required Fee

Dated: January 6, 2000 (no due date)
06210.0002-01000; 06210.0002-02000
/AJV/mm



PLEASE RETURN POSTCARD TO A. J. VANCE - 925

01-07-00 pma