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U.S. Department of Commerce Patent and Trademark Office

Our Ref.: 1579-315

Commissioner of Patents and Trademarks

Box Assignment Washington D.C. 2023

Box Assignment, Washington, D.C. 20231		
To the Honorable Commissioner of Patents and Tallemoks: Please record the attached original documents or copy thereof.		
Name of conveying party(ies):	2. Name and address of receiving party(ies):	
Jonathan H. Freedman		
Vivian H.C. Liao	Name: DUKE UNIVERSITY	
	Internal Address:	
Ann and the second seco	Street Address:	
OF MARK OF		
Additional name/s of conveying party/ies attached?		
3. Nature of conveyance:	City: Durham	
	State/Country: North Carolina	
Security Assignment Change of Name	Zip: 27708-0083	
Other		
Execution Date: November 18, 1999 and	Additional name/s & address/es attached?  Yes No	
November 17, 1999		
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No(s).	B. Patent No(s).	
(1) 09/437,450	(1)	
(2)	(2)	
(3)	(3)	
Additional numbers attached Yes No		
5. Name and address of party to whom correspondence	6. Total number of applications & patents involved: 1	
concerning document should be mailed:	·	
	7. Total fee (37 CFR 3.41) \$	
Name: Mary J. Wilson		
	Authorized to be charged to deposit account #14-1140	
Internal Address:		
	8. The Commissioner is hereby authorized to charge any	
Street Address: Nixon & Vanderhye P.C.	<u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which	
1100 North Glebe Road	should have been filed herewith (or with any paper thereafter	
8 <sup>th</sup> Floor	filed in this application by this firm) to our <b>Account No.</b>	
City: Arlington State: VA Zip: 22201	14-1140.	
DO NOT USE THIS SPACE		
9. Statements and signature.		
To the best of my knowledge and belief, the foregoing informat	ion is true and correct and any attached copy is a true copy	
of the original document.		
<u> </u>		
Mary J. Wilson	April 10, 2000	
	nature Date	
Reg. No. 32,955		
Total number of pages including <b>original</b> cover sheet, attachments, and document: [3]		

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**PATENT** 

417861

**REEL: 010679 FRAME: 0643** 

Attorney Dkt: 1579-315

Nixon & Vanderhye P.C. 1100 North Glebe Road Eighth Floor Arlington, VA 22201-4714

1) Jonathan H. Freedman

## 

WHEREAS, the undersigned, to wit:

2)	Vivian H.C. Liao
/ 1	i Si and i and a regression leaves and a section of the same
	reinafter collectively ASSIGNOR), have made an invention known Dkt. 1579-315 and entitled: <b>STRESSOR REGULATED GENES</b>
	which an application for Letters Patent of the United States
	was executed even date herewith and is about to be filed in
the	United States Patent and Trademark Office;
$\boxtimes$ 7	was filed on November 10, 1999, Appln. No;

## AND WHEREAS DUKE UNIVERSITY, Durham, North Carolina 27708-0083

(hereinafter ASSIGNEE), desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its entire right, title and interest to the said invention in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, and foreign counterparts thereof, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other

PATENT \*\*\*
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international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

The undersigned hereby authorize(s) Nixon & Vanderhye P.C. of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

Date Signed

11-18-199 Thyseth Brown Fettleton 11-19-1999 Thie-Wei CHANG