

04-13-2000

3-13-00



RECORDATION FORM COVER SHEET PAT

101319551

MENT OF COMMERCE - PATENT

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

Edward John GIBLIN  
Mark Douglas GERHART  
George Richard TREPINA  
Eugene Francis HAFFNER

## 2. NAME &amp; ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA, Division of  
Conopco, Inc.  
STREET ADDRESS: 33 Benedict Place  
CITY: Greenwich STATE: Connecticut ZIP: 06830

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)  
ATTACHED? YES ☐ NO ☒

## 3. NATURE OF CONVEYANCE:

☒ ASSIGNMENT ☐ SECURITY AGREEMENT ☐ MERGER ☐ CHANGE OF NAME ☐ OTHER  
EXECUTION DATE: 7/28/99, 9/1/99, 1/7/00, 12/9/99

## 4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/350,608 FILED July 9, 1999

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: &lt;&gt;

## A. PATENT APPLICATION NO.(S) 09/350,608

ADDITIONAL NUMBERS ATTACHED? ☐ YES ☒ NO

## B. PATENT NO.(S) &lt;&gt;

ADDITIONAL NUMBERS ATTACHED? [☒>] YES ☒ NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE  
MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020


6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 ☒ AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
☒ ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT  
ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

9. **STATEMENT AND SIGNATURE:** TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING  
INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT

  
GERARD J. MCGOWAN, JR.  
ATTORNEY FOR APPLICANT(S)  
REGISTRATION NO. 29,412

3/6/00  
DATE

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 5

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING  
TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS  
REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C,  
WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

04/12/2000 TT011 00000335 121155 09350608

01 FC:581 40.00 CH

PATENT  
REEL: 010678 FRAME: 0986

**ASSIGNMENT**

WHEREAS, EDWARD JOHN GIBLIN, a citizen of the United States, residing at 5 Sandy Hook Road, Hopatcong, New Jersey 07843; MARK DOUGLAS GERHART, a citizen of the United States, residing at 869 Four Seasons Road, Westminster, Maryland 21157; GEORGE RICHARD TREPINA, a citizen of the United States residing at 346 Cove Harbur East, Holland, Ohio 43528; and EUGENE FRANCIS HAFFNER, a citizen of the United States residing at 6905 N. River Road, Waterville, Ohio 43566, invented certain improvements in

**SPIGOT ASSEMBLY FOR CONTAINER**

(bearing Attorney's Docket Number 99-D247-EDG,) which is found in:

- (a) ☐ U.S. patent application executed on \_\_\_\_\_
- (b) ☒ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/350,608 filed on July 9, 1999.

- (c) ☐ International Application No. PCT \_\_\_\_\_/\_\_\_\_\_.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to

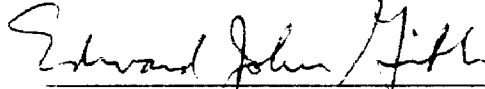
be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

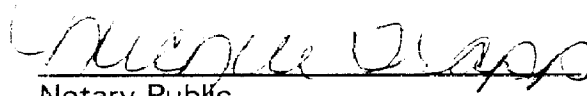
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 2<sup>3</sup> day of JULY, 1999.

STATE OF NEW JERSEY     )  
COUNTY OF BERGEN     )

 (Seal)  
EDWARD JOHN GIBLIN

On this 28<sup>th</sup> day of July, 1999 before me came EDWARD JOHN GIBLIN to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he executed the same.

MICHELE TRAPP  
Notary Public, State of New Jersey  
Commission Expires Sept. 25, 2001

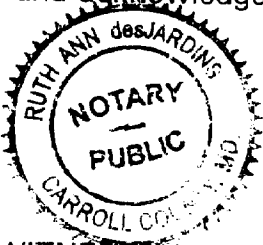
  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 1<sup>ST</sup> day of SEPTEMBER, 1999.

STATE OF MARYLAND     )  
COUNTY OF CHARLES     )

 (Seal)  
MARK DOUGLAS GERHART

On this 1<sup>ST</sup> day of September, 1999 before me came MARK DOUGLAS GERHART to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he executed the same.



Ruth Ann desJardins  
Notary Public  
My Commission Expires 8/28/02

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 7<sup>th</sup> day of JANUARY, ~~1999~~ 2000

STATE OF OHIO  
COUNTY OF WOOD

George Richard Trepina (Seal)  
GEORGE RICHARD TREPINA

On this 7<sup>th</sup> day of JANUARY, ~~1999~~ 2000 before me came GEORGE RICHARD TREPINA to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he executed the same.

Judith Poad  
Notary Public, JUDITH POAD  
Notary Public, State of Ohio  
My Commission Expires April 15, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 9<sup>th</sup> day of December, 1999.

STATE OF OHIO  
COUNTY OF WOOD

Eugene Francis Haffner (Seal)  
EUGENE FRANCIS HAFFNER

On this 9<sup>th</sup> day of DECEMBER, 1999 before me came EUGENE FRANCIS HAFFNER to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he executed the same.

Judith Poad  
Notary Public

JUDITH POAD  
Notary Public, State of Ohio  
My Commission Expires April 15, 2001